

## AGENDA ITEM NO: 17

### UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST REPORT TO THE BOARD OF DIRECTORS THURSDAY 27 OCTOBER 2011

<b>Title:</b>	<b>FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 30 SEPTEMBER 2011</b>
<b>Responsible Director:</b>	Mike Sexton, Director of Finance
<b>Contact:</b>	Julian Miller, Deputy Director of Finance, ext. 53074

<b>Purpose:</b>	To present an update to the Board
<b>Confidentiality Level &amp; Reason:</b>	N/A
<b>Medium Term Plan Ref:</b>	Aim 2: Maintain our reputation and position at the leading edge of performance and quality Aim 3: Enhance our reputation for excellent financial management and efficiency
<b>Key Issues Summary:</b>	An actual surplus of £0.572m has been recorded for the first six months (April – September) of the 2011/12 financial year. This represents an adverse variance of (£128,000) against the planned £0.700m surplus for the period. This position excludes transition costs of (£3.080m) relating to the new hospital moves and the latest phase of the new hospital impairment loss (£29.123m). Therefore the overall deficit reported at Q2 is (£31.631m).
<b>Recommendations:</b>	The Board of Directors is asked to: <ul style="list-style-type: none"><li>• Receive the contents of this report</li><li>• Agree that Declaration 1 should be signed for Q2 confirming that the Board expects the Trust to maintain a minimum FRR of 3 for the next 12 months</li></ul>

<b>Signed:</b> M Sexton	<b>Date:</b> 18 October 2011
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# UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST

## REPORT TO THE BOARD OF DIRECTORS THURSDAY 27 OCTOBER 2011

### FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 30 SEPTEMBER 2011

#### PRESENTED BY THE DIRECTOR OF FINANCE

#### 1. Introduction

This report covers the first six months of the 2011/12 financial year between 1 April 2010 and 30 September 2011; it contains three financial statements;

- Statement of Comprehensive Income
- Statement of Position and the
- Cash Flow Statement.

The report details operating expenditure, variances and capital expenditure for the period. It also summarises healthcare activity data and the associated income for the first five months of the financial year.

The 2011/12 Financial Plan provides for an annual surplus of £0.500m. This excludes planned 'exceptional costs' of £52.600m comprising restructuring costs of £3.500m, associated with the transition to the New Hospital, and an expected impairment loss of £49.100m on the new building. Therefore, in overall terms the Trust has planned for a deficit of (£52.100m) in 2011/12.

An actual surplus of £0.572m has been recorded for the first six months of the financial year. This represents an adverse variance of (£0.128m) against the planned £0.700m surplus (before exceptional costs). To date transition costs of £3.080m have been incurred and the first element of the planned new hospital impairment has been recognised (£29.123m). Including these items, the overall deficit at 30 September 2011 is (£31.631m).

The reported financial position includes an aggregate overspend of (£4.118m) across the Trust's operational divisions for the first six months of the financial year after recognition of £3.045m of additional healthcare income. This reflects a combination of pay pressures (nursing and medical staff), slippage against CIP schemes and under-recovery against private patient income targets. The operational overspend has been offset by the release of £4.035m from Trust Reserves (Specific and General Contingency). Forecasts and action plans have been developed to ensure that the planned surplus is achieved at 31 March 2012.

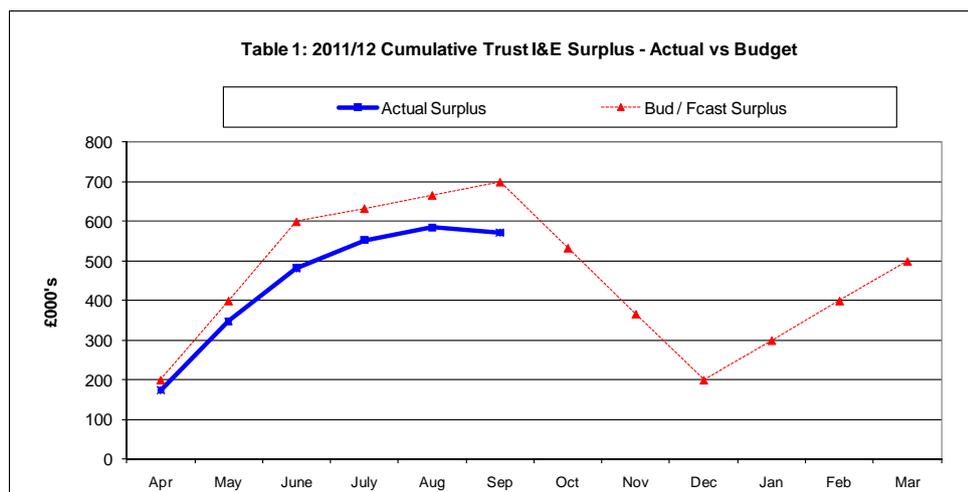
The Trust's Financial Risk Rating (FRR) remains at 3 (out of 5) based on the 2011/12 Annual Plan and Quarter 1 results. This should be maintained for Quarter 2 based on self assessment of the Trust's performance.

## 2. Financial Position Summary

### 2.1 Year to Date Position

The cumulative income and expenditure surplus (excluding impairments and restructuring) is shown in Table 1 below. This shows the £0.572m surplus year to date, this is (£0.128m) below the planned £0.700m surplus. The budgeted surplus to date is not a straight pro-rata of the planned full year surplus due to the phasing of expenditure across the year.

**Table 1 – I&E Surplus vs. Plan 2011/12**



The statement of comprehensive income appears in Appendix C. Table 2 below summarises the Trusts revenue transactions for the year. Further analysis of income is included in section 3.1 and detail regarding operating expenses is set out in section 3.3.

**Table 2 – YTD Income and Expenditure Budget vs. Actual**

	Budget Apr-Sep 2011 £m	Actual Apr-Sep 2011 £m	Variance £m
Revenue	276.3	283.3	7.0
Operating Expenses	(258.1)	(265.2)	(7.1)
<b>EBITDA</b>	<b>18.2</b>	<b>18.1</b>	<b>(0.1)</b>
Depreciation	(9.0)	(9.2)	(0.2)
Donated Asset Reserve Transfer	0.4	0.5	0.1
Interest Receivable	0.3	0.4	0.1
Interest Payable	(8.2)	(8.2)	(0.0)
Contingent Rental	(1.0)	(1.0)	0.0
PDC Dividend	0.0	0.0	0.0
<b>Operational (Deficit)/Surplus</b>	<b>0.7</b>	<b>0.6</b>	<b>(0.1)</b>
Transition Costs	(1.8)	(3.1)	(1.3)
Impairments on Property	(29.1)	(29.1)	(0.0)
<b>Retained (Deficit)/Surplus</b>	<b>(30.2)</b>	<b>(31.6)</b>	<b>(1.4)</b>

Note - may include rounding differences

## 2.2. Forecast Outturn

Excluding impairments and restructuring costs, the Trust continues to forecast achievement of a £0.500m surplus for the year in line with the Annual Plan submitted to Monitor.

## 3. **Income and Expenditure**

### 3.1 Income Analysis

Total operating income is £7.0m above budget at 30 September 2011. NHS Clinical Income is £5.7m above plan due to £2.7m of additional cost per case drug and device funding and £3.0m recognised in respect of activity over performance. This reflects the over-performance outlined in section 3.2 (Table 5) below and some gains related to 2010/11 where the final activity figures exceeded the previous estimates and payments have now been agreed.

Non-NHS Clinical Income is (£1.1m) below plan due primarily to shortfalls in private patients (£0.6m) and the NHS Injury Cost Recovery Scheme income (£0.2m). Other Income is above plan by £2.4m reflecting increases in education, research and development and non NHS SLA income. These sources typically have matching expenditure commitments and therefore have little impact on the bottom line.

**Table 3 – Income against plan**

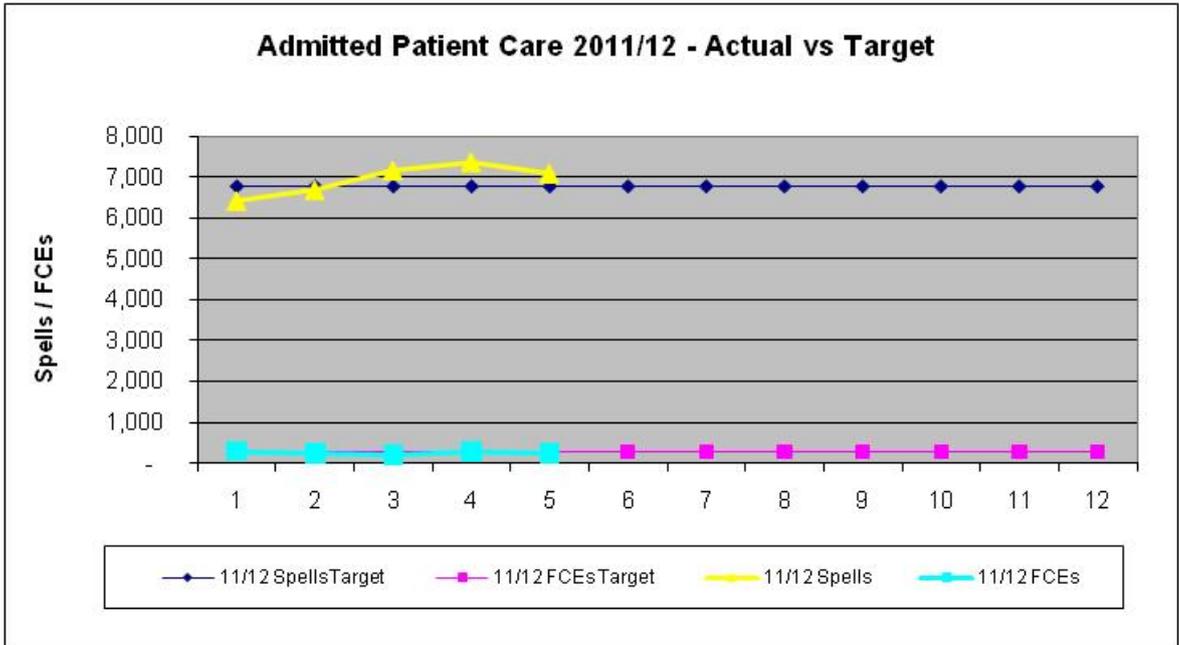
	<b>Budget Apr-Sep 2011 £m</b>	<b>Actual Apr-Sep 2011 £m</b>	<b>Variance £m</b>
Clinical - NHS	216.7	222.4	5.7
Clinical - Non NHS	6.5	5.4	(1.1)
Other	53.1	55.5	2.4
<b>TOTAL</b>	<b>276.3</b>	<b>283.3</b>	<b>7.0</b>

Note - may include rounding differences

### 3.2 NHS Clinical Income / Activity

Table 4.1 below compares 2011/12 monthly admitted patient care activity against target levels for the first five months of the financial year. Overall inpatient activity was again higher than planned in Month 5 and both Spells (covered by Payment by Results) and FCE's (non PBR) are now higher than plan year to date.

Table 4.1 – Trust Inpatient Activity



Outpatient activity for new attendances, follow ups and procedures was ahead of or in line with plan during Month 5 as shown in Table 4.2. Activity for the year to date is above plan for each type of attendance.

Table 4.2 – Trust Outpatient Activity

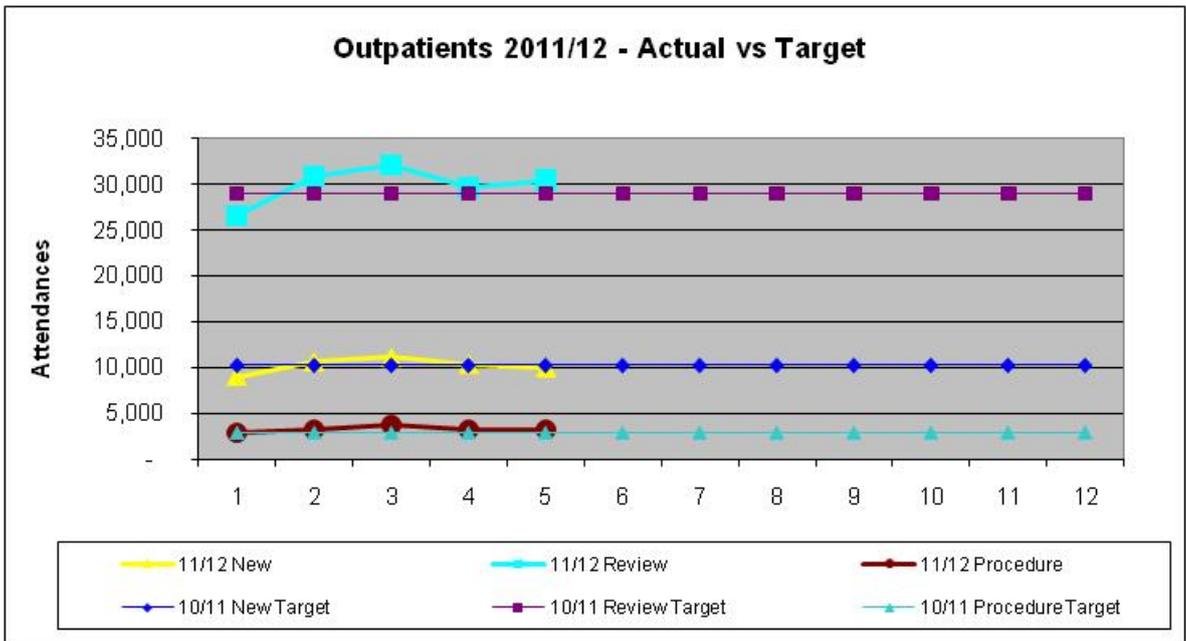


Table 5 below shows the variance by Division and by Point of Delivery (POD) against the 2011/12 healthcare income targets. This shows a continued improvement against the previously reported position with healthcare income (excluding cost per case) to date ahead of plan by £1.478m for the first five months of the financial year. This position includes provisions for HCS queries raised on behalf of local PCT's, however further payment risks could develop dependant on overall performance against contract targets. A detailed summary of activity performance against plan by specialty and commissioner is included as Appendix G.

**Table 5 – Summary Healthcare Income Performance by Division by Point of Delivery**

	YTD Variance against Divisional Baselines (£000)				
	Div A	Div B	Div C	Div D	Total
Inpatient	136	(965)	(137)	885	(80)
Outpatient	(14)	485	187	160	817
Other	1,280	(146)	(23)	(370)	741
<b>Total</b>	<b>1,402</b>	<b>(626)</b>	<b>27</b>	<b>675</b>	<b>1,478</b>

Note - may include rounding differences

### 3.3

#### Expenditure Analysis

The Trust wide subjective expenditure analysis in Table 6 shows an over spend of (£7.1m) against budgeted expenditure of £258.1m for the period. The main components include cost per case drugs and devices (£2.5m) and pay overspends (£2.6m).

**Table 6 – Expenditure against plan**

	Budget Apr-Sep 2011 £m	Actual Apr-Sep 2011 £m	Variance £m
<b>PAY</b>			
Medical Staff	45.6	47.2	(1.6)
Nursing	48.5	51.6	(3.1)
Scientific & Technical	19.3	19.0	0.3
SMP / A&C	25.7	25.9	(0.2)
Other / Pay Reserves	8.9	7.0	1.9
<b>Total Pay</b>	<b>148.0</b>	<b>150.7</b>	<b>(2.6)</b>
<b>NON PAY</b>			
Drugs	29.0	30.9	(1.9)
Clinical Supplies & Services	34.6	35.2	(0.6)
Other / Non-Pay Reserves	46.5	48.4	(1.9)
<b>Total Non Pay</b>	<b>110.1</b>	<b>114.5</b>	<b>(4.4)</b>
<b>GRAND TOTAL</b>	<b>258.1</b>	<b>265.2</b>	<b>(7.1)</b>

Note - may include rounding differences

### 3.4 Cost Improvement Programme

The Trust's 2011/12 Financial Plan includes a total efficiency savings target of £18.8m. Progress against delivering this is reported to the Board of Directors each quarter. Total CIP slippage at the end of quarter 2 was (£1.410m), meaning that delivery of schemes had improved to 85%, up from 75% at the end of quarter 1. The current forecast is that 91% of the CIP plan will be achieved by 31 March 2012.

Table 7 – Delivery of Cost Improvements

£000	Cumulative variance against plan				Y/E Forecast
	Q1	Q2	Q3	Q4	
Division A	(94)	(203)			(334)
Division B	(199)	(211)			(319)
Division C	(160)	(159)			93
Division D	(187)	(486)			(475)
Corporate & NHP	(43)	(198)			(344)
Single Site Savings	(287)	(154)			(307)
<b>Total</b>	<b>(970)</b>	<b>(1410)</b>			<b>(1686)</b>
% Achieved	70%	85%			91%

#### 4. Divisional Analysis

Divisional budgets (excluding healthcare income) recorded an adverse variance of (£4.118m) for the first six months of the financial year, including £3.045m of additional healthcare income.

Table 8 – Analysis of year to date variances by Division

	Income £'000	Expenditure £'000	Total £'000	Year End Forecast £'000
Division A	145	(2,725)	<b>(2,580)</b>	(2,973)
Division B	902	(2,534)	<b>(1,632)</b>	(1,933)
Division C	(111)	(2,032)	<b>(2,143)</b>	(2,964)
Division D	(667)	(722)	<b>(1,389)</b>	(1,357)
Division E	2,803	(2,222)	<b>581</b>	1,074
<b>Sub - Total</b>	<b>3,072</b>	<b>(10,235)</b>	<b>(7,163)</b>	<b>(8,153)</b>
Healthcare Income	3,045	0	<b>3,045</b>	6,090
<b>TOTAL</b>	<b>6,117</b>	<b>(10,235)</b>	<b>(4,118)</b>	<b>(2,063)</b>
<i>Memo General Contingency Reserve</i>			935	1871

Note - may include rounding differences

The total divisional overspend in September was (£1.204m) excluding healthcare over-performance income. The largest element of this (£425,000) remains attributable to nursing (including Theatre ODP's) although there has been a significant improvement against the variance in August (£775,000). Key pressures in month included Theatres (£51,000), due to pre-recruitment for pending service developments and Critical Care (£87,000), due largely to high levels of maternity leave (17 wte), cover for annual leave and high activity. Other nursing cost pressures included CDU (£25,000) and unfunded beds on Wards 302, 306, 408 and 726 (£50,000 total). A further (£175,000) relates to issues across the inpatient wards such as sickness and vacancy cover, over-establishments and skill mix pressures compared to the generic workforce plan. The balance relates to additional nursing for specific patients.

In aggregate medical staffing expenditure is (£309,000) over budget in September. Of this (£120,000) relates to locum usage costing in excess of the funded vacancies in A&E, dermatology, breast surgery and cardiac surgery. Anaesthetics and critical care accounted for (£135,000) of the medical staff cost pressure due to additional sessions to cover leave, rota gaps and

reductions to recharges for sessions worked at other sites. The remaining overspend was due to additional lists and ad hoc cover payments in liver, upper GI, ophthalmology, general surgery and other specialties.

Non-pay budgets were overspent by (£320,000) in total during the month. The majority of this was activity related expenditure with the main pressures including Theatres (£91,000), Critical Care (£73,000), Cardiac Surgery (£49,000) and General Surgery (£35,000). Variable income was also below plan in the month with the main pressures including private patients (£87,000), adult liver transplants (£144,000) and the Injury Costs Recovery Scheme (£106,000). This has been partially offset by income gains of £49,000 in Cardiac for an ECMO patient and £92,000 relating to Imaging SLA's.

## 6. Statement of Financial Position

The Statement of Financial Position (formerly the Balance Sheet) shows the value of Trust assets and liabilities. The upper part of the statement shows net assets after deducting short and long term liabilities. The lower part identifies the sources of finance or equity. Table 9 summarises the Trust's Statement of Financial Position at 30 September 2011.

Table 9 – Statement of Financial Position

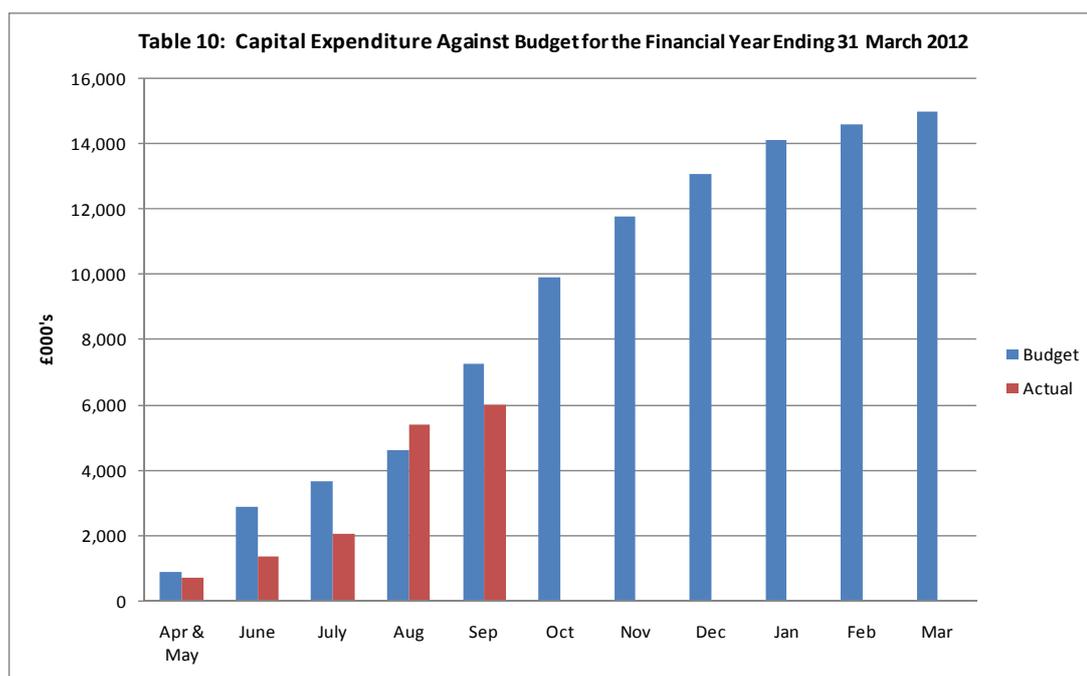
	Audited Mar 2011 £m	Actual Sep 2011 £m	YTD Plan Sep 2011 £m	Annual Plan Mar 2012 £m
<b>Non Current Assets:</b>				
Property, Plant and Equipment	437.0	470.2	472.0	497.7
Intangible Assets	1.0	0.9	1.0	1.0
Trade and Other Receivables	2.9	3.0	2.9	2.9
Other Assets	0.3	0.3	0.0	0.0
<b>Total Non Current Assets</b>	<b>441.1</b>	<b>474.4</b>	<b>475.9</b>	<b>501.6</b>
<b>Current Assets:</b>				
Inventories	12.8	12.8	12.4	12.0
Trade and Other Receivables	50.7	17.1	25.7	29.7
Other Financial Assets	1.1	12.8	12.0	1.5
Other Current Assets	2.2	7.9	6.2	4.0
Cash	62.0	67.2	58.9	55.0
<b>Total Current Assets</b>	<b>128.8</b>	<b>117.8</b>	<b>115.2</b>	<b>102.2</b>
<b>Current Liabilities:</b>				
Trade and Other Payables	64.4	60.7	60.4	61.0
Borrowings	10.9	12.4	12.4	12.3
Provisions	3.3	3.2	3.3	3.3
Tax Payable	6.3	6.5	6.3	6.3
Other Liabilities	26.9	24.0	20.3	13.7
<b>Total Current Liabilities</b>	<b>111.8</b>	<b>106.8</b>	<b>102.7</b>	<b>96.6</b>
<b>Non Current Liabilities:</b>				
Borrowings	447.9	507.3	507.3	546.0
Provisions	1.7	1.7	1.7	1.7
Other Liabilities	41.3	41.4	42.9	42.9
<b>Total Non Current Liabilities</b>	<b>491.0</b>	<b>550.3</b>	<b>551.9</b>	<b>590.6</b>
<b>TOTAL ASSETS EMPLOYED</b>	<b>(32.9)</b>	<b>(64.9)</b>	<b>(63.5)</b>	<b>(83.4)</b>
<b>Financed by:</b>				
Public Dividend Capital	171.0	171.0	171.0	171.0
Income & Expenditure Reserve	(316.5)	(348.1)	(346.7)	(368.6)
Donated Asset Reserve	8.7	8.3	8.3	10.3
Revaluation Reserve	103.9	103.9	103.9	103.9
<b>TOTAL TAXPAYERS EQUITY</b>	<b>(32.9)</b>	<b>(64.9)</b>	<b>(63.5)</b>	<b>(83.4)</b>

Note - may include rounding differences

## 6. Capital Programme

The approved Capital Programme for 2011/12 is £15.0m. Actual expenditure for the year to date is £6.0m, which is £1.3m below plan. Expenditure in the month was £0.6m which was mainly incurred on a range of equipment including replacement scopes, patient monitors, dental chairs and items required for the short stay surgical unit. A summary of expenditure against major schemes is provided in Appendix D. Further detail is provided in the separate capital programme report to the Board of Directors which confirms that the Trusts outturn capital expenditure for 2011/12 is now expected to be around £3.0m below plan.

**Table 10 – Capital Expenditure against plan**



## 7. Analysis of Current Assets (excluding Inventories and Cash)

The value of current assets (excluding inventories and cash) due within one year was £42.6m at 30 September 2011. Of this, £15.5m relates to Trade Receivables i.e. unpaid invoices raised by the Trust. Analysis of this debt by age is included in Appendix E and summarised in table 12 over the page.

Over 90 day debt at 30 September 2011 was £6.1m which represents a £1.4m reduction on the previous month. The improvement relates primarily to settlement of 2010/11 healthcare activity over performance by a number of NHS commissioners. PCT balances still outstanding for 2010/11 (£2.8m) include South Birmingham (£0.9m), Birmingham East & North (£0.4m), Sandwell (£0.3m), Shropshire (£0.3m), Herefordshire (£0.2m) and Solihull (£0.2m). Payments are expected shortly as agreements with each commissioner have now been finalised.

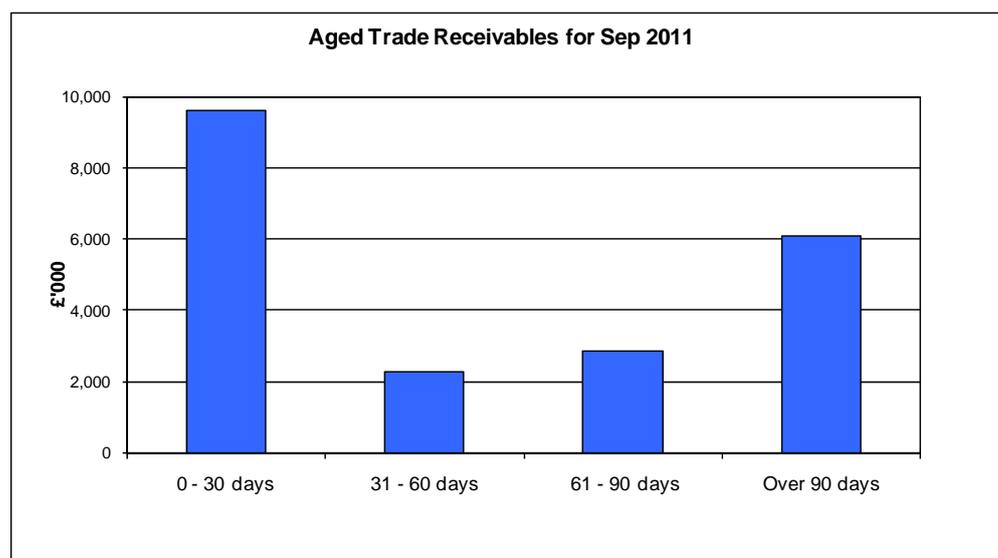
The other main component of over 90 day debt relates to SLA charges to other NHS providers (£1.5m) including Birmingham Children's NHS FT (£0.2m), Heart of England FT (£0.2m), Birmingham & Solihull Mental Health FT (£0.2m) and Sandwell & West Birmingham NHS Trust (£0.2m). The

balance includes a (£0.7m) invoice to Birmingham City Council relating to delayed discharge funding for 2011/12. This has been raised in line with the signed partnership agreement and discussions are ongoing to secure payment.

**Table 11 – Analysis of Current Assets (excluding Inventories and Cash)**

	<b>Actual Sep 2011 £m</b>	<b>Forecast Sep 2011 £m</b>
Trade Receivables	15.5	24.4
Bad Debt Provision	-1.7	-2.3
Other Receivables	3.3	3.6
<b>Trade and Other Receivables</b>	<b>17.1</b>	<b>25.7</b>
Accrued Income	12.8	12.0
<b>Other Financial Assets</b>	<b>12.8</b>	<b>12.0</b>
Prepayments	7.8	6.2
Deferred Asset	0.0	0.0
<b>Other Current Assets</b>	<b>7.9</b>	<b>6.2</b>
<b>TOTAL</b>	<b>37.8</b>	<b>43.9</b>

**Table 12 – Aged Debt Analysis of Trade Receivables due within One Year**

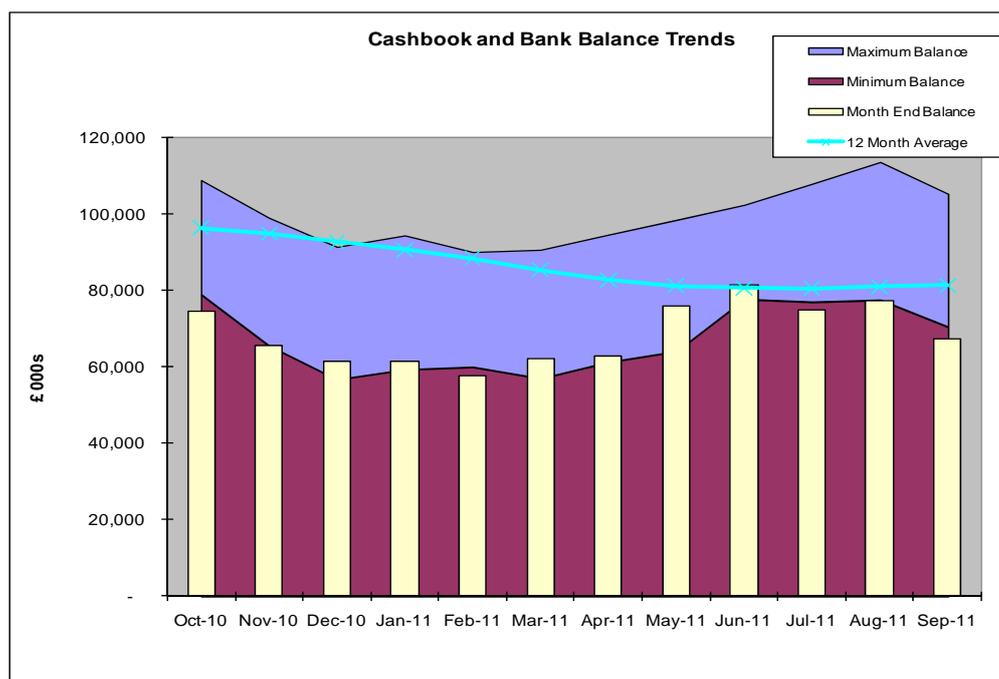


## 8. Cash Flow

A cash flow statement is included as Appendix F, this analyses actual and forecast cash received and paid out. Table 13 below shows the cash balance at month end along with the minimum and maximum daily bank balance in the month and a twelve month rolling average daily bank balance.

The actual cashbook balance at 30 September 2011 was £67.2m and cash balances remain ahead of plan by £8.3m. This is primarily due to favourable movements in working capital with debtors being below plan and creditors being above plan, along with the under spend against the capital programme. Table 13 below shows the twelve month rolling average bank balance reducing over the period as planned.

**Table 13 – Cash Flow**



**9. Working Capital Facility**

The Trust has a working capital facility of £30.0m which is in line with the 2011/12 Annual Plan submitted to Monitor. This facility has been approved by Monitor and is necessary to meet the Monitor's liquidity ratio requirements. This overdraft facility has not been used and there are no plans to call on the facility in the immediate future.

**10. Monitor Ratios**

**10.1 Borrowing Ratios**

The PFI new hospital is incorporated into the Trust's Prudential Borrowing Code as the agreement is considered to be a form of financing or loan. Therefore, the Tier 2 Borrowing Ratios now apply:

**Table 14 - Borrowing Ratios**

Borrowing Ratio:	Limit	Annual Plan - Mar 2012	Actual - Sep 2011
Minimum Dividend Cover	> 1.0	n/a	n/a
Minimum Interest Cover	> 2.0	2.2	2.3
Minimum Debt Service Cover	> 1.5	1.3	1.4
Maximum Debt Service to Revenue	< 10%	5.1%	4.5%

The first three ratios compare how many times the Trust's income and expenditure surplus can cover the PDC dividend, the interest payable on the PFI loan and the debt service of the PFI loan respectively (debt service is the sum of interest paid and the capital repayment of the

loan). The final ratio compares how many times the Trust's total income covers the Debt Service on the PFI loan.

The Annual Plan suggests that the Trust will be slightly under the Tier 2 Limit for Minimum Debt Service cover ratio with a ratio of 1.3 (against a target of 1.5). Monitor's prudential borrowing guidance allows for borrowing limits to be authorised which exceed the ratios in certain circumstances (for example, but not limited to, a major renovation or redevelopment programme). This does not affect the Financial Risk Rating however access to potential additional borrowing (e.g. Finance Leases) may be restricted.

The Trust's current Prudential Borrowing Limit is £564.5m which reflects the maximum value of the PFI loan which will be reached at the opening of the final phase of the new hospital in October 2011.

## 10.2 Financial Risk Rating

The Financial Risk Rating (FRR) remains at 3 based on Monitor's review of the 2011/12 Annual Plan and Quarter 1 results. Self assessment of performance indicates that this will be maintained during Quarter 2. The rating is set between 1 (worst) and 5 (best) based on a series of financial metrics.

## 11. **Monitor Finance Declaration**

Each quarter Foundation Trusts are required to submit a Finance Declaration (similar to the Governance Declaration) certifying that the Board anticipates that the Trust will maintain a financial risk rating of at least 3 for the next 12 months (Declaration 1). If this declaration cannot be made the Trust is required to sign Declaration 2 and provide additional information to support this.

A copy of the Finance Declaration is attached as Appendix H and the Board is requested to agree that Declaration 1 should be signed as current performance and future planning indicates that a FRR of at least 3 will be maintained over the next 12 months.

## 12. **Conclusion**

The Trust has reported a £0.572m income and expenditure surplus for the first six months of the 2011/12 financial year. This represents an adverse variance of (£0.128m) against plan. The reported position includes an aggregate overspend of (£4.118m) across operational divisions after recognition of £3.045m of healthcare over performance income. Action plans have been developed by Divisions and it is important that these deliver to ensure that the agreed year end forecast is achieved.

This position excludes transition costs of (£3.080m) and impairment losses of (£29.123m) in line with the phased opening of the new hospital. Including these items, the overall deficit at 30 September 2011 is (£31.631m).

### 13. Recommendations

The Board of Directors is asked to:

- Receive the contents of this report
- Agree that Declaration 1 should be signed for Q2 confirming that the Board expects the Trust to maintain a minimum FRR of 3 for the next 12 months

A handwritten signature in black ink, consisting of a large capital letter 'M' followed by the name 'Sexton' in a cursive script.

Mike Sexton  
Director of Finance  
18 October 2011

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST  
 FINANCIAL PERFORMANCE - PERIOD ENDING  
 STATEMENT OF COMPREHENSIVE INCOME - ANALYSIS BY EXECUTIVE DIRECTOR

30th September 2011

BUDGET £'000	PERIOD ACTUAL £'000	VARIANCE £'000	BUDGET	BUDGET HOLDER	YEAR TO DATE			FORECAST OUTTURN		
					BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
346	373	27	CHIEF EXECUTIVE	Julie Moore	2,193	2,267	74	4,198	4,198	0
(875)	(887)	(12)			(5,371)	(5,338)	33	(10,623)	(10,623)	0
(312)	(313)	(1)			(2,290)	(2,386)	(96)	(4,288)	(4,288)	0
(841)	(827)	14			(5,468)	(5,457)	11	(10,713)	(10,713)	0
1,900	0	(1,900)	RESERVES	Julie Moore	(3,100)	0	3,100	(8,313)	(8,121)	192
(156)	0	156			(935)	0	935	(1,824)	47	1,871
1,744	0	(1,744)			(4,035)	0	4,035	(10,137)	(8,074)	2,063
627	752	125	DIRECTOR OF FINANCE	Mike Sexton	4,746	4,988	242	7,903	7,903	0
(588)	(567)	21			(3,605)	(3,663)	(58)	(7,089)	(7,089)	0
(303)	(450)	(147)			(1,924)	(2,105)	(181)	(3,755)	(3,755)	0
(264)	(265)	(1)			(783)	(780)	3	(2,941)	(2,941)	0
162	188	26	CHIEF NURSE	Kay Fawcett	1,077	1,010	(67)	2,151	2,151	0
(1,381)	(1,400)	(19)			(8,124)	(8,319)	(195)	(16,176)	(16,176)	0
(264)	(317)	(53)			(1,872)	(1,753)	119	(3,996)	(3,996)	0
(1,483)	(1,529)	(46)			(8,919)	(9,062)	(143)	(18,021)	(18,021)	0
38,780	44,373	5,593	CHIEF OPERATING OFFICER	Kevin Bolger	232,234	238,351	6,117	469,315	476,311	6,996
(19,459)	(21,050)	(1,591)			(116,089)	(122,022)	(5,933)	(231,639)	(238,195)	(6,556)
(15,347)	(17,508)	(2,161)			(76,734)	(81,036)	(4,302)	(150,267)	(152,770)	(2,503)
3,974	5,815	1,841			39,411	35,293	(4,118)	87,409	85,346	(2,063)
3,514	3,552	38	DIRECTOR OF DELIVERY	Tim Jones	19,942	19,984	42	37,134	37,134	0
(764)	(822)	(58)			(4,645)	(4,580)	65	(9,786)	(9,786)	0
(1,136)	(1,119)	17			(6,291)	(6,387)	(96)	(12,990)	(12,990)	0
1,614	1,611	(3)			9,006	9,017	11	14,358	14,358	0
1,331	1,404	73	NEW HOSPITAL DIRECTOR	Morag Jackson	7,954	8,350	396	16,090	16,090	0
(242)	(237)	5			(1,411)	(1,437)	(26)	(2,691)	(2,691)	0
(4,805)	(4,887)	(82)			(28,240)	(28,613)	(373)	(59,473)	(59,473)	0
(3,716)	(3,720)	(4)			(21,697)	(21,700)	(3)	(46,074)	(46,074)	0
1,316	1,466	150	MEDICAL DIRECTOR	Dr David Rosser	8,119	8,308	189	16,039	16,039	0
(888)	(871)	17			(5,296)	(5,326)	(30)	(10,518)	(10,518)	0
(156)	(323)	(167)			(1,238)	(1,404)	(166)	(2,102)	(2,102)	0
272	272	0			1,585	1,578	(7)	3,419	3,419	0
123	171	48	CAPITAL CHARGES		735	895	160	1,470	1,470	0
0	0	0			0	0	0	0	0	0
(1,523)	(1,540)	(17)			(9,135)	(9,212)	(77)	(18,270)	(18,270)	0
(1,400)	(1,369)	31			(8,400)	(8,317)	83	(16,800)	(16,800)	0
46,199	52,279	6,080	TOTAL		277,000	284,153	7,153	554,300	561,296	6,996
(24,697)	(25,834)	(1,137)			(148,000)	(150,685)	(2,685)	(295,200)	(297,383)	(2,183)
(21,602)	(26,457)	(4,855)			(128,300)	(132,896)	(4,596)	(258,600)	(263,413)	(4,813)
(100)	(12)	88			700	572	(128)	500	500	0
0	0	0			(29,100)	(29,123)	(23)	(49,100)	(49,100)	0
(292)	(591)	(299)			(1,750)	(3,080)	(1,330)	(3,500)	(3,500)	0
(392)	(603)	(211)			(30,150)	(31,631)	(1,481)	(52,100)	(52,100)	0

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST  
 FINANCIAL PERFORMANCE - PERIOD ENDING  
 STATEMENT OF COMPREHENSIVE INCOME - CHIEF OPERATING OFFICER

30th September 2011

BUDGET £'000	PERIOD		BUDGET	BUDGET HOLDER	YEAR TO DATE			FORECAST OUTTURN		
	ACTUAL £'000	VARIANCE £'000			BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
37,866	40,911	3,045	HEALTHCARE INCOME	DIRECT INCOME	220,589	223,634	3,045	440,854	446,944	6,090
0	0	0		DIRECT EXP PAY	0	0	0	0	0	0
0	0	0		NON PAY	0	0	0	0	0	0
<b>37,866</b>	<b>40,911</b>	<b>3,045</b>		<b>TOTAL</b>	<b>220,589</b>	<b>223,634</b>	<b>3,045</b>	<b>440,854</b>	<b>446,944</b>	<b>6,090</b>
824	933	109	DIVISION A	DIRECT INCOME	4,917	5,062	145	10,143	10,328	185
(7,258)	(7,491)	(233)		DIRECT EXP PAY	(42,982)	(44,253)	(1,271)	(85,850)	(87,499)	(1,649)
(2,661)	(3,017)	(356)		NON PAY	(15,780)	(17,234)	(1,454)	(32,169)	(33,678)	(1,509)
<b>(9,095)</b>	<b>(9,575)</b>	<b>(480)</b>		<b>TOTAL</b>	<b>(53,845)</b>	<b>(56,425)</b>	<b>(2,580)</b>	<b>(107,876)</b>	<b>(110,849)</b>	<b>(2,973)</b>
517	443	(74)	DIVISION B	DIRECT INCOME	3,004	3,906	902	5,096	6,561	1,465
(3,760)	(3,890)	(130)		DIRECT EXP PAY	(22,986)	(24,396)	(1,410)	(45,443)	(47,220)	(1,777)
(3,459)	(3,587)	(128)		NON PAY	(19,801)	(20,925)	(1,124)	(39,633)	(41,254)	(1,621)
<b>(6,702)</b>	<b>(7,034)</b>	<b>(332)</b>		<b>TOTAL</b>	<b>(39,783)</b>	<b>(41,415)</b>	<b>(1,632)</b>	<b>(79,980)</b>	<b>(81,913)</b>	<b>(1,933)</b>
1,913	1,766	(147)	DIVISION C	DIRECT INCOME	4,191	4,080	(111)	6,507	6,509	2
(3,714)	(3,843)	(129)		DIRECT EXP PAY	(21,861)	(22,874)	(1,013)	(43,890)	(45,261)	(1,371)
(4,230)	(4,304)	(74)		NON PAY	(16,035)	(17,054)	(1,019)	(30,696)	(32,291)	(1,595)
<b>(6,031)</b>	<b>(6,381)</b>	<b>(350)</b>		<b>TOTAL</b>	<b>(33,705)</b>	<b>(35,848)</b>	<b>(2,143)</b>	<b>(68,079)</b>	<b>(71,043)</b>	<b>(2,964)</b>
345	301	(44)	DIVISION D	DIRECT INCOME	2,174	1,507	(667)	4,411	3,560	(851)
(4,274)	(4,390)	(116)		DIRECT EXP PAY	(25,538)	(26,819)	(1,281)	(51,015)	(52,774)	(1,759)
(4,337)	(4,322)	15		NON PAY	(22,722)	(22,163)	559	(44,095)	(42,842)	1,253
<b>(8,266)</b>	<b>(8,411)</b>	<b>(145)</b>		<b>TOTAL</b>	<b>(46,086)</b>	<b>(47,475)</b>	<b>(1,389)</b>	<b>(90,699)</b>	<b>(92,056)</b>	<b>(1,357)</b>
(2,685)	19	2,704	CHIEF OPERATING OFFICER	DIRECT INCOME	(2,641)	162	2,803	2,304	2,409	105
(453)	(1,436)	(983)		DIRECT EXP PAY	(2,722)	(3,680)	(958)	(5,441)	(5,441)	0
(660)	(2,278)	(1,618)		NON PAY	(2,396)	(3,660)	(1,264)	(3,674)	(2,705)	969
<b>(3,798)</b>	<b>(3,695)</b>	<b>103</b>		<b>TOTAL</b>	<b>(7,759)</b>	<b>(7,178)</b>	<b>581</b>	<b>(6,811)</b>	<b>(5,737)</b>	<b>1,074</b>
<b>38,780</b>	<b>44,373</b>	<b>5,593</b>	<b>TOTAL OPERATIONAL DIVISIONS</b>	<b>INCOME</b>	<b>232,234</b>	<b>238,351</b>	<b>6,117</b>	<b>469,315</b>	<b>476,311</b>	<b>6,996</b>
<b>(19,459)</b>	<b>(21,050)</b>	<b>(1,591)</b>		<b>PAY</b>	<b>(116,089)</b>	<b>(122,022)</b>	<b>(5,933)</b>	<b>(231,639)</b>	<b>(238,195)</b>	<b>(6,556)</b>
<b>(15,347)</b>	<b>(17,508)</b>	<b>(2,161)</b>		<b>NON PAY</b>	<b>(76,734)</b>	<b>(81,036)</b>	<b>(4,302)</b>	<b>(150,267)</b>	<b>(152,770)</b>	<b>(2,503)</b>
<b>3,974</b>	<b>5,815</b>	<b>1,841</b>		<b>TOTAL SURPLUS / (DEFICIT)</b>	<b>39,411</b>	<b>35,293</b>	<b>(4,118)</b>	<b>87,409</b>	<b>85,346</b>	<b>(2,063)</b>

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST  
 FINANCIAL PERFORMANCE - PERIOD ENDING  
 STATEMENT OF COMPREHENSIVE INCOME

30th September 2011

APPENDIX C

	Period To date			Forecast Out-turn		
	Budget	Actual	Variance	Budget	Actual	Variance
	£'000	£'000	£'000	£'000	£'000	£'000
<b>Income</b>						
-Clinical - NHS	216,700	222,424	5,723	436,523	436,523	0
-Clinical - Non NHS	6,500	5,410	(1,090)	12,504	12,504	0
-Other	53,499	55,925	2,426	104,672	111,668	6,996
<b>TOTAL INCOME</b>	<b>276,700</b>	<b>283,759</b>	<b>7,059</b>	<b>553,700</b>	<b>560,696</b>	<b>6,996</b>
<b>Operating Expenses</b>						
-Pay Costs	(148,000)	(150,685)	(2,685)	(295,200)	(297,383)	(2,183)
-Non Pay	(110,177)	(114,561)	(4,385)	(220,843)	(225,656)	(4,813)
-Depreciation	(9,000)	(9,212)	(212)	(18,200)	(18,200)	0
<b>TOTAL EXPENDITURE</b>	<b>(267,177)</b>	<b>(274,458)</b>	<b>(7,281)</b>	<b>(534,243)</b>	<b>(541,239)</b>	<b>(6,996)</b>
<b>OPERATING SURPLUS</b>	<b>9,523</b>	<b>9,301</b>	<b>(222)</b>	<b>19,457</b>	<b>19,457</b>	<b>0</b>
Interest Receivable	300	394	94	600	600	0
Interest Payable	(8,151)	(8,151)	(0)	(17,452)	(17,452)	0
Contingent Rental	(972)	(972)	(0)	(2,105)	(2,105)	0
PDC Dividends Payable	0	0	0	0	0	0
<b>SURPLUS FOR THE FINANCIAL YEAR</b>	<b>700</b>	<b>572</b>	<b>(128)</b>	<b>500</b>	<b>500</b>	<b>0</b>
Transition Costs	(1,750)	(3,080)	(1,330)	(3,500)	(3,500)	0
Impairments on Property	(29,100)	(29,123)	(23)	(49,100)	(49,100)	0
<b>RETAINED SURPLUS FOR THE YEAR</b>	<b>(30,150)</b>	<b>(31,631)</b>	<b>(1,481)</b>	<b>(52,100)</b>	<b>(52,100)</b>	<b>0</b>

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST  
 FINANCIAL PERFORMANCE - PERIOD ENDING 30th September 2011  
 CAPITAL PROGRAMME (NHS EXPENDITURE)

APPENDIX D

BUDGET £'000	PERIOD		SCHEME	TO DATE			FORECAST OUTTURN		
	ACTUAL £'000	VARIANCE £'000		BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
50	107	(57)	Bfwd Schemes 10-11	295	153	142	495	495	0
1,450	(75)	1,525	Tomotherapy	1,800	3,453	(1,653)	4,200	4,200	0
175	41	134	IT Strategy and Infrastructure 11/12	800	240	560	1,295	1,295	0
25	0	25	Chief Operating Officer Discretionary Capital	100	0	100	300	300	0
275	211	64	Equipment Replacement	1,405	796	609	2,300	2,300	0
150	321		Equipment Other	1,168	1,161	7	1,368	1,368	0
315	3	312	Retained Estate & Plaza	1,230	79	1,151	4,225	4,225	0
250	0	250	New Hospital	725	140	585	1,309	1,309	0
(42)	0	(42)	Slippage	(250)	0	(250)	(500)	(500)	0
<b>2,648</b>	<b>608</b>	<b>2,211</b>	<b>Capital Programme</b>	<b>7,273</b>	<b>6,022</b>	<b>1,251</b>	<b>14,992</b>	<b>14,992</b>	<b>0</b>

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST**  
**AGED ANALYSIS OF TRADE RECEIVABLES** **30th September 2011**

Appendix E

Month	Trust Total £	No of Invs	Current £	30 - 60 £	60 - 90 £	90+ £
<b>MAY</b>	<b>21,503,128.15</b> 100%	<b>2085</b>	<b>7,315,316.15</b> 34%	<b>2,098,814.18</b> 10%	<b>8,061,704.21</b> 37%	<b>4,027,293.61</b> 19%
<b>JUNE</b>	<b>21,225,822.04</b> 100%	<b>1992</b>	<b>9,406,316.88</b> 44%	<b>3,381,318.73</b> 16%	<b>722,285.79</b> 3%	<b>7,715,900.64</b> 36%
<b>JULY</b>	<b>32,166,841.13</b> 100%	<b>2681</b>	<b>18,605,732.35</b> 58%	<b>3,965,388.28</b> 12%	<b>2,683,226.69</b> 8%	<b>6,912,493.81</b> 21%
<b>AUG</b>	<b>27,336,412.92</b> 100%	<b>2631</b>	<b>9,789,188.45</b> 36%	<b>8,366,072.60</b> 31%	<b>1,629,856.94</b> 6%	<b>7,551,294.93</b> 28%
<b>SEP</b>	<b>20,906,731.49</b> 100%	<b>2906</b>	<b>9,639,868.97</b> 46%	<b>2,283,867.80</b> 11%	<b>2,872,378.98</b> 14%	<b>6,110,615.74</b> 29%

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST**

APPENDIX F

**CASH FLOW 2011/12**

	Apr F/Cast £000's	Apr Actual £000's	May F/Cast £000's	May Actual £000's	June F/Cast £000's	June Actual £000's	July F/Cast £000's	July Actual £000's	Aug F/Cast £000's	Aug Actual £000's	Sep F/Cast £000's	Sep Actual £000's	Oct F/Cast £000's	Nov F/Cast £000's	Dec F/Cast £000's	Jan F/Cast £000's	Feb F/Cast £000's	Mar F/Cast £000's
<b>INCOME</b>																		
Contract Income Protected	36,100	38,240	36,100	49,682	36,100	41,542	36,133	42,359	36,133	44,982	36,134	48,527	36,133	36,133	36,134	36,133	36,133	36,134
Non Protected	1,066	83	1,067	167	1,067	296	1,100	111	1,100	356	1,100	174	1,066	1,067	1,067	1,100	1,100	1,100
Other Income	8,866	10,916	8,866	8,366	8,868	9,502	8,866	5,961	8,866	6,017	8,868	8,032	8,866	8,866	8,868	8,866	8,866	8,868
Interest Received	33	16	33	12	34	13	67	76	67	15	66	13	33	33	34	67	66	67
<b>Total Received</b>	<b>46,065</b>	<b>49,255</b>	<b>46,066</b>	<b>58,228</b>	<b>46,069</b>	<b>51,353</b>	<b>46,166</b>	<b>48,507</b>	<b>46,166</b>	<b>51,370</b>	<b>46,168</b>	<b>56,746</b>	<b>46,098</b>	<b>46,099</b>	<b>46,103</b>	<b>46,166</b>	<b>46,165</b>	<b>46,169</b>
<b>EXPENDITURE</b>																		
Pay	24,700	27,687	24,700	24,174	24,700	24,231	24,633	23,921	24,633	24,457	24,634	27,911	24,600	24,600	24,600	24,466	24,467	24,467
Non Pay	16,433	16,574	16,433	16,260	16,434	15,600	16,366	25,866	16,367	19,023	16,367	31,156	16,366	16,367	16,367	16,366	16,367	17,555
PDC Dividend	0	0	0	0	0	0	0	0	0	0	0	131	0	0	0	0	0	0
PFI - Specific	1,600	1,441	1,600	1,466	1,600	2,486	1,700	1,962	1,700	1,956	1,700	1,893	1,800	1,800	1,800	1,766	1,767	1,767
Capital	966	427	967	497	967	757	1,466	498	1,467	747	1,467	3,231	1,933	1,933	1,934	633	633	3,034
<b>Total Payments</b>	<b>43,699</b>	<b>46,129</b>	<b>43,700</b>	<b>42,398</b>	<b>43,701</b>	<b>43,074</b>	<b>44,165</b>	<b>52,246</b>	<b>44,167</b>	<b>46,183</b>	<b>44,168</b>	<b>64,322</b>	<b>44,699</b>	<b>44,700</b>	<b>44,701</b>	<b>43,231</b>	<b>43,234</b>	<b>46,823</b>
Net Inflow(outflow)	2,366	3,127	2,366	15,830	2,368	8,279	2,001	(3,740)	1,999	5,187	2,000	(7,576)	1,399	1,399	1,402	2,935	2,931	(654)
<b>FINANCING</b>																		
Interest Expense on PFI Scheme	(1,266)	(1,273)	(1,267)	(1,273)	(1,267)	(1,242)	(1,466)	(1,470)	(1,467)	(1,470)	(1,467)	(1,423)	(1,566)	(1,567)	(1,567)	(1,533)	(1,533)	(1,534)
Capital PFI	(800)	(795)	(800)	(795)	(800)	(776)	(733)	(1,019)	(733)	(623)	(734)	(603)	(966)	(967)	(967)	(1,133)	(1,133)	(1,134)
PFI - Variations	0	0	0	(140)	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Movement on Other Grants/Capital	0	0	0	0	0	0	0	94	0	0	0	0	0	0	0	0	0	2,400
<b>CASH BALANCES</b>																		
Opening balance	61,988	61,988	61,988	62,754	61,987	76,096	61,988	81,539	61,490	74,879	60,989	77,400	60,488	59,056	57,620	56,188	56,158	56,123
Bank balances c/f	61,988	62,754	61,987	76,096	61,988	81,539	61,490	74,879	60,989	77,400	60,488	67,207	59,056	57,620	56,188	56,158	56,123	55,000
Cash Held/Agency Bal	21	25	23	23	24	24	24	24	24	24	23	23	23	23	23	23	23	23
<b>Total</b>	<b>62,009</b>	<b>62,779</b>	<b>61,987</b>	<b>76,119</b>	<b>61,988</b>	<b>81,563</b>	<b>61,490</b>	<b>74,903</b>	<b>60,989</b>	<b>77,424</b>	<b>60,488</b>	<b>67,230</b>	<b>59,056</b>	<b>57,620</b>	<b>56,188</b>	<b>56,158</b>	<b>56,123</b>	<b>55,000</b>

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services under PbR</u>						
<u>Specialty Group: 1 - Division A</u>						
Anaesthetics	0	0	0	0	0	0
Critical Care Medicine	0	11	2	7	2	-4
Interventional Radiology	0	0	257	0	257	0
Rehabilitation	12	11	4	2	-8	-9
	<b>12</b>	<b>22</b>	<b>263</b>	<b>9</b>	<b>251</b>	<b>-13</b>
<u>Specialty Group: 2 - Division B</u>						
Breast Surgery	183	1	205	1	22	0
Cardiology	1,314	533	1,082	558	-232	26
Cardiothoracic Surgery	278	113	253	140	-25	27
Cardiothoracic Transplantation	0	0	1	1	1	1
Colorectal Surgery	932	705	939	122	7	-583
Endoscopy	0	0	0	0	0	0
Gastroenterology	2,073	137	1,895	129	-178	-8
General Surgery	1,104	905	1,206	1,635	102	730
Hepatobiliary & Pancreatic Surgery	357	122	410	110	53	-12
Hepatology	470	101	513	95	43	-6
Liver Transplantation	0	0	0	0	0	0
Nephrology	423	463	321	542	-102	79
Renal Surgery	0	0	0	0	0	0
Renal Transplantation	0	0	0	0	0	0
Transplantation Surgery	3	18	0	22	-3	4
Upper Gastrointestinal Surgery	53	1	74	1	21	0
Vascular Surgery	454	74	436	69	-18	-5
	<b>7,643</b>	<b>3,173</b>	<b>7,335</b>	<b>3,425</b>	<b>-308</b>	<b>252</b>
<u>Specialty Group: 3 - Division C</u>						
Accident & Emergency	2	475	0	359	-2	-116
Dermatology	261	8	385	9	124	1
Diabetic Medicine	379	0	351	0	-28	0
Endocrinology	280	27	306	4	26	-23
General Medicine	104	6,214	99	7,052	-5	838
Genitourinary Medicine	4	8	3	4	-1	-4
Geriatric Medicine	1	423	1	394	0	-29
Ophthalmology	1,631	7	1,142	4	-489	-3
Infectious Diseases	0	3	0	1	0	-2
Pain Management	644	1	630	1	-14	0
Respiratory Medicine	44	213	407	163	363	-49
Rheumatology	319	3	271	0	-48	-3
	<b>3,669</b>	<b>7,380</b>	<b>3,595</b>	<b>7,991</b>	<b>-74</b>	<b>611</b>
<u>Specialty Group: 4 - Division D</u>						
Blood and Marrow Transplantation	4	2	5	1	1	-1
Burns care	0	3	2	28	2	25
Clinical Oncology	412	328	423	307	11	-21
Clinical Haematology	1,399	285	1,479	289	80	4
ENT	690	192	789	188	99	-4
Maxillo-facial surgery	452	236	466	272	14	36
Medical Oncology	249	215	243	217	-6	2
Neurology	735	85	1,018	85	283	0
Neurosurgery	1,175	570	966	613	-209	43
Plastic Surgery	1,144	261	1,172	263	28	2
Trauma & Orthopaedics	1,065	893	1,007	1,056	-58	163
Urology	1,113	433	1,032	204	-81	-229
	<b>8,438</b>	<b>3,500</b>	<b>8,602</b>	<b>3,523</b>	<b>164</b>	<b>23</b>
<u>Summary: Services Under PbR</u>	<b>19,762</b>	<b>14,075</b>	<b>19,795</b>	<b>14,948</b>	<b>33</b>	<b>873</b>

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services at Local Prices</u>						
<u>Specialty Group: 1 - Division A</u>						
Anaesthetics	0	0	0	0	0	0
Critical Care Medicine	0	0	0	0	0	0
Interventional Radiology	0	0	3	0	3	0
Rehabilitation	0	0	0	0	0	0
	<b>0</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>3</b>	<b>0</b>
<u>Specialty Group: 2 - Division B</u>						
Breast Surgery	1	0	2	0	1	0
Cardiology	26	13	32	37	6	24
Cardiac MRI	52	0	69	0	17	0
Cardiothoracic Surgery	53	2	62	3	9	1
Cardiothoracic Transplantation	8	5	0	1	-8	-4
Colorectal Surgery	24	0	18	0	-6	0
Gastroenterology	23	2	13	1	-10	-1
General Surgery	88	2	106	3	18	1
Hepatobiliary & Pancreatic Surgery	21	5	71	1	50	-4
Hepatology	22	19	22	27	0	8
Liver Transplantation	5	1	0	0	-5	-1
Nephrology	26	3	22	4	-4	1
Renal Surgery	0	0	0	0	0	0
Renal Transplantation	63	9	48	8	-15	-1
Transplant Surgery	0	0	0	0	0	0
Upper Gastrointestinal Surgery	1	0	1	0	0	0
Vascular Surgery	24	0	22	0	-2	0
	<b>437</b>	<b>62</b>	<b>488</b>	<b>85</b>	<b>51</b>	<b>23</b>
<u>Specialty Group: 3 - Division C</u>						
Accident & Emergency	0	15	0	25	0	10
Dermatology	15	0	14	0	-1	0
Diabetic Medicine	0	0	0	0	0	0
Endocrinology	2	1	5	0	3	-1
General Medicine	3	197	0	211	-3	14
Geriatric Medicine	0	9	0	3	0	-6
Genitourinary Medicine	0	0	1	0	1	0
Infectious Diseases	0	0	0	0	0	0
Ophthalmology	28	0	24	0	-4	0
Pain Management	20	0	25	0	5	0
Respiratory Medicine	0	4	3	2	3	-2
Rheumatology	6	0	4	0	-2	0
	<b>75</b>	<b>225</b>	<b>76</b>	<b>241</b>	<b>1</b>	<b>16</b>
<u>Specialty Group: 4 - Division D</u>						
Bone & Marrow Transplantation	19	0	13	0	-6	0
Blood and Marrow Transplantation	1	0	3	0	2	0
Burns care	4	93	12	130	8	38
Clinical Oncology	10	0	14	1	4	1
Clinical Haematology	3	2	29	2	26	0
ENT	47	0	35	0	-12	0
Maxillo-facial surgery	55	3	53	6	-2	3
Medical Oncology	39	16	50	30	11	14
Neurology	10	1	9	1	-1	0
Neurosurgery	107	3	82	1	-25	-2
Plastic Surgery	47	18	55	12	8	-6
Trauma & Orthopaedics	47	8	57	7	10	-1
Urology	77	1	60	0	-17	-1
	<b>465</b>	<b>145</b>	<b>472</b>	<b>190</b>	<b>7</b>	<b>45</b>
<u>Summary: Services at Local Prices</u>	<b>977</b>	<b>432</b>	<b>1,039</b>	<b>516</b>	<b>62</b>	<b>84</b>

Specialty	ACTIVITY								
	New	Target Follow-Up	Procedure	New	Actual Follow-Up	Procedure	New	Variance Follow-Up	Procedure
<u>Services under PbR</u>									
<u>Specialty Group: 1 - Division A</u>									
Anaesthetics	0	0	0	0	0	0	0	0	0
Chemical Pathology	0	0	23	0	0	19	0	0	-4
Clinical Microbiology	0	0	0	0	0	0	0	0	0
Interventional Radiology	0	0	0	0	0	0	0	0	0
Occupational Therapy	0	0	0	0	0	1	0	0	1
Physiotherapy	0	0	222	0	0	121	0	0	-101
Podiatry	0	0	3	0	0	4	0	0	1
Rehabilitation	0	0	0	0	0	2	0	0	2
Speech & Language Therapy	0	0	1	0	0	54	0	0	53
	<b>0</b>	<b>0</b>	<b>249</b>	<b>0</b>	<b>0</b>	<b>201</b>	<b>0</b>	<b>0</b>	<b>-48</b>
<u>Specialty Group: 2 - Division B</u>									
Breast Surgery	1,168	2,127	317	1,077	1,867	167	-91	-260	-150
Cardiology	4,009	9,212	1,111	4,389	10,104	1,345	380	892	234
Cardiothoracic Surgery	83	763	345	83	754	320	0	-9	-25
Colorectal Surgery	619	565	800	469	704	855	-150	139	55
Gastroenterology	859	1,517	57	938	1,863	143	79	346	86
General Surgery	1,199	2,682	206	888	2,872	305	-311	190	99
Hepatobiliary & Pancreatic Surgery	434	1,431	162	466	1,585	280	32	154	118
Hepatology	623	4,383	132	554	4,363	225	-69	-20	93
Nephrology	508	9,038	131	468	9,184	153	-40	146	22
Transplantation Surgery	0	0	1	0	0	43	0	0	42
Upper Gastrointestinal Surgery	147	190	1	145	234	1			
Vascular Surgery	554	1,326	51	597	1,539	82	43	213	31
	<b>10,202</b>	<b>33,234</b>	<b>3,315</b>	<b>10,074</b>	<b>35,069</b>	<b>3,919</b>	<b>-127</b>	<b>1,791</b>	<b>605</b>
<u>Specialty Group: 3 - Division C</u>									
Accident & Emergency	0	0	1	0	0	0	0	0	-1
Diabetic Medicine	249	2,500	28	319	2,934	29	70	434	2
Dermatology	2,788	5,108	3,012	2,378	4,663	3,183	-410	-445	171
Endocrinology	471	1,477	8	550	2,207	129	79	730	122
General Medicine	442	2,359	128	304	2,735	2	-138	376	-126
GenitoUrinary Medicine	12,154	5,909	0	12,442	6,225	0	288	316	0
Geriatric Medicine	266	393	5	250	405	2	-16	13	-3
Ophthalmology	2,940	9,332	588	2,370	9,622	1,440	-570	290	852
Pain Management	508	835	126	499	1,000	135	-9	165	9
Respiratory Medicine	674	2,221	1	722	2,296	1	48	75	0
Respiratory Physiology	0	0	0	1	0	0	1	0	0
Rheumatology	878	3,910	0	708	4,257	0	-170	347	0
Infectious Diseases	0	0	0	19	12	0	19	12	0
	<b>21,371</b>	<b>34,043</b>	<b>3,895</b>	<b>20,562</b>	<b>36,356</b>	<b>4,921</b>	<b>-828</b>	<b>2,301</b>	<b>1,026</b>
<u>Specialty Group: 4 - Division D</u>									
Burns care	0	0	2	0	0	13	0	0	11
Clinical Oncology	2,458	6,333	5	2,621	6,538	12	163	205	7
ENT	1,831	4,175	2,909	1,731	3,973	3,161	-100	-202	252
Gynaecological Oncology	0	0	0	0	0	0	0	0	0
Clinical Haematology	604	5,806	0	544	5,614	0	-60	-192	0
Maxillo-facial surgery	1,477	3,229	632	1,308	3,297	607	-169	68	-25
Medical Oncology	467	4,449	425	455	4,440	115	-12	-9	-310
Neurology	0	0	8	15	0	17	15	0	9
Neurosurgery	0	0	2	0	0	4	0	0	2
Plastic Surgery	1,199	4,306	977	917	4,348	1,028	-282	42	51
Trauma & Orthopaedics	2,704	7,098	335	2,755	8,121	529	51	1,023	194
Urology	1,480	3,375	1,550	1,441	3,894	1,582	-39	519	32
	<b>12,221</b>	<b>38,772</b>	<b>6,845</b>	<b>11,787</b>	<b>40,225</b>	<b>7,068</b>	<b>-434</b>	<b>1,453</b>	<b>223</b>
<u>Summary: Services Under PbR</u>	<b>43,795</b>	<b>106,049</b>	<b>14,304</b>	<b>42,423</b>	<b>111,650</b>	<b>16,109</b>	<b>-1,389</b>	<b>5,545</b>	<b>1,805</b>

Specialty	ACTIVITY								
	New	Target Follow-Up	Procedure	New	Actual Follow-Up	Procedure	New	Variance Follow-Up	Procedure
<u>Services at Local Prices</u>									
<u>Specialty Group: 1 - Division A</u>									
Interventional Radiology	31	25	0	29	19	0	-2	-6	0
Physiotherapy	2,711	12,750	0	2,190	10,795	0	-521	-1,955	0
Podiatry	88	411	0	136	379	2	48	-32	2
Speech & Language Therapy	214	559	0	266	556	0	52	-3	0
Nutrition & Dietetics	623	1,756	0	514	1,396	0	-109	-360	0
Occupational Therapy	121	245	0	130	232	0	9	-13	0
Orthopaedic Triage - Imaging	0	0	0	789	65	0	789	65	0
Rehabilitation	0	0	1	0	4	5	0	4	4
Upper Limb	482	2,910	0	589	3,377	0	107	467	0
	<b>4,270</b>	<b>18,656</b>	<b>1</b>	<b>4,643</b>	<b>16,823</b>	<b>7</b>	<b>373</b>	<b>-1,833</b>	<b>6</b>
<u>Specialty Group: 2 - Division B</u>									
Breast Surgery	77	0	0	0	0	0	-77	0	0
Cardiac MRI	638	0	0	1,122	0	0	484	0	0
Cardiology	255	379	229	69	274	0	-186	-105	-229
Cardiothoracic Surgery	0	315	0	1	368	0	1	53	0
Cardiothoracic Transplantation	1	20	0	0	17	0	-1	-3	0
Colorectal Surgery	20	3	1	0	19	0	-20	17	-1
Gastroenterology	50	0	2	0	0	0	-50	0	-2
General Surgery	87	18	0	0	3	0	-87	-15	0
Hepatobiliary & Pancreatic Surgery	14	0	0	0	0	0	-14	0	0
Hepatology	0	188	0	4	153	1	4	-35	1
Liver Transplantation	0	26	0	0	141	0	0	115	0
Nephrology	71	628	0	94	639	0	23	11	0
Renal Transplantation	21	992	0	26	800	0	5	-192	0
Upper Gastrointestinal Surgery	10	6	0	13	15	1	3	9	1
Vascular Surgery	57	0	1	0	0	3	-57	0	2
	<b>1,225</b>	<b>2,574</b>	<b>234</b>	<b>1,329</b>	<b>2,429</b>	<b>5</b>	<b>104</b>	<b>-145</b>	<b>-229</b>
<u>Specialty Group: 3 - Division C</u>									
Accident & Emergency	718	351	0	598	158	0	-120	-193	0
Dermatology	0	111	0	0	0	12	0	-111	12
Diabetic Medicine	0	766	0	0	807	0	0	41	0
General Medicine	0	210	0	0	102	0	0	-108	0
Genitourinary Medicine	7	5,264	0	96	4,961	0	89	-303	0
Ophthalmology	346	0	0	1	0	0	-345	0	0
Pain Management	4	0	0	4	2	0	0	2	0
Respiratory Medicine	0	83	1	0	0	0	0	-83	-1
Rheumatology	18	255	0	9	260	2	-9	5	2
	<b>1,093</b>	<b>7,041</b>	<b>2</b>	<b>708</b>	<b>6,290</b>	<b>14</b>	<b>-385</b>	<b>-751</b>	<b>12</b>
<u>Specialty Group: 4 - Division D</u>									
Audiology	421	5,902	0	417	5,811	0	-4	-91	0
Burns care	18	50	0	0	48	0	-18	-2	0
Clinical Oncology	0	4	0	2	0	0	2	-4	0
Clinical Haematology	226	10,017	0	179	10,590	0	-47	573	0
ENT	0	2	5	0	0	7	0	-2	2
Maxillo-facial surgery	35	0	0	0	0	3	-35	0	3
Medical Oncology	327	2,743	0	279	2,758	0	-48	15	0
Neurology	2,587	7,241	2	3,664	6,107	4	1,077	-1,134	2
Neurosurgery	1,693	3,357	0	1,324	3,580	1	-369	223	1
Plastic Surgery	66	0	12	0	0	59	-66	0	47
Trauma & Orthopaedics	537	1,525	28	244	1,574	58	-293	49	30
Urology	96	248	1	152	0	1	56	-248	0
	<b>6,006</b>	<b>31,089</b>	<b>48</b>	<b>6,261</b>	<b>30,468</b>	<b>133</b>	<b>255</b>	<b>-621</b>	<b>85</b>
<u>Summary: Services at Local Prices</u>									
	<b>12,594</b>	<b>59,361</b>	<b>284</b>	<b>12,941</b>	<b>56,010</b>	<b>159</b>	<b>347</b>	<b>-3,351</b>	<b>-125</b>

		ADMITTED PATIENT CARE											
		ELECTIVE APC				NON-ELECTIVE APC				TOTAL			
		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE	
WEST MIDLANDS PCTS	MAND	16,896	17926	1,030	106%	12,996	13862	866	107%	29,892	31,788	1,896	106%
	NON-TARIFF	791	858	67	108%	288	333	45	116%	1,079	1,191	112	110%
TOTAL WEST MIDLANDS PCTs	TOTAL	17,687	18,784	1,097	106%	13,284	14,195	911	107%	30,971	32,979	2,008	106%
WMSCCT	MAND	1,473	1238	- 235	84%	752	755	3	100%	2,225	1,993	- 232	90%
	NON-TARIFF	132	109	- 23	83%	107	132	25	123%	239	241	2	101%
TOTAL WEST MIDLANDS SCT	TOTAL	1,605	1,347	- 258	84%	859	887	28	103%	2,464	2,234	- 230	91%
OUT OF AREA CONTRACTED COMMISSIONERS	MAND	1,308	563	- 745	43%	232	243	11	105%	1,540	806	- 734	52%
	NON-TARIFF	47	59	12	126%	30	49	19	166%	76	108	32	142%
TOTAL ALL OTHER COMMISSIONERS	TOTAL	1,354	622	- 732	46%	262	14	30	5%	1,616	636	- 980	39%
NON-CONTRACTED ACTIVITY	MAND	86	68	- 18	79%	95	88	- 7	93%	181	156	- 25	86%
	NON-TARIFF	8	13	6	173%	8	2	- 6	27%	15	15	-	100%
TOTAL NON-CONTRACTED ACTIVITY	TOTAL	94	81	- 13	86%	103	90	- 13	88%	196	171	- 25	87%
<b>TOTAL MANDATORY</b>	<b>MAND</b>	<b>19,762</b>	<b>19,795</b>	<b>33</b>	<b>3</b>	<b>14,075</b>	<b>14,948</b>	<b>873</b>	<b>4</b>	<b>33,837</b>	<b>34,743</b>	<b>906</b>	<b>103%</b>
<b>TOTAL NON-MANDATORY</b>	<b>NON-TARIFF</b>	<b>977</b>	<b>1,039</b>	<b>62</b>	<b>5</b>	<b>432</b>	<b>516</b>	<b>84</b>	<b>4</b>	<b>1,409</b>	<b>1,555</b>	<b>146</b>	<b>110%</b>
<b>TOTAL</b>	<b>TOTAL</b>	<b>20,739</b>	<b>20,834</b>	<b>95</b>	<b>100%</b>	<b>14,507</b>	<b>15,464</b>	<b>957</b>	<b>107%</b>	<b>35,247</b>	<b>36,298</b>	<b>1,051</b>	<b>103%</b>

		OUTPATIENT ATTENDANCES																			
		FIRST ATTENDANCE				FOLLOW-UP ATTENDANCE				PROCEDURES				TOTAL							
		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE					
WEST MIDLANDS PCTS	MAND	42,343	41,439	-	904	98%	101,051	107,815	-	6,764	107%	14,020	15,806	-	1,786	113%	157,414	165,060	-	7,646	105%
	NON-TARIFF	4,643	7,182	-	2,539	155%	25,700	26,789	-	1,089	104%	0	123	-	123	-	30,343	34,094	-	3,751	112%
TOTAL WEST MIDLANDS PCTS	TOTAL	46,986	48,621	-	1,635	103%	126,751	134,604	-	7,853	106%	14,020	15,929	-	1,909	114%	187,757	199,154	-	11,397	106%
WMSCT	MAND	78	73	-	5	94%	676	530	-	146	78%	101	3	-	98	3%	854	606	-	248	71%
	NON-TARIFF	2,059	1,702	-	357	83%	11,500	10,743	-	757	93%	0	-	-	0	0%	13,559	12,445	-	1,114	92%
TOTAL WEST MIDLANDS SCT	TOTAL	2,137	1,775	-	362	83%	12,176	11,273	-	903	93%	101	3	-	98	3%	14,413	13,051	-	1,362	91%
OUT OF AREA CONTRACTED COMMISSIONERS	MAND	1,085	648	-	437	60%	3,708	2,807	-	901	76%	140	191	-	51	137%	4,933	3,646	-	1,287	74%
	NON-TARIFF	604	169	-	435	28%	949	467	-	482	49%	268	22	-	246	8%	1,821	658	-	1,163	36%
TOTAL ALL OTHER COMMISSIONERS	TOTAL	1,689	817	-	872	48%	4,657	3,274	-	1,383	70%	408	213	-	195	52%	6,754	4,304	-	2,450	64%
NON-CONTRACTED ACTIVITY	MAND	289	263	-	26	91%	614	498	-	116	81%	44	109	-	65	247%	947	870	-	77	92%
	NON-TARIFF	22	26	-	4	120%	128	85	-	43	66%	16	14	-	2	88%	166	125	-	41	75%
TOTAL NON-CONTRACTED ACTIVITY	TOTAL	310	289	-	21	93%	742	583	-	159	79%	60	123	-	63	205%	1,113	995	-	118	89%
<b>TOTAL MANDATORY</b>	<b>MAND</b>	<b>43,795</b>	<b>42,423</b>	-	<b>1,372</b>	<b>97%</b>	<b>106,049</b>	<b>111,650</b>	-	<b>5,601</b>	<b>105%</b>	<b>14,304</b>	<b>16,109</b>	-	<b>1,805</b>	<b>0%</b>	<b>164,148</b>	<b>170,182</b>	-	<b>6,034</b>	<b>104%</b>
<b>TOTAL NON-MANDATORY</b>	<b>NON-TARIFF</b>	<b>7,328</b>	<b>9,079</b>	-	<b>1,751</b>	<b>124%</b>	<b>38,277</b>	<b>38,084</b>	-	<b>193</b>	<b>99%</b>	<b>284</b>	<b>159</b>	-	<b>125</b>	<b>56%</b>	<b>45,889</b>	<b>47,322</b>	-	<b>1,433</b>	<b>103%</b>
<b>TOTAL</b>	<b>TOTAL</b>	<b>51,122</b>	<b>51,502</b>	-	<b>380</b>	<b>101%</b>	<b>144,326</b>	<b>149,734</b>	-	<b>5,408</b>	<b>104%</b>	<b>14,588</b>	<b>16,268</b>	-	<b>1,680</b>	<b>112%</b>	<b>210,037</b>	<b>217,504</b>	-	<b>7,467</b>	<b>104%</b>