

AGENDA ITEM NO:**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
REPORT TO THE BOARD OF DIRECTORS
THURSDAY 22 SEPTEMBER 2011**

Title:	FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 31 AUGUST 2011
Responsible Director:	Mike Sexton, Director of Finance
Contact:	Julian Miller, Deputy Director of Finance, ext. 53074

Purpose:	To present an update to the Board
Confidentiality Level & Reason:	N/A
Medium Term Plan Ref:	Aim 2: Maintain our reputation and position at the leading edge of performance and quality Aim 3: Enhance our reputation for excellent financial management and efficiency
Key Issues Summary:	An actual surplus of £0.584m has been recorded for the first five months (April – August) of the 2011/12 financial year. This represents an adverse variance of (£216,000) against the planned surplus of £0.800m for the period. This position excludes transition costs of (£2.489m) relating to the new hospital moves and the latest phase of the new hospital impairment loss (£29.123m). Therefore the overall deficit reported at Q1 is (£31.028m).
Recommendations:	The Board of Directors is asked to: <ul style="list-style-type: none">• Receive the contents of this report

Signed: M Sexton	Date: 14 September 2011
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UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST

REPORT TO THE BOARD OF DIRECTORS THURSDAY 22 SEPTEMBER 2011

FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 31 AUGUST 2011

PRESENTED BY THE DIRECTOR OF FINANCE

1. Introduction

This report covers the first five months of the 2011/12 financial year between 1 April 2010 and 31 August 2011; it contains three financial statements;

- Statement of Comprehensive Income
- Statement of Position and the
- Cash Flow Statement.

The report details operating expenditure, variances and capital expenditure for the period. It also summarises healthcare activity data and the associated income for the first three months of the financial year.

The 2011/12 Financial Plan provides for an annual surplus of £0.5m. This excludes planned 'exceptional costs' of £52.6m comprising restructuring costs of £3.5m, associated with the transition to the New Hospital, and an expected impairment loss of £49.1m on the new building. Therefore, in overall terms the Trust has planned for a deficit of (£52.1m) in 2011/12.

An actual surplus of £0.584m has been recorded for the first five months of the financial year, this represents an adverse variance of (£0.216m) against the planned surplus of £0.800m (before exceptional costs). To date transition costs of £2.489m have been incurred and the first element of the planned new hospital impairment has been recognised (£29.123m). Including these items, the overall deficit at 31 July 2011 is (£31.028m).

The reported financial position includes an aggregate overspend of (£5.958m) across the Trust's operational divisions for the first five months. This reflects a combination of continuing overspends against nursing and medical pay budgets along with slippage against CIP schemes and under-recovery against some income targets. This has been partially offset by the release of £5.000m from the Trust's specific reserves. Action plans have been developed by the divisions to mitigate the need to use additional reserves in order to achieve the planned surplus. Divisional forecasts for the financial year are included in the report (see section 2.2 below).

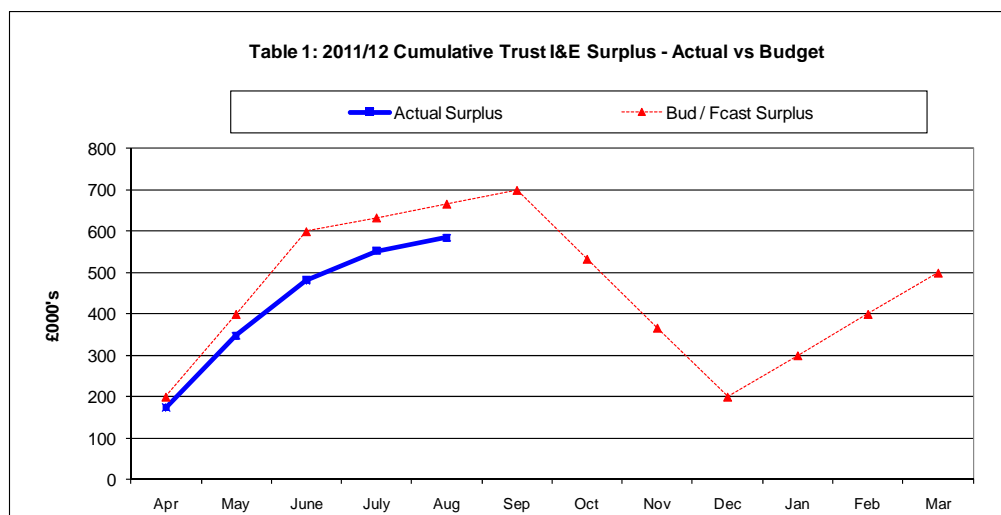
The Trust's Financial Risk Rating (FRR) remains at 3 (out of 5) based on Monitor's review of the 2011/12 Annual Plan and self assessment of the Quarter 1 results.

2. Financial Position Summary

2.1 Year to Date Position

The cumulative income and expenditure surplus (excluding impairments and restructuring) is shown in Table 1 below. This shows the £0.584m surplus year to date, this is (£0.216m) below the planned surplus of £0.800m. The budgeted surplus to date is not a straight pro-rata of the planned full year surplus due to the phasing of expenditure across the year.

Table 1 – I&E Surplus vs. Plan 2011/12



The statement of comprehensive income appears in Appendix C. Table 2 below summarises the Trusts revenue transactions for the year. Further analysis of income is included in section 3.1 and detail regarding operating expenses is set out in section 3.3.

Table 2 – YTD Income and Expenditure Budget vs. Actual

	Budget Apr-Aug 2011 £m	Actual Apr-Aug 2011 £m	Variance £m
Revenue	230.2	231.2	1.0
Operating Expenses	(214.9)	(216.1)	(1.2)
EBITDA	15.3	15.1	(0.2)
Depreciation	(7.7)	(7.7)	0.0
Donated Asset Reserve Transfer	0.4	0.4	0.0
Interest Receivable	0.3	0.3	0.0
Interest Payable	(6.7)	(6.7)	(0.0)
Contingent Rental	(0.8)	(0.8)	0.0
PDC Dividend	0.0	0.0	0.0
Operational (Deficit)/Surplus	0.8	0.6	(0.2)
Transition Costs	(1.5)	(2.5)	(1.0)
Impairments on Property	(29.1)	(29.1)	(0.0)
Retained (Deficit)/Surplus	(29.8)	(31.0)	(1.2)

Note - may include rounding differences

2.2. Forecast Outturn

Excluding impairments and restructuring costs, the Trust continues to forecast achievement a £0.500m surplus for the year, in line with the Annual Plan submitted to Monitor.

Achievement of the Trust's overall forecast is predicated upon the operational divisions achieving their agreed forecast outturn position of (£8.467m) for the year. A breakdown of the operational forecast by Division is shown in Table 8 in section 4 below.

3. **Income and Expenditure**

3.1 Income Analysis

Total operating income is £1.0m above budget as at 31 August 2011. Within this NHS Clinical Income is (£0.1m) below plan due to under performance on cost per case drugs and devices. The over-performance on the other elements of NHS clinical income (set out in Table 5 below) is not yet reflected in the accounts. Non-NHS Clinical Income is (£0.8m) below plan, due primarily to private patients (£0.5m). The Injury Cost Recovery Scheme (RTA) income has improved in the month and is now just (£0.1m) under plan for the year to date. Other Income is above plan by £1.9m reflecting increases in respect of education, research and development and Non NHS SLA income. These sources typically have corresponding expenditure commitments and therefore have little impact on the bottom line.

Table 3 – Income against plan

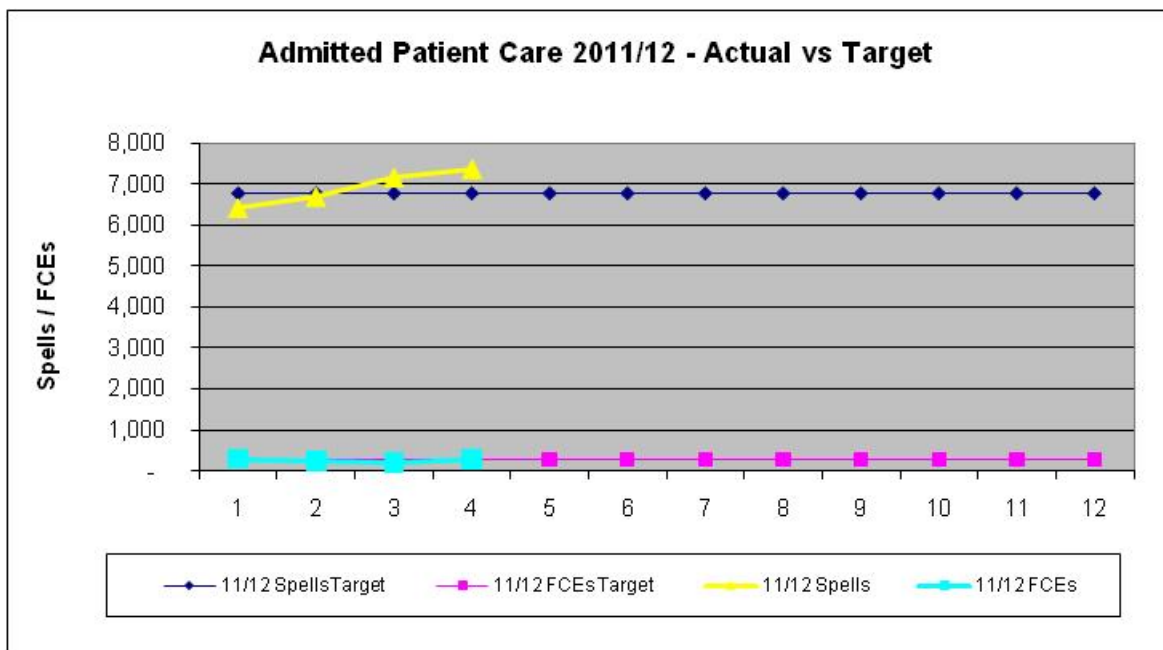
	Budget Apr-Aug 2011 £m	Actual Apr-Aug 2011 £m	Variance £m
Clinical - NHS	180.6	180.5	(0.1)
Clinical - Non NHS	5.4	4.6	(0.8)
Other	44.2	46.1	1.9
TOTAL	230.2	231.2	1.0

Note - may include rounding differences

3.2 NHS Clinical Income / Activity

Table 4.1 below compares 2011/12 monthly admitted patient care activity against target levels for the first four months of the financial year. Overall inpatient activity was higher than planned in Month 4 and both Spells (covered by Payment by Results) and FCE's (non PBR) are now higher than plan year to date.

Table 4.1 – Trust Inpatient Activity



Outpatient activity (Table 4.2) was ahead of plan in Month 4, actual activity for the first four months of the year for new, follow up and procedures is all above plan.

Table 4.2 – Trust Outpatient Activity

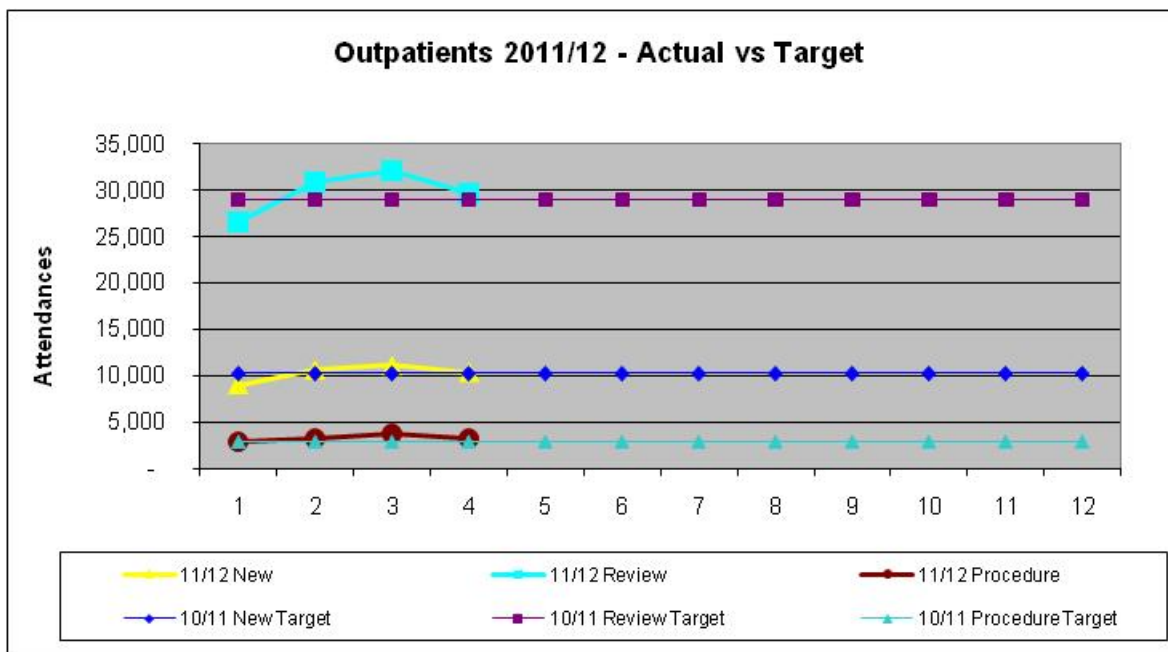


Table 5 below shows the variance by Division and by Point of Delivery (POD) against the 2011/12 healthcare income targets. This shows continuing improvement against the previously reported position with healthcare income (excluding cost per case) now ahead of plan by £1.186m for the first four months of the financial year. This position includes a provision for the impact of queries raised to date by HCS on behalf of local PCT's, however further payment risks remain in relation

to performance against contract targets. Detailed activity performance against plan by specialty and commissioner is included as Appendix G.

Table 5 – Summary Healthcare Income Performance by Division by Point of Delivery

	YTD Variance against Divisional Baselines (£000)				
	Div A	Div B	Div C	Div D	Total
Inpatient	93	(922)	(143)	677	(296)
Outpatient	(3)	369	178	(30)	515
Other	952	(46)	165	(104)	967
Total	1,042	(599)	200	543	1,186

Note - may include rounding differences

3.3 Expenditure Analysis

The Trust wide subjective expenditure analysis in Table 6 shows an over spend of (£1.2m) against budgeted expenditure of £214.9m for the period.

Table 6 – Expenditure against plan

	Budget Apr-Aug 2011 £m	Actual Apr-Aug 2011 £m	Variance £m
PAY			
Medical Staff	37.9	39.3	(1.4)
Nursing	40.3	43.1	(2.8)
Scientific & Technical	16.3	15.8	0.5
SMP / A&C	21.3	21.7	(0.4)
Other / Pay Reserves	7.5	4.9	2.6
Total Pay	123.3	124.8	(1.5)
NON PAY			
Drugs	24.2	23.5	0.7
Clinical Supplies & Services	28.8	28.5	0.3
Other / Non-Pay Reserves	38.6	39.3	(0.7)
Total Non Pay	91.6	91.3	0.3
GRAND TOTAL	214.9	216.1	(1.2)

Note - may include rounding differences

3.4 Cost Improvement Programme

The Trust's 2011/12 Financial Plan includes a total efficiency savings target of £18.8m. Delivery against this target will be reported to the Board of Directors on a quarterly basis during the financial year. Total CIP slippage at quarter 1 was £0.970m, with delivery equating to 70% of the year to date target. The current forecast is that 85% of the CIP plan will be achieved by 31 March 2012. However this is expected to improve throughout the year as savings projects start to deliver and

contingency plans are implemented, therefore it is expected that at least 90% will be achieved by year end.

Table 7 – Delivery of Cost Improvements

£000	Cumulative variance against plan				Y/E Forecast
	Q1	Q2	Q3	Q4	
Division A	(94)				(316)
Division B	(199)				(682)
Division C	(160)				(143)
Division D	(187)				(749)
Corporate & NHP	(43)				(157)
Single Site Savings	(287)				(800)
Total	(970)				(2,487)
% Achieved	70%				85%

4. Divisional Analysis

Divisional budgets (excluding healthcare income) have recorded an adverse variance of (£5.958m) for the five months to 31 August 2011. This is partially offset by the release of £780,000 from the general contingency reserve.

Table 8 – Analysis of year to date variances by Division

	Year To Date Income £'000	Year To Date Expenditure £'000	Year To Date Total £'000	Year End Forecast £'000
Division A	38	(2,132)	(2,094)	(3,058)
Division B	977	(2,283)	(1,306)	(2,018)
Division C	37	(1,832)	(1,795)	(2,998)
Division D	(623)	(618)	(1,241)	(1,463)
Division E	100	378	478	1,070
Sub - Total	529	(6,487)	(5,958)	(8,467)
Healthcare Income	0	0	0	0
TOTAL	529	(6,487)	(5,958)	(8,467)

<i>Memo General Contingency Reserve</i>		780	1871
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Note - may include rounding differences

The total overspend within operational areas in August was (£903,000). The largest element (£775,000) of this remains attributable to nursing (including Theatre ODP's). Key nursing overspends included Theatres (£69,000), due to pre-recruitment for pending service developments, Critical Care (£147,000) due to increasing levels of maternity leave (22 wte) along with cover for annual leave and continuing high activity in the department. Other nursing cost pressures included CDU (£44,000) and unfunded beds on Wards 302, 306, 408 and 726 (£70,000 total). A further (£300,000) relates to issues across the inpatient wards including long term sickness cover, vacancy and annual cover in August, over-establishments and skill mix pressures compared to the generic workforce plan. A further cost pressure of (£50,000) was incurred for additional nursing for specific patients (e.g. patients with dementia). The monthly overspend on nursing is above the trend of recent months and this appears to reflect seasonal issues such as a greater

requirement for annual leave cover and increased sickness over the holiday period. Rapid improvement will be needed in nursing in order for divisional forecasts to be achieved.

In aggregate medical staffing budgets are (£358,000) over spent in August. Of this (£188,000) relates to consultants including locum costs in Dermatology, cover payments and additional waiting list sessions. Junior medical staff resulted in an overspend of (£170,000) due primarily to locum costs in excess of funded vacancies within A&E (£54,000), Breast Services (£17,000), Cardiac Surgery (£29,000), Anaesthetics (£40,000) and Urology (£30,000).

A number of significant non pay overspends occurred in the month including Theatres (£154,000), Critical Care (£82,000), A&E (£47,000), Cardiac Surgery (£63,000) and Pharmacy (£16,000). This appears to be mainly activity related although there is also some slippage in the delivery of CIP targets across the Divisions.

Variable income improved in the month with adult liver transplants five above plan contributing £110,000 and cardiac ECMO activity £81,000 above plan. Income from the Injury Costs Recovery Scheme (previously RTA income) was £258,000 above target in the month reducing the year to date under recovery to (£71,000).

6. Statement of Financial Position

The Statement of Financial Position (formerly the Balance Sheet) shows the value of Trust assets and liabilities. The upper part of the statement shows net assets after deducting short and long term liabilities. The lower part identifies the sources of finance or equity of fund the net assets. Table 9 summarises the Trust's Statement of Financial Position at 31 August 2011.

Table 9 – Statement of Financial Position

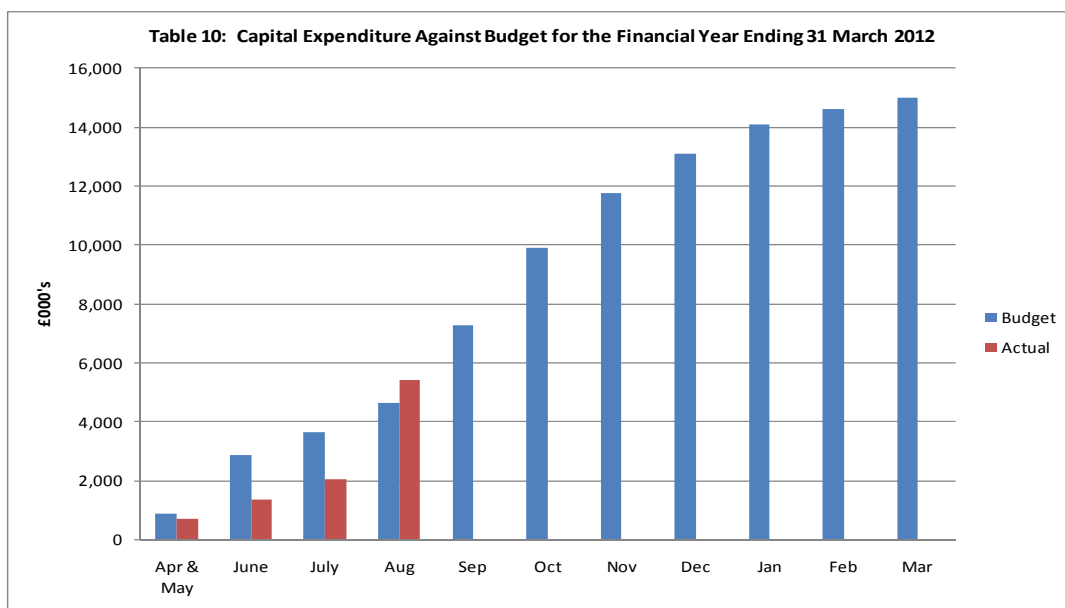
	Audited Mar 2011 £m	Actual Aug 2011 £m	YTD Plan Aug 2011 £m	Annual Plan Mar 2012 £m
Non Current Assets:				
Property, Plant and Equipment	437.0	471.2	471.9	497.7
Intangible Assets	1.0	0.8	1.0	1.0
Trade and Other Receivables	2.9	3.2	2.9	2.9
Other Assets	0.3	0.3	0.0	0.0
Total Non Current Assets	441.1	475.5	475.8	501.6
Current Assets:				
Inventories	12.8	12.7	12.5	12.0
Trade and Other Receivables	50.7	20.6	27.0	29.7
Other Financial Assets	1.1	10.7	12.0	1.5
Other Current Assets	2.2	7.3	6.2	4.0
Cash	62.0	77.4	59.7	55.0
Total Current Assets	128.8	128.7	117.4	102.2
Current Liabilities:				
Trade and Other Payables	64.4	66.9	60.7	61.0
Borrowings	10.9	12.1	12.1	12.3
Provisions	3.3	3.2	3.3	3.3
Tax Payable	6.3	7.0	6.3	6.3
Other Liabilities	26.9	28.1	21.8	13.7
Total Current Liabilities	111.8	117.2	104.2	96.6
Non Current Liabilities:				
Borrowings	447.9	508.1	508.3	546.0
Provisions	1.7	1.7	1.7	1.7
Other Liabilities	41.3	41.4	42.2	42.9
Total Non Current Liabilities	491.0	551.2	552.2	590.6
TOTAL ASSETS EMPLOYED	(32.9)	(64.2)	(63.2)	(83.4)
Financed by:				
Public Dividend Capital	171.0	171.0	171.0	171.0
Income & Expenditure Reserve	(316.5)	(347.5)	(346.5)	(368.6)
Donated Asset Reserve	8.7	8.4	8.4	10.3
Revaluation Reserve	103.9	103.9	103.9	103.9
TOTAL TAXPAYERS EQUITY	(32.9)	(64.2)	(63.2)	(83.4)

Note - may include rounding differences

6. Capital Programme

The approved Capital Programme for 2011/12 is £15.0m. Actual expenditure for the year to date was £5.4m which is £0.6m ahead of plan as shown in Table 10 below. Expenditure in the month was £3.4m including £2.4m for the first Tomotherapy machine which was delivered slightly earlier than anticipated, £0.4m for enabling works related to the scheme, £0.2m for replacement scopes and £0.2m for other replacement medical equipment. A summary of expenditure against major schemes is provided in Appendix D.

Table 10 – Capital Expenditure against plan



7. Analysis of Current Assets (excluding Inventories and Cash)

The value of current assets (excluding inventories and cash) due within one year was £38.6m at 31 August 2011. Of this, £20.2m relates to Trade Receivables i.e. unpaid invoices raised by the Trust. Analysis of this debt by age is included in Appendix E and summarised in table 12 over the page.

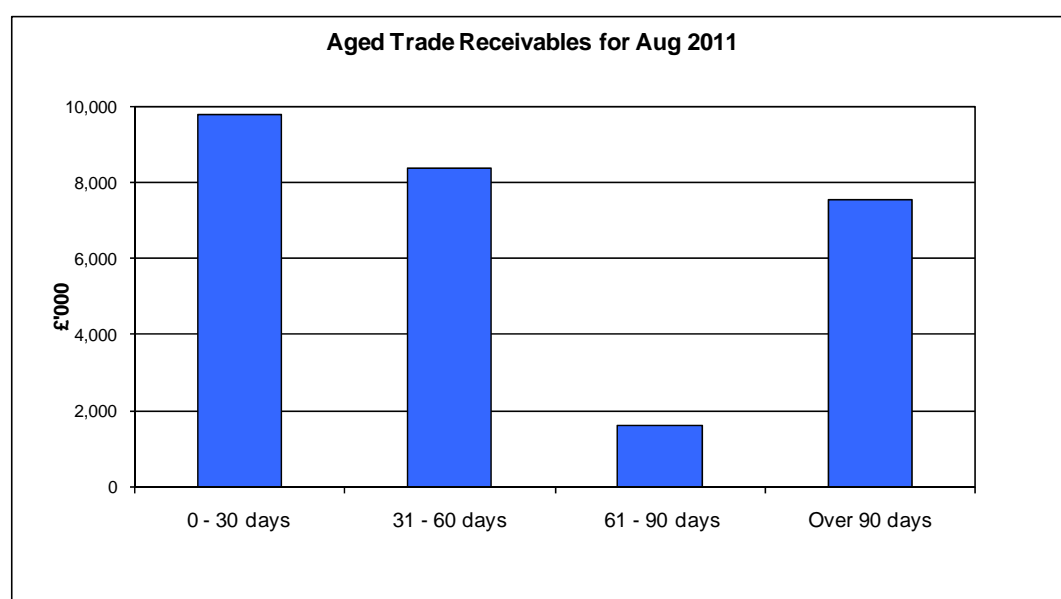
Over 90 day debt at 31 August 2011 was £7.6m representing a £0.7m increase on the previous month. The largest element of the outstanding debt relates to invoices to NHS commissioners for 2010/11 healthcare activity over performance raised in March 2011. PCT's with outstanding invoices include South Birmingham (£0.9m), Birmingham East & North (£0.9m), Dudley PCT (£0.8m), Sandwell PCT (£0.4m), Shropshire PCT (£0.3m) and Herefordshire PCT (£0.2m). These balances are being finalised in line with the NHS timetable and payments should be made within the next month.

The other significant component of over 90 day debts are outstanding SLA charges to other NHS providers including Royal Orthopaedic Hospital NHS FT (£0.4m), Birmingham Children's NHS FT (£0.3m), and Heart of England FT (£0.3m). These amounts are largely offset by reciprocal creditor balances for invoices to the Trust. Finally, there is a (£0.7m) invoice to Birmingham City Council which has now breached 90 days. This relates to an agreed payment in respect of delayed discharges for 2011/12 and discussions are ongoing to resolve this.

Table 11 – Analysis of Current Assets (excluding Inventories and Cash)

	Actual Aug 2011 £m	Forecast Aug 2011 £m
Trade Receivables	20.2	25.7
Bad Debt Provision	-1.5	-2.3
Other Receivables	2.0	3.6
Trade and Other Receivables	20.6	27.0
Accrued Income	10.7	12.0
Other Financial Assets	10.7	12.0
Prepayments	7.2	6.2
Deferred Asset	0.0	0.0
Other Current Assets	7.3	6.2
TOTAL	38.6	45.2

Table 12 – Aged Debt Analysis of Trade Receivables due within One Year

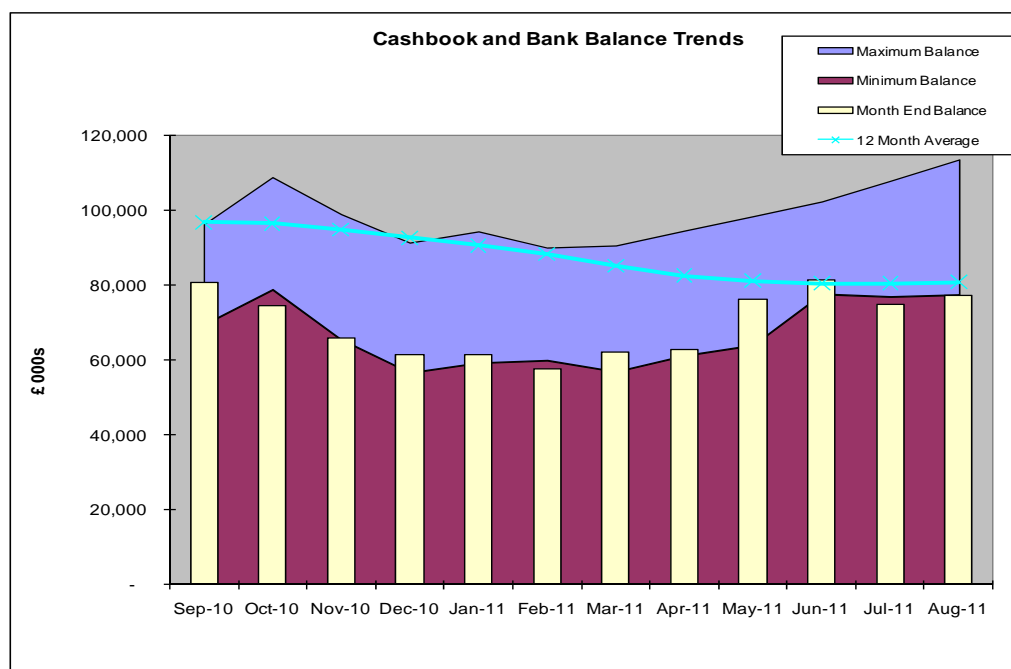


8. Cash Flow

A cash flow statement is included as Appendix F, this analyses actual and forecast cash received and paid out. Table 13 below shows the cash balance at month end along with the minimum and maximum daily bank balance in the month and a twelve month rolling average daily bank balance.

The actual cashbook balance at 31 August 2011 was £77.4m, a £2.5m increase since 31 July. Cash balances remain ahead of plan by £15.4m; this is due to favourable movements in working capital with debtors and accrued income below plan and creditors above plan. Table 13 below shows the twelve month rolling average bank balance reducing over the period as planned.

Table 13 – Cash Flow



9. Working Capital Facility

The Trust has increased its overdraft facility to £30.0m as per the 2011/12 Annual Plan submitted to Monitor. This increase has been approved by Monitor and is necessary to meet the requirements of the liquidity ratio. The overdraft facility has not been used and there are no plans to call on the facility in the immediate future.

10. Monitor Ratios

10.1 Borrowing Ratios

The PFI new hospital is incorporated into the Trust’s Prudential Borrowing Code as the agreement is considered to be a form of financing or loan. Therefore, the Tier 2 Borrowing Ratios now apply:

Table 14 - Borrowing Ratios

Borrowing Ratio:	Limit	Annual Plan - Mar 2012	Actual - Aug 2011
Minimum Dividend Cover	> 1.0	n/a	n/a
Minimum Interest Cover	> 2.0	2.2	2.4
Minimum Debt Service Cover	> 1.5	1.3	1.4
Maximum Debt Service to Revenue	< 10%	5.1%	4.7%

The first three ratios compare how many times the Trust’s income and expenditure surplus can cover the PDC dividend, the interest payable on the PFI loan and the debt service of the PFI loan respectively (debt service is the sum of interest paid and the capital repayment of the

loan). The final ratio compares how many times the Trust's total income covers the Debt Service on the PFI loan.

The Annual Plan suggests that the Trust will be slightly under the Tier 2 Limit for Minimum Debt Service cover ratio with a ratio of 1.3 (against a target of 1.5). Monitor's prudential borrowing guidance allows for borrowing limits to be authorised which exceed the ratios in certain circumstances (for example, but not limited to, a major renovation or redevelopment programme). This does not affect the Financial Risk Rating however access to potential additional borrowing (e.g. Finance Leases) may be restricted.

The Trust's current Prudential Borrowing Limit is £564.5m which reflects the maximum value of the PFI loan which will be reached at the opening of the final phase of the new hospital in October 2011.

10.2 Financial Risk Rating

The Financial Risk Rating (FRR) remains at 3 based on Monitor's review of the 2011/12 Annual Plan and self assessment of performance during quarter 1. The rating is set between 1 (worst) and 5 (best) based on a series of financial metrics.

11. **Conclusion**

The Trust has reported a £0.584m income and expenditure surplus for the first five months of the 2011/12 financial year. This represents an adverse variance of (£0.216m) against plan. This position includes a (£5.958m) overspend across operational divisions and has been offset by the release of £5.000m from Trust specific reserves. Action plans have been developed by Divisions and it is important that these deliver to ensure that the agreed operational forecast of (£8.467m) is not exceeded.

This position excludes transition costs of (£2.489m) and impairment losses of (£29.123m) in line with the phased opening of the new hospital. Including these items, the overall deficit at 31 July 2011 is (£31.028m).

12. **Recommendations**

The Board of Directors is asked to:

- Receive the contents of this report



Mike Sexton
Director of Finance.
14 September 2011.

BUDGET £'000	PERIOD ACTUAL £'000	VARIANCE £'000	BUDGET	BUDGET HOLDER	YEAR TO DATE			FORECAST OUTTURN		
					BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
348	362	14	CHIEF EXECUTIVE	Julie Moore	1,847	1,893	46	4,176	4,176	0
(875)	(814)	61			(4,496)	(4,451)	45	(10,623)	(10,623)	0
(405)	(494)	(89)			(1,981)	(2,073)	(92)	(4,267)	(4,267)	0
(932)	(946)	(14)			(4,630)	(4,631)	(1)	(10,714)	(10,714)	0
(700)	0	700	RESERVES	Julie Moore	(5,000)	0	5,000	(8,678)	(2,082)	6,596
(156)	0	156			(780)	0	780	(1,871)	0	1,871
(856)	0	856			(5,780)	0	5,780	(10,549)	(2,082)	8,467
1,769	2,039	270	DIRECTOR OF FINANCE	Mike Sexton	4,118	4,236	118	7,851	7,851	0
(583)	(594)	(11)			(3,017)	(3,096)	(79)	(7,079)	(7,079)	0
(300)	(563)	(263)			(1,621)	(1,655)	(34)	(3,765)	(3,765)	0
886	882	(4)			(520)	(515)	5	(2,993)	(2,993)	0
199	176	(23)	CHIEF NURSE	Kay Fawcett	915	822	(93)	2,151	2,151	0
(1,362)	(1,346)	16			(6,743)	(6,920)	(177)	(16,100)	(16,100)	0
(351)	(352)	(1)			(1,607)	(1,437)	170	(4,057)	(4,057)	0
(1,514)	(1,522)	(8)			(7,435)	(7,535)	(100)	(18,006)	(18,006)	0
38,673	40,448	1,775	CHIEF OPERATING OFFICER	Kevin Bolger	193,451	193,980	529	470,039	470,945	906
(19,258)	(20,361)	(1,103)			(96,627)	(100,970)	(4,343)	(231,155)	(237,711)	(6,556)
(12,690)	(14,265)	(1,575)			(61,384)	(63,528)	(2,144)	(151,024)	(153,841)	(2,817)
6,725	5,822	(903)			35,440	29,482	(5,958)	87,860	79,393	(8,467)
2,428	2,323	(105)	DIRECTOR OF DELIVERY	Tim Jones	16,429	16,432	3	36,484	36,484	0
(909)	(807)	102			(3,881)	(3,759)	122	(9,663)	(9,663)	0
(688)	(681)	7			(5,155)	(5,268)	(113)	(12,461)	(12,461)	0
831	835	4			7,393	7,405	12	14,360	14,360	0
1,334	1,382	48	NEW HOSPITAL DIRECTOR	Morag Jackson	6,623	6,946	323	16,090	16,090	0
(242)	(238)	4			(1,169)	(1,200)	(31)	(2,691)	(2,691)	0
(4,987)	(5,049)	(62)			(23,435)	(23,726)	(291)	(59,473)	(59,473)	0
(3,895)	(3,905)	(10)			(17,981)	(17,980)	1	(46,074)	(46,074)	0
1,327	1,372	45	MEDICAL DIRECTOR	Dr David Rosser	6,804	6,842	38	16,039	16,039	0
(902)	(927)	(25)			(4,408)	(4,455)	(47)	(10,434)	(10,434)	0
(169)	(194)	(25)			(1,083)	(1,081)	2	(2,189)	(2,189)	0
256	251	(5)			1,313	1,306	(7)	3,416	3,416	0
123	148	25	CAPITAL CHARGES		613	724	111	1,470	1,470	0
0	0	0			0	0	0	0	0	0
(1,523)	(1,533)	(10)			(7,613)	(7,672)	(59)	(18,270)	(18,270)	0
(1,400)	(1,385)	15			(7,000)	(6,948)	52	(16,800)	(16,800)	0
46,201	48,250	2,049	TOTAL		230,800	231,875	1,075	554,300	555,206	906
(24,604)	(25,087)	(483)			(123,300)	(124,851)	(1,551)	(295,200)	(296,383)	(1,183)
(21,496)	(23,131)	(1,635)			(106,700)	(106,440)	260	(258,600)	(258,323)	277
101	32	(69)			800	584	(216)	500	500	0
0	0	0			(29,100)	(29,123)	(23)	(49,100)	(49,100)	0
(292)	(573)	(281)			(1,458)	(2,489)	(1,031)	(3,500)	(3,500)	0
(191)	(541)	(350)			(29,758)	(31,028)	(1,270)	(52,100)	(52,100)	0

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 FINANCIAL PERFORMANCE - PERIOD ENDING
 STATEMENT OF COMPREHENSIVE INCOME - CHIEF OPERATING OFFICER

31st August 2011

APPENDIX B

BUDGET £'000	PERIOD		BUDGET	BUDGET HOLDER	YEAR TO DATE			FORECAST OUTTURN		
	ACTUAL £'000	VARIANCE £'000			BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
36,873	37,104	231	HEALTHCARE INCOME	DIRECT INCOME	182,723	182,723	0	439,503	439,503	0
0	0	0		DIRECT EXP PAY	0	0	0	0	0	0
0	(231)	(231)		NON PAY	0	0	0	0	0	0
36,873	36,873	0		TOTAL	182,723	182,723	0	439,503	439,503	0
999	1,020	21	DIVISION A	DIRECT INCOME	4,093	4,131	38	10,138	10,323	185
(7,165)	(7,426)	(261)		DIRECT EXP PAY	(35,722)	(36,758)	(1,036)	(85,712)	(87,361)	(1,649)
(2,867)	(3,005)	(138)		NON PAY	(13,120)	(14,216)	(1,096)	(32,130)	(33,724)	(1,594)
(9,033)	(9,411)	(378)		TOTAL	(44,749)	(46,843)	(2,094)	(107,704)	(110,762)	(3,058)
725	1,003	278	DIVISION B	DIRECT INCOME	2,486	3,463	977	5,033	6,498	1,465
(3,820)	(4,078)	(258)		DIRECT EXP PAY	(19,222)	(20,507)	(1,285)	(45,630)	(47,407)	(1,777)
(3,528)	(3,549)	(21)		NON PAY	(16,341)	(17,339)	(998)	(39,541)	(41,247)	(1,706)
(6,623)	(6,624)	(1)		TOTAL	(33,077)	(34,383)	(1,306)	(80,138)	(82,156)	(2,018)
564	847	283	DIVISION C	DIRECT INCOME	2,278	2,315	37	4,945	4,947	2
(3,668)	(3,903)	(235)		DIRECT EXP PAY	(18,147)	(19,031)	(884)	(43,321)	(44,692)	(1,371)
(2,103)	(2,260)	(157)		NON PAY	(11,803)	(12,751)	(948)	(28,674)	(30,303)	(1,629)
(5,207)	(5,316)	(109)		TOTAL	(27,672)	(29,467)	(1,795)	(67,050)	(70,048)	(2,998)
593	438	(155)	DIVISION D	DIRECT INCOME	1,828	1,205	(623)	4,410	3,559	(851)
(4,320)	(4,702)	(382)		DIRECT EXP PAY	(21,268)	(22,430)	(1,162)	(51,051)	(52,810)	(1,759)
(4,343)	(3,970)	373		NON PAY	(18,384)	(17,840)	544	(43,305)	(42,158)	1,147
(8,070)	(8,234)	(164)		TOTAL	(37,824)	(39,065)	(1,241)	(89,946)	(91,409)	(1,463)
(1,081)	36	1,117	CHIEF OPERATING OFFICER	DIRECT INCOME	43	143	100	6,010	6,115	105
(285)	(252)	33		DIRECT EXP PAY	(2,268)	(2,244)	24	(5,441)	(5,441)	0
151	(1,250)	(1,401)		NON PAY	(1,736)	(1,382)	354	(7,374)	(6,409)	965
(1,215)	(1,466)	(251)		TOTAL	(3,961)	(3,483)	478)	(6,805)	(5,735)	1,070)
38,673	40,448	1,775	TOTAL OPERATIONAL	INCOME	193,451	193,980	529	470,039	470,945	906
(19,258)	(20,361)	(1,103)	DIVISIONS	PAY	(96,627)	(100,970)	(4,343)	(231,155)	(237,711)	(6,556)
(12,690)	(14,265)	(1,575)		NON PAY	(61,384)	(63,528)	(2,144)	(151,024)	(153,841)	(2,817)
6,725	5,822	(903)		TOTAL SURPLUS / (DEFICIT)	35,440	29,482	(5,958)	87,860	79,393	(8,467)

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 FINANCIAL PERFORMANCE - PERIOD ENDING
 STATEMENT OF COMPREHENSIVE INCOME

31st August 2011

APPENDIX C

	Period To date			Forecast Out-turn		
	Budget	Actual	Variance	Budget	Actual	Variance
	£'000	£'000	£'000	£'000	£'000	£'000
Income						
-Clinical - NHS	180,600	180,505	(95)	433,703	433,703	0
-Clinical - Non NHS	5,400	4,613	(787)	12,468	12,468	0
-Other	44,550	46,428	1,878	107,528	108,434	906
TOTAL INCOME	230,550	231,546	996	553,700	554,606	906
Operating Expenses						
-Pay Costs	(123,300)	(124,851)	(1,551)	(295,200)	(296,383)	(1,183)
-Non Pay	(91,587)	(91,238)	349	(220,843)	(220,566)	277
-Depreciation	(7,583)	(7,672)	(89)	(18,200)	(18,200)	0
TOTAL EXPENDITURE	(222,470)	(223,761)	(1,291)	(534,243)	(535,149)	(906)
OPERATING SURPLUS	8,080	7,785	(295)	19,457	19,457	0
Interest Receivable	250	329	79	600	600	0
Interest Payable	(6,728)	(6,728)	(0)	(17,452)	(17,452)	0
Contingent Rental	(802)	(802)	0	(2,105)	(2,105)	0
PDC Dividends Payable	0	0	0	0	0	0
SURPLUS FOR THE FINANCIAL YEAR	800	584	(216)	500	500	0
Transition Costs	(1,458)	(2,489)	(1,031)	(3,500)	(3,500)	0
Impairments on Property	(29,100)	(29,123)	(23)	(49,100)	(49,100)	0
RETAINED SURPLUS FOR THE YEAR	(29,758)	(31,028)	(1,270)	(52,100)	(52,100)	0

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 FINANCIAL PERFORMANCE - PERIOD ENDING
 CAPITAL PROGRAMME (NHS EXPENDITURE)

31st August 2011

APPENDIX D

BUDGET £'000	PERIOD		SCHEME	TO DATE			FORECAST OUTTURN		
	ACTUAL £'000	VARIANCE £'000		BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
20	23	(3)	Bfwd Schemes 10-11	245	46	199	495	495	0
250	3,055	(2,805)	Tomotherapy	350	3,528	(3,178)	4,200	4,200	0
100	35		65 IT Strategy and Infrastructure 11/12	625	199	426	1,295	1,295	0
25	0		25 Chief Operating Officer Discretionary Capital	75	0	75	300	300	0
200	245	(45)	Equipment Replacement	1,130	585	545	2,300	2,300	0
123	13		Equipment Other	1,018	840	178	1,368	1,368	0
190	7	183	Retained Estate & Plaza	915	76	839	4,225	4,225	0
100	0	100	New Hospital	475	140	335	1,309	1,309	0
(41)	0	(41)	Slippage	(208)	0	(208)	(500)	(500)	0
967	3,378	(2,521)	Capital Programme	4,625	5,414	(789)	14,992	14,992	0

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
AGED ANALYSIS OF TRADE RECEIVABLES

31st August 2011

APPENDIX E

Month	Trust Total £	No of Invs	Current £	30 - 60 £	60 - 90 £	90+ £
MAY	21,503,128.15 100%	2085	7,315,316.15 34%	2,098,814.18 10%	8,061,704.21 37%	4,027,293.61 19%
JUNE	21,225,822.04 100%	1992	9,406,316.88 44%	3,381,318.73 16%	722,285.79 3%	7,715,900.64 36%
JULY	32,166,841.13 100%	2681	18,605,732.35 58%	3,965,388.28 12%	2,683,226.69 8%	6,912,493.81 21%
AUG	27,336,412.92 100%	2631	9,789,188.45 36%	8,366,072.60 31%	1,629,856.94 6%	7,551,294.93 28%

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST

APPENDIX F

CASH FLOW 2011/12

	Apr F/Cast £000's	Apr Actual £000's	May F/Cast £000's	May Actual £000's	June F/Cast £000's	June Actual £000's	July F/Cast £000's	July Actual £000's	Aug F/Cast £000's	Aug Actual £000's	Sep F/Cast £000's	Oct F/Cast £000's	Nov F/Cast £000's	Dec F/Cast £000's	Jan F/Cast £000's	Feb F/Cast £000's	Mar F/Cast £000's
INCOME																	
Contract Income Protected	36,100	38,240	36,100	49,682	36,100	41,542	36,133	42,359	36,133	44,982	36,134	36,133	36,133	36,134	36,133	36,133	36,134
Non Protected	1,066	83	1,067	167	1,067	296	1,100	111	1,100	356	1,100	1,066	1,067	1,067	1,100	1,100	1,100
Other Income	8,866	10,916	8,866	8,366	8,868	9,502	8,866	5,961	8,866	6,017	8,868	8,866	8,866	8,868	8,866	8,866	8,868
Interest Received	33	16	33	12	34	13	67	76	67	15	66	33	33	34	67	66	67
Total Received	46,065	49,255	46,066	58,228	46,069	51,353	46,166	48,507	46,166	51,370	46,168	46,098	46,099	46,103	46,166	46,165	46,169
EXPENDITURE																	
Pay	24,700	27,687	24,700	24,174	24,700	24,231	24,633	23,921	24,633	24,457	24,634	24,600	24,600	24,600	24,466	24,467	24,467
Non Pay	16,433	16,574	16,433	16,260	16,434	15,600	16,366	25,866	16,367	18,896	16,367	16,366	16,367	16,367	16,366	16,367	17,555
PDC Dividend	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PFI - Specific	1,600	1,441	1,600	1,466	1,600	2,486	1,700	1,962	1,700	1,956	1,700	1,800	1,800	1,800	1,766	1,767	1,767
Capital	966	427	967	497	967	757	1,466	498	1,467	747	1,467	1,933	1,933	1,934	633	633	3,034
Total Payments	43,699	46,129	43,700	42,398	43,701	43,074	44,165	52,246	44,167	46,056	44,168	44,699	44,700	44,701	43,231	43,234	46,823
Net Inflow(outflow)	2,366	3,127	2,366	15,830	2,368	8,279	2,001	(3,740)	1,999	5,314	2,000	1,399	1,399	1,402	2,935	2,931	(654)
FINANCING																	
Interest Expense on PFI Scheme	(1,266)	(1,273)	(1,267)	(1,273)	(1,267)	(1,242)	(1,466)	(1,470)	(1,467)	(1,470)	(1,467)	(1,566)	(1,567)	(1,567)	(1,533)	(1,533)	(1,534)
Capital PFI	(800)	(795)	(800)	(795)	(800)	(776)	(733)	(1,019)	(733)	(750)	(734)	(966)	(967)	(967)	(1,133)	(1,133)	(1,134)
PFI - Variations	0	0	0	(140)	0	0	0	0	0	0	0	0	0	0	0	0	0
Movement on Other Grants/Capital	0	0	0	0	0	0	0	94	0	0	0	0	0	0	0	0	2,400
CASH BALANCES																	
Opening balance	61,988	61,988	61,988	62,754	61,987	76,096	61,988	81,539	61,490	74,879	60,989	60,488	59,056	57,620	56,188	56,158	56,123
Bank balances c/f	61,988	62,754	61,987	76,096	61,988	81,539	61,490	74,879	60,989	77,400	60,488	59,056	57,620	56,188	56,158	56,123	55,000
Cash Held/Agency Bal	21	25	23	24	24	24	24	24	24	24	24	24	24	24	24	24	24
Total	62,009	62,779	61,987	76,119	61,988	81,563	61,490	74,903	60,989	77,424	60,488	59,056	57,620	56,188	56,158	56,123	55,000

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services under PbR</u>						
<u>Specialty Group: 1 - Division A</u>						
Critical Care Medicine	0	9	1	6	1	-3
Interventional Radiology	0	0	187	0	187	0
Rehabilitation	10	9	3	2	-7	-7
	10	17	191	8	181	-9
<u>Specialty Group: 2 - Division B</u>						
Breast Surgery	147	1	161	0	14	-1
Cardiology	1,051	426	882	455	-169	29
Cardiothoracic Surgery	222	91	212	111	-10	20
Cardiothoracic Transplantation	0	0	1	1	1	1
Colorectal Surgery	745	564	767	105	22	-459
Endoscopy	0	0	0	0	0	0
Gastroenterology	1,658	110	1,513	101	-145	-9
General Surgery	883	724	944	1,285	61	561
Hepatobiliary & Pancreatic Surgery	285	97	320	92	35	-5
Hepatology	376	81	416	67	40	-14
Nephrology	339	371	248	424	-91	53
Renal Surgery	0	0	0	0	0	0
Transplantation Surgery	2	14	0	20	-2	6
Upper Gastrointestinal Surgery	43	1	64	1	21	0
Vascular Surgery	363	59	363	52	0	-7
	6,114	2,538	5,891	2,714	-223	176
<u>Specialty Group: 3 - Division C</u>						
Accident & Emergency	2	380	0	284	-2	-96
Dermatology	209	6	300	5	91	-1
Diabetic Medicine	303	0	297	0	-6	0
Endocrinology	224	21	242	4	18	-17
General Medicine	83	4,971	82	5,636	-1	665
Genitourinary Medicine	3	6	3	2	0	-4
Geriatric Medicine	1	339	1	315	0	-24
Ophthalmology	1,305	5	921	3	-384	-2
Infectious Diseases	0	2	0	1	0	-1
Pain Management	515	1	504	1	-11	0
Respiratory Medicine	35	170	292	131	257	-39
Rheumatology	255	2	219	0	-36	-2
	2,936	5,904	2,861	6,382	-75	478
<u>Specialty Group: 4 - Division D</u>						
Blood and Marrow Transplantation	3	1	3	1	0	0
Burns care	0	3	2	14	2	11
Clinical Oncology	330	262	335	235	5	-27
Clinical Haematology	1,119	228	1,157	226	38	-2
ENT	552	153	633	154	81	1
Maxillo-facial surgery	361	189	353	202	-8	13
Medical Oncology	199	172	193	174	-6	2
Neurology	588	68	788	69	200	1
Neurosurgery	940	456	787	492	-153	36
Plastic Surgery	915	209	941	218	26	9
Trauma & Orthopaedics	852	714	814	853	-38	139
Urology	891	346	803	155	-88	-191
	6,750	2,800	6,809	2,793	59	-7
<u>Summary: Services Under PbR</u>	15,810	11,260	15,752	11,897	-58	637

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services at Local Prices</u>						
<u>Specialty Group: 1 - Division A</u>						
Interventional Radiology	0	0	3	0	3	0
Rehabilitation	0	0	0	0	0	0
	0	0	3	0	3	0
<u>Specialty Group: 2 - Division B</u>						
Breast Surgery	1	0	1	0	0	0
Cardiology	21	11	23	31	2	20
Cardiac MRI	42	0	57	0	15	0
Cardiothoracic Surgery	43	2	44	1	1	-1
Cardiothoracic Transplantation	6	4	0	1	-6	-3
Colorectal Surgery	19	0	13	0	-6	0
Gastroenterology	18	1	11	1	-7	0
General Surgery	70	1	83	3	13	2
Hepatobiliary & Pancreatic Surgery	17	4	51	0	34	-4
Hepatology	18	15	15	17	-3	2
Liver Transplantation	4	1	0	0	-4	-1
Nephrology	21	3	14	1	-7	-2
Renal Surgery	0	0	0	0	0	0
Renal Transplantation	50	7	38	6	-12	-1
Transplant Surgery	0	0	0	0	0	0
Upper Gastrointestinal Surgery	1	0	1	0	0	0
Vascular Surgery	19	0	17	0	-2	0
	350	49	368	61	18	12
<u>Specialty Group: 3 - Division C</u>						
Accident & Emergency	0	12	0	21	0	9
Dermatology	12	0	13	0	1	0
Endocrinology	2	1	4	0	2	-1
General Medicine	2	157	0	175	-2	18
Geriatric Medicine	0	7	0	3	0	-4
Genitourinary Medicine	0	0	1	0	1	0
Ophthalmology	22	0	19	0	-3	0
Pain Management	16	0	19	0	3	0
Respiratory Medicine	0	3	3	2	3	-1
Rheumatology	5	0	3	0	-2	0
	60	180	62	201	2	21
<u>Specialty Group: 4 - Division D</u>						
Bone & Marrow Transplantation	15	0	7	0	-8	0
Blood and Marrow Transplantation	1	0	3	0	2	0
Burns care	3	74	10	114	7	40
Clinical Oncology	8	0	14	1	6	1
Clinical Haematology	3	1	25	2	22	1
ENT	37	0	27	0	-10	0
Maxillo-facial surgery	44	2	40	5	-4	3
Medical Oncology	31	13	40	22	9	9
Neurology	8	1	8	1	0	0
Neurosurgery	85	3	63	0	-22	-3
Plastic Surgery	37	15	48	9	11	-6
Trauma & Orthopaedics	38	6	49	5	11	-1
Urology	62	1	43	0	-19	-1
	372	116	377	159	5	43
<u>Summary: Services at Local Prices</u>						
	782	346	810	421	28	75
TOTAL (PBR and Local Prices)	16,592	11,606	16,562	12,318	-30	712

Specialty	ACTIVITY								
	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
<u>Services under PbR</u>									
<u>Specialty Group: 1 - Division A</u>									
Chemical Pathology	0	0	18	0	0	18	0	0	0
Interventional Radiology	0	0	0	0	0	0	0	0	0
Occupational Therapy	0	0	0	0	0	1	0	0	1
Physiotherapy	0	0	178	0	0	105	0	0	-73
Podiatry	0	0	2	0	0	3	0	0	1
Rehabilitation	0	0	0	0	0	2	0	0	2
Speech & Language Therapy	0	0	1	0	0	39	0	0	38
	0	0	199	0	0	168	0	0	-31
<u>Specialty Group: 2 - Division B</u>									
Breast Surgery	934	1,702	254	909	1,515	122	-25	-187	-132
Cardiology	3,207	7,370	889	3,563	8,133	1,082	356	763	193
Cardiothoracic Surgery	66	611	276	68	603	209	2	-8	-67
Colorectal Surgery	496	452	640	367	559	728	-129	107	88
Gastroenterology	687	1,214	46	732	1,512	116	45	298	70
General Surgery	959	2,146	165	734	2,394	262	-225	248	97
Hepatobiliary & Pancreatic Surgery	348	1,144	129	377	1,243	228	29	99	99
Hepatology	498	3,507	106	428	3,386	174	-70	-121	68
Nephrology	406	7,285	105	371	7,432	122	-35	147	17
Transplantation Surgery	0	0	1	0	0	28	0	0	27
Upper Gastrointestinal Surgery	117	152	1	117	194	1			
Vascular Surgery	443	1,061	41	480	1,213	66	37	153	25
	8,162	26,642	2,652	8,146	28,184	3,138	-15	1,500	486
<u>Specialty Group: 3 - Division C</u>									
Accident & Emergency	0	0	1	0	0	0	0	0	-1
Diabetic Medicine	220	2,185	22	261	2,449	21	41	264	-1
Dermatology	2,231	4,086	2,409	1,865	3,749	2,642	-366	-337	233
Endocrinology	377	1,181	6	440	1,799	106	63	618	100
General Medicine	354	1,887	102	251	2,190	1	-103	303	-101
GenitoUrinary Medicine	9,723	4,727	0	10,033	4,890	0	310	163	0
Geriatric Medicine	213	314	4	206	329	2	-7	15	-2
Ophthalmology	2,352	7,466	471	1,844	7,761	1,107	-508	295	636
Pain Management	407	668	101	392	787	99	-15	119	-2
Respiratory Medicine	540	1,777	1	567	1,806	0	27	29	-1
Respiratory Physiology	0	0	0	1	0	0	1	0	0
Rheumatology	702	3,128	0	565	3,406	0	-137	278	0
Infectious Diseases	0	0	0	19	12	0	19	12	0
	17,117	27,419	3,116	16,444	29,178	3,978	-692	1,747	862
<u>Specialty Group: 4 - Division D</u>									
Burns care	0	0	1	0	0	9	0	0	8
Clinical Oncology	1,967	5,067	4	2,099	5,152	12	132	85	8
ENT	1,485	3,340	2,327	1,415	3,125	2,506	-70	-215	179
Gynaecological Oncology	0	0	0	0	0	0	0	0	0
Clinical Haematology	483	4,645	0	429	4,393	0	-54	-252	0
Maxillo-facial surgery	1,182	2,583	505	1,044	2,634	500	-138	51	-5
Medical Oncology	373	3,560	340	353	3,513	81	-20	-47	-259
Neurology	0	0	7	0	0	15	0	0	8
Neurosurgery	0	0	1	0	0	3	0	0	2
Plastic Surgery	959	3,445	782	726	3,369	857	-233	-76	75
Trauma & Orthopaedics	2,163	5,679	268	2,224	6,479	414	61	800	146
Urology	1,184	2,700	1,240	1,159	3,129	1,218	-25	429	-22
	9,796	31,018	5,476	9,449	31,794	5,615	-347	776	139
<u>Summary: Services Under PbR</u>	35,076	85,079	11,443	34,039	89,156	12,899	-1,055	4,023	1,456

Specialty	ACTIVITY								
	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
<u>Services at Local Prices</u>									
<u>Specialty Group: 1 - Division A</u>									
Interventional Radiology	25	20	0	23	16	0	-2	-4	0
Physiotherapy	2,169	10,200	0	1,693	8,650	0	-476	-1,550	0
Podiatry	70	329	0	111	296	2	41	-33	2
Speech & Language Therapy	171	448	0	211	439	0	40	-9	0
Nutrition & Dietetics	499	1,405	0	393	1,113	0	-106	-292	0
Occupational Therapy	96	196	0	107	179	0	11	-17	0
Orthopaedic Triage - Imaging	0	0	0	789	65	0	789	65	0
Rehabilitation	0	0	1	0	4	5	0	4	4
Upper Limb	385	2,328	0	412	2,680	0	27	352	0
	3,416	14,925	1	3,739	13,442	7	323	-1,483	6
<u>Specialty Group: 2 - Division B</u>									
Breast Surgery	61	0	0	0	0	0	-61	0	0
Cardiac MRI	510	0	0	890	0	0	380	0	0
Cardiology	204	303	183	59	223	0	-145	-80	-183
Cardiothoracic Surgery	0	252	0	1	305	0	1	53	0
Cardiothoracic Transplantation	1	16	0	0	14	0	-1	-2	0
Colorectal Surgery	16	2	1	0	16	0	-16	14	-1
Gastroenterology	40	0	2	0	0	0	-40	0	-2
General Surgery	70	14	0	0	2	0	-70	-12	0
Hepatobiliary & Pancreatic Surgery	11	0	0	0	0	0	-11	0	0
Hepatology	0	150	0	4	117	1	4	-33	1
Liver Transplantation	0	21	0	0	10	0	0	-11	0
Nephrology	57	503	0	71	515	0	14	12	0
Renal Surgery	0	0	0	0	0	0	0	0	0
Renal Transplantation	17	794	0	21	606	0	4	-188	0
Upper Gastrointestinal Surgery	8	4	0	10	13	1	2	9	1
Vascular Surgery	46	0	1	0	0	3	-46	0	2
	980	2,059	187	1,056	1,821	5	76	-238	-182
<u>Specialty Group: 3 - Division C</u>									
Accident & Emergency	575	281	0	475	113	0	-100	-168	0
Dermatology	0	89	0	0	0	12	0	-89	12
Diabetic Medicine	0	613	0	0	661	0	0	48	0
General Medicine	0	168	0	0	82	0	0	-86	0
Genitourinary Medicine	6	4,211	0	67	3,880	0	61	-331	0
Ophthalmology	276	0	0	1	0	0	-275	0	0
Pain Management	3	0	0	4	1	0	1	1	0
Respiratory Medicine	0	67	1	0	0	0	0	-67	-1
Rheumatology	14	204	0	6	208	2	-8	4	2
	875	5,632	1	553	4,945	14	0	-322	-687
<u>Specialty Group: 4 - Division D</u>									
Audiology	337	4,721	0	353	4,591	0	16	-130	0
Burns care	14	40	0	0	45	0	-14	5	0
Clinical Oncology	0	3	0	2	0	0	2	-3	0
Clinical Haematology	181	8,014	0	140	8,269	0	-41	255	0
ENT	0	2	4	0	0	7	0	-2	3
Maxillo-facial surgery	28	0	0	0	0	3	-28	0	3
Medical Oncology	262	2,195	0	215	2,176	0	-47	-19	0
Neurology	2,069	5,793	1	2,831	4,929	4	762	-864	3
Neurosurgery	1,355	2,685	0	1,126	2,847	1	-229	162	1
Plastic Surgery	53	0	10	0	0	59	-53	0	49
Trauma & Orthopaedics	429	1,220	22	213	1,337	58	-216	117	36
Urology	77	199	1	115	0	1	38	-199	0
	4,805	24,871	38	4,995	24,194	133	0	190	-677
	10,076	47,488	227	10,343	44,402	159	0	267	-3,086
<u>Summary: Services at Local Prices</u>									
Total (PBR and Local)	45,151	132,567	11,671	44,382	133,558	13,058	-788	937	1,387

		ADMITTED PATIENT CARE											
		ELECTIVE APC				NON-ELECTIVE APC				TOTAL			
		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE	
WEST MIDLANDS PCTS	MAND	13,517	14,252	735	105%	10,397	11,039	642	106%	23,914	25,291	1,377	106%
	NON-TARIFF	633	673	40	106%	230	269	39	117%	863	942	79	109%
TOTAL WEST MIDLANDS PCTS	TOTAL	14,150	14,925	775	105%	10,627	11,308	681	106%	24,777	26,233	1,456	106%
WMSCT	MAND	1,178	1,000	- 178	85%	602	598	- 4	99%	1,780	1,598	- 182	90%
	NON-TARIFF	106	88	- 18	83%	86	109	23	127%	191	197	6	103%
TOTAL WEST MIDLANDS SPECIALISED COMMISSIONING	TOTAL	1,284	1,088	- 196	85%	687	707	20	103%	1,971	1,795	- 176	91%
OUT OF AREA CONTRACTED COMMISSIONERS	MAND	1,047	455	- 592	43%	186	194	8	104%	1,232	649	- 583	53%
	NON-TARIFF	37	36	- 1	96%	24	41	17	171%	61	77	16	126%
TOTAL ALL OTHER COMMISSIONERS	TOTAL	1,084	491	- 593	45%	210	14	25	7%	1,294	505	- 789	39%
NSCAG	MAND	-	0	-	0%	-	-	-	0%	-	-	-	0%
	NON-TARIFF	-	4	4	0%	-	-	-	0%	-	4	4	0%
TOTAL NSCAG	TOTAL	-	4	4	0%	-	-	-	0%	-	4	4	0%
NON-CONTRACTED ACTIVITY	MAND	68	45	- 23	66%	76	66	- 10	87%	144	111	- 33	77%
	NON-TARIFF	6	13	7	217%	6	2	- 4	35%	12	15	3	129%
TOTAL NON-CONTRACTED ACTIVITY	TOTAL	74	58	- 16	78%	82	68	- 14	83%	156	126	- 30	81%
TOTAL MANDATORY	MAND	15,810	15,752	- 58	3	11,260	11,897	637	4	27,070	27,649	579	102%
TOTAL NON-MANDATORY	NON-TARIFF	782	810	28	5	346	421	75	5	1,127	1,231	104	109%
TOTAL	TOTAL	16,592	16,562	- 30	100%	11,606	12,318	712	106%	28,197	28,880	683	102%

		OUTPATIENT ATTENDANCES															
		FIRST ATTENDANCE				FOLLOW-UP ATTENDANCE				PROCEDURES				TOTAL			
		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE	
WEST MIDLANDS PCTS	MAND	33,915	33,254	- 661	98%	81,081	86,105	5,024	106%	11,216	12,658	1,442	113%	126,211	132,017	5,806	105%
	NON-TARIFF	3,714	5,803	2,089	156%	20,560	21,197	637	103%	0	123	123	n/a	24,274	27,123	2,849	112%
TOTAL WEST MIDLANDS PCTS		37,629	39,057	1,428	104%	101,640	107,302	5,662	106%	11,216	12,781	1,565	114%	150,485	159,140	8,655	106%
WMSCT	MAND	62	60	- 2	97%	541	423	- 118	78%	81	3	- 78	4%	683	486	- 197	71%
	NON-TARIFF	1,647	1,418	- 229	86%	9,200	8,503	- 697	92%	0	-	0	0%	10,847	9,921	- 926	91%
TOTAL WEST MIDLANDS SPECIALISED COMMISSIONING		1,709	1,478	- 231	86%	9,741	8,926	- 815	92%	81	3	- 78	4%	11,531	10,407	- 1,124	90%
OUT OF AREA CONTRACTED COMMISSIONERS	MAND	868	525	- 343	60%	2,967	2,251	- 716	76%	112	148	36	133%	3,947	2,924	- 1,023	74%
	NON-TARIFF	483	144	- 339	30%	759	357	- 402	47%	215	22	- 193	10%	1,457	523	- 934	36%
TOTAL ALL OTHER COMMISSIONERS		1,351	669	- 682	50%	3,726	2,608	- 1,118	70%	327	170	- 157	52%	5,404	3,447	- 1,957	64%
NSCAG	MAND	-	-	-	0%	-	-	-	0%	-	-	-	0%	-	-	-	0%
	NON-TARIFF	-	1	1	0%	-	-	-	0%	-	-	-	0%	-	1	1	0%
TOTAL NSCAG		-	1	1	0%	-	-	-	0%	-	-	-	0%	-	1	1	0%
NON-CONTRACTED ACTIVITY	MAND	231	200	- 31	87%	490	377	- 113	77%	35	90	55	255%	757	667	- 90	88%
	NON-TARIFF	17	21	4	121%	103	71	- 32	69%	12	14	2	114%	132	106	- 26	80%
TOTAL NON-CONTRACTED ACTIVITY		248	221	- 27	89%	593	448	- 145	76%	48	104	56	218%	889	773	- 116	87%
TOTAL MANDATORY		35,076	34,039	- 1,037	97%	85,079	89,156	4,077	105%	11,443	12,899	1,456	0%	131,598	136,094	4,496	103%
TOTAL NON-MANDATORY		5,862	7,386	1,524	126%	30,621	30,128	- 493	98%	227	159	- 68	70%	36,711	37,673	962	103%
TOTAL		40,938	41,425	487	101%	115,700	119,284	3,584	103%	11,671	13,058	1,387	112%	168,309	173,767	5,458	103%