


AGENDA ITEM NO: 11

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST REPORT TO THE BOARD OF DIRECTORS THURSDAY 22 DECEMBER 2011

Title:	FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 30 NOVEMBER 2011
Responsible Director:	Mike Sexton, Director of Finance
Contact:	Julian Miller, Deputy Director of Finance, ext. 53074

Purpose:	To present an update to the Board
Confidentiality Level & Reason:	N/A
Medium Term Plan Ref:	Aim 2: Maintain our reputation and position at the leading edge of performance and quality Aim 3: Enhance our reputation for excellent financial management and efficiency
Key Issues Summary:	An actual surplus of £0.353m has been recorded for the first eight months (April – November) of the 2011/12 financial year. This represents an adverse variance of (£47,000) against the planned £0.400m surplus for the period. This position excludes transition costs of (£3.843m) relating to the new hospital moves and the final new hospital impairment loss (£49.060m). Therefore the overall deficit reported as at 30 November 2011 is (£52.550m).
Recommendations:	The Board of Directors is asked to: <ul style="list-style-type: none">• Receive the contents of this report

Signed: 	Date: 13 December 2011
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UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST

REPORT TO THE BOARD OF DIRECTORS THURSDAY 22 DECEMBER 2011

FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 30 NOVEMBER 2011

PRESENTED BY THE DIRECTOR OF FINANCE

1. Introduction

This report covers the first eight months of the 2011/12 financial year between 1 April 2011 and 30 November 2011. It contains the three main financial statements; the Statement of Comprehensive Income, the Statement of Position and the Cash Flow Statement. The report details operating expenditure, variances and capital expenditure for the period. It also summarises healthcare activity data and the associated income for the first seven months of the financial year.

The 2011/12 Financial Plan provides for an underlying annual surplus of £0.500m. This excludes planned 'exceptional costs' of £52.600m comprising £3.500m of restructuring costs associated with the transition into the New Hospital and an expected impairment loss of £49.100m on the new building. Therefore, in overall terms the Trust has planned for a deficit of (£52.100m) in 2011/12.

An actual surplus of £0.353m has been recorded for the first eight months of the financial year. This represents an adverse variance of (£0.047m) against the planned £0.400m surplus (before exceptional costs). To date transition costs of £3.843m have been incurred and the final element of the planned new hospital impairment has been recognised (£49.060m). Including these items, the overall deficit at 30 November 2011 is (£52.550m).

The reported financial position includes an aggregate overspend of (£4.201m) across the Trust's operational divisions year to date after recognition of £4.556m of additional healthcare income. This largely reflects a combination of pay pressures (nursing and medical staff), slippage against CIP schemes and under-recovery against private patient income targets. The operational overspend has been offset by the release of £4.247m from Trust Reserves (Specific and General Contingency). Forecasts and action plans have been developed to ensure that the Trust's planned surplus is achieved by 31 March 2012.

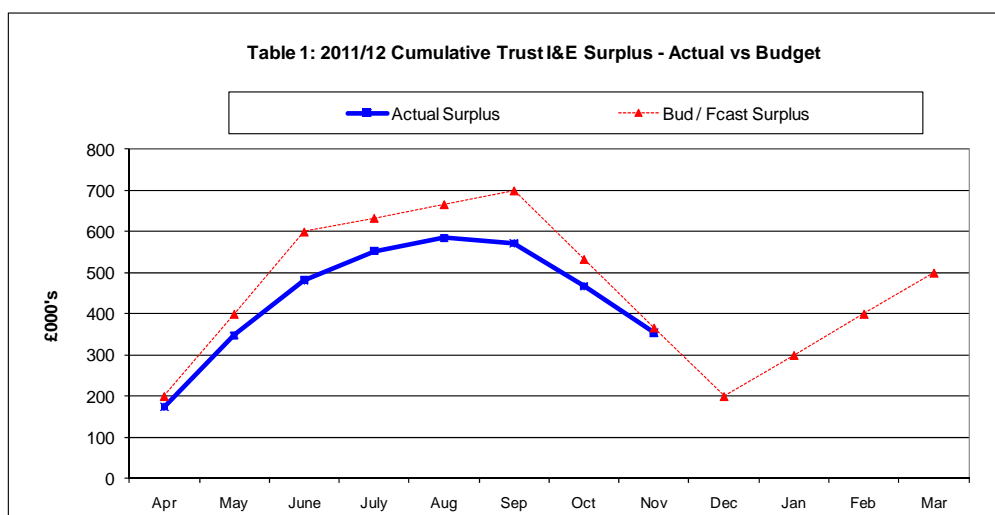
The Financial Risk Rating (FRR) remains at 3 based on Monitor's review of the Trust's 2011/12 Annual Plan and results for the first two quarters. Self assessment of current financial performance indicates that this rating should be maintained throughout the remainder of the 2011/12 financial year.

2. Financial Position Summary

2.1 Year to Date Position

The cumulative income and expenditure surplus (excluding impairments and restructuring) is shown in Table 1 below. As above this shows a £0.353m surplus for the year to date, which is (£0.047m) below plan. The budgeted surplus to date is not a straight pro-rata of the annual plan due to the phasing of expenditure across the year.

Table 1 – I&E Surplus vs. Plan 2011/12



The statement of comprehensive income appears in Appendix C. Table 2 below summarises the Trusts revenue transactions for the year. Further analysis of income is included in section 3.1 and detail regarding operating expenses is set out in section 3.3.

Table 2 – YTD Income and Expenditure Budget vs. Actual

	Budget Apr-Nov 2011 £m	Actual Apr-Nov 2011 £m	Variance £m
Revenue	368.4	378.4	10.0
Operating Expenses	(344.2)	(354.4)	(10.2)
EBITDA	24.2	24.0	(0.2)
Depreciation	(12.1)	(12.3)	(0.2)
Donated Asset Reserve Transfer	0.5	0.6	0.1
Interest Receivable	0.4	0.6	0.2
Interest Payable	(11.3)	(11.3)	0.0
Contingent Rental	(1.3)	(1.3)	0.0
PDC Dividend	0.0	0.0	0.0
Operational (Deficit)/Surplus	0.4	0.3	(0.1)
Transition Costs	(2.3)	(3.8)	(1.5)
Impairments on Property	(49.1)	(49.1)	0.0
Retained (Deficit)/Surplus	(51.0)	(52.6)	(1.6)

Note - may include rounding differences

2.2. Forecast Outturn

Excluding impairments and restructuring costs, the Trust remains on track to achieve the £0.500m annual surplus agreed by the Board and submitted to Monitor.

3. **Income and Expenditure**

3.1 Income Analysis

Total operating income is £10.0m above budget at 30 November 2011. Within this NHS Clinical Income is £8.5m ahead of plan due to £3.9m of additional cost per case drug and device funding and £4.6m related to other increases in activity. This reflects the over-performance outlined in section 3.2 (Table 5) and some gains relating to 2010/11 where final activity exceeded previous estimates and payments have now been agreed.

Non-NHS Clinical Income is (£0.9m) below plan due primarily to shortfalls against private patient targets (£0.7m). Other Income is above plan by £2.4m reflecting increases in education, research and development and non NHS SLA income. These sources typically have matching expenditure commitments and therefore have little impact on the bottom line.

Table 3 – Income against plan

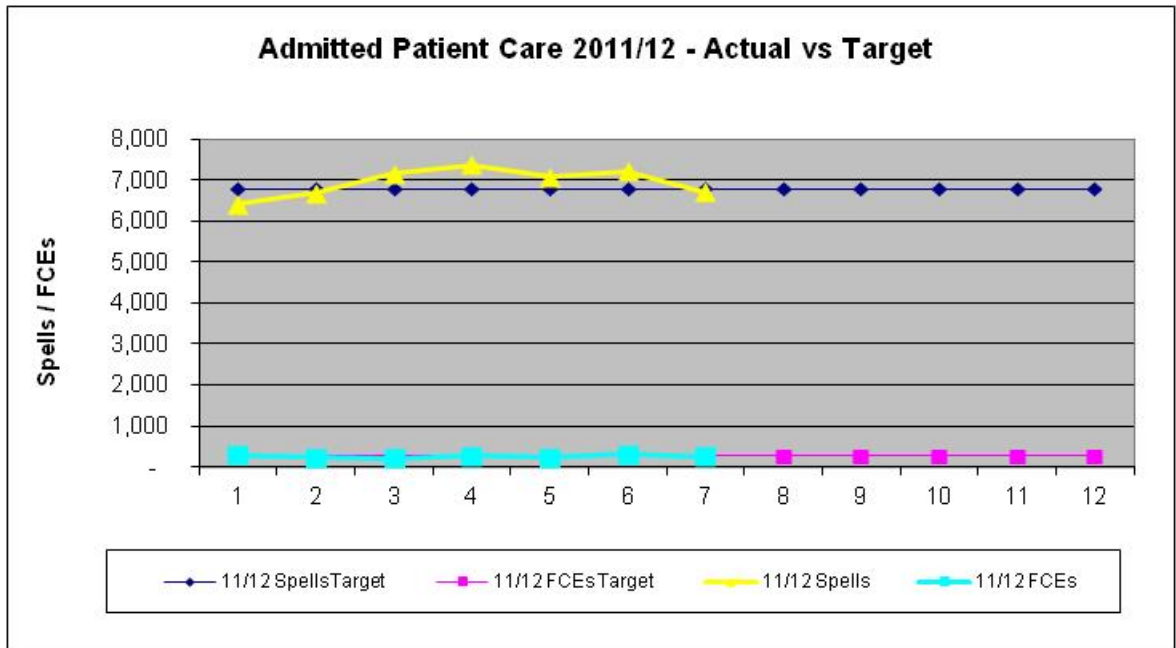
	Budget Apr-Nov 2011 £m	Actual Apr-Nov 2011 £m	Variance £m
Clinical - NHS	289.0	297.5	8.5
Clinical - Non NHS	8.6	7.7	(0.9)
Other	70.8	73.2	2.4
TOTAL	368.4	378.4	10.0

Note - may include rounding differences

3.2 NHS Clinical Income / Activity

Table 4.1 below compares 2011/12 monthly admitted patient care activity against target levels for the first seven months of the financial year. Inpatient activity was in line with plan in Month 7, combined inpatient spells (covered by Payment by Results) and FCE's (local prices) remain above plan for the year to date.

Table 4.1 – Trust Inpatient Activity



All outpatient activity (new attendances, follow ups and procedures) were ahead of plan in Month 7 for as shown in Table 4.2. The year to date activity remains above plan for each type of outpatient attendance.

Table 4.2 – Trust Outpatient Activity

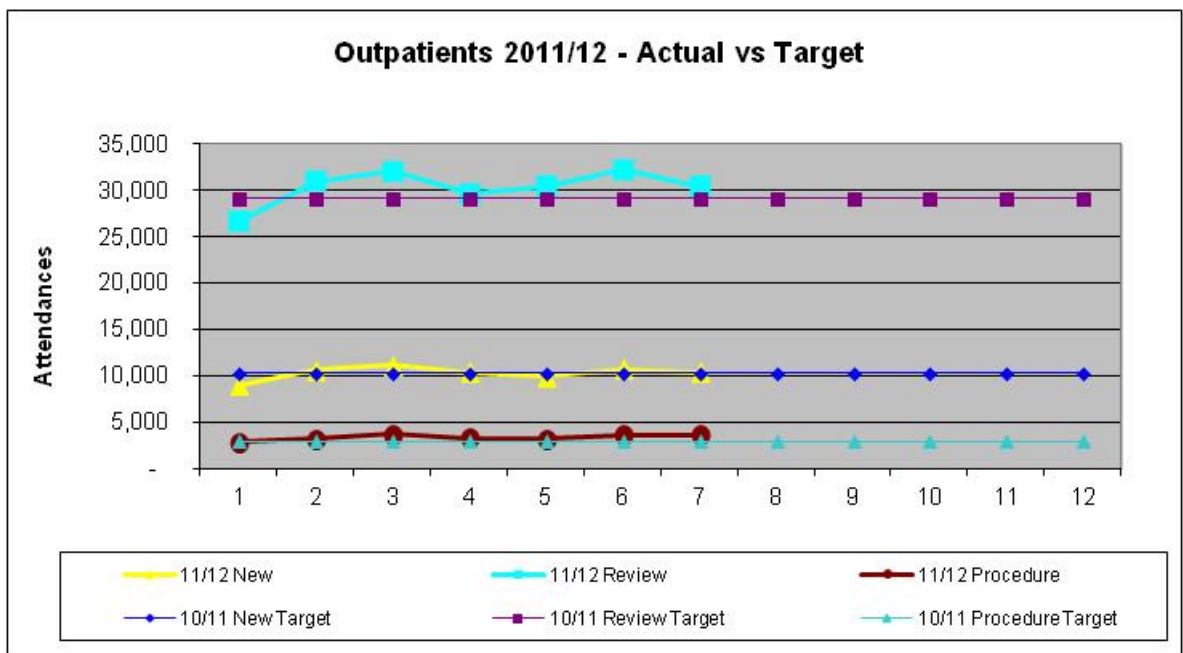


Table 5 below shows the variance by Division and Point of Delivery against the 2011/12 healthcare income targets. This shows healthcare income (excluding cost per case) ahead of plan by £3.501m for the first seven months of the financial year. This position includes credit note provisions based on an assessment of commissioner queries raised to date. However, further payment risks may arise for new queries or should the Trust fail to achieve any of its contractual targets. A detailed summary of activity performance against plan by specialty and commissioner is included as Appendix G.

Table 5 – Summary Healthcare Income Performance by Division by Point of Delivery

	YTD Variance against Divisional Baselines (£000)				
	Div A	Div B	Div C	Div D	Total
Inpatient	222	(1,741)	(626)	1,506	(639)
Outpatient	(23)	864	951	201	1,993
Other	1,823	(154)	511	(33)	2,147
Total	2,022	(1,031)	837	1,674	3,501

Note - may include rounding differences

3.3

Expenditure Analysis

The Trust wide subjective expenditure analysis in Table 6 shows an over spend of (£10.2m) against budgeted expenditure of £344.2m for the period. The main components include pay overspends (£3.0m), cost per case drugs and devices (£2.2m) and activity related costs such as purchase of external healthcare services).

Table 6 – Expenditure against plan

	Budget Apr-Nov 2011 £m	Actual Apr-Nov 2011 £m	Variance £m
PAY			
Medical Staff	60.8	63.0	(2.2)
Nursing	64.9	69.2	(4.3)
Scientific & Technical	25.8	25.5	0.3
SMP / A&C	34.2	34.6	(0.4)
Other / Pay Reserves	11.5	8.9	2.6
Total Pay	197.2	201.2	(4.0)
NON PAY			
Drugs	38.7	40.7	(2.0)
Clinical Supplies & Services	46.1	47.0	(0.9)
Other / Non-Pay Reserves	62.2	65.5	(3.3)
Total Non Pay	147.0	153.2	(6.2)
GRAND TOTAL	344.2	354.4	(10.2)

Note - may include rounding differences

3.4 Cost Improvement Programme

The Trust's 2011/12 Financial Plan includes a total efficiency savings target of £18.8m. Progress against delivering this is reported to the Board of Directors each quarter. Total CIP slippage reported at the end of quarter 2 was (£1.410m), meaning that delivery of CIP schemes had improved to 85% (up from 75% at the end of quarter 1). The current forecast is for 91% of the CIP plan to be achieved by 31 March 2012.

Table 7 – Delivery of Cost Improvements

£000	Cumulative variance against plan				Y/E Forecast
	Q1	Q2	Q3	Q4	
Division A	(94)	(203)			(334)
Division B	(199)	(211)			(319)
Division C	(160)	(159)			93
Division D	(187)	(486)			(475)
Corporate & NHP	(43)	(198)			(344)
Single Site Savings	(287)	(154)			(307)
Total	(970)	(1410)			(1686)
% Achieved	70%	85%			91%

4. Divisional Analysis

Divisional budgets (excluding healthcare income) recorded an adverse variance of (£4.201m) for the first eight months of the financial year, including £4.556m of additional healthcare income. This overspend is partially offset by the release of £1.247m from the Trusts general reserve.

Table 8 – Analysis of year to date variances by Division

	Income £'000	Expenditure £'000	Total £'000	Year End Forecast £'000
Division A	341	(3,530)	(3,189)	(2,973)
Division B	1,201	(3,158)	(1,957)	(1,933)
Division C	38	(2,690)	(2,652)	(2,964)
Division D	(882)	(905)	(1,787)	(1,357)
Division E	4,012	(3,184)	828	1,074
Sub - Total	4,710	(13,467)	(8,757)	(8,153)
Healthcare Income	4,556	0	4,556	6,090
TOTAL	9,266	(13,467)	(4,201)	(2,063)
<i>Memo General Contingency Reserve</i>			1,247	1871

Note - may include rounding differences

The divisional overspend in November was (£799,000) excluding healthcare over-performance income. The largest element of this continues to relate to nursing (including Theatre ODP's) with expenditure being (£718,000) higher than budget in the month. An estimated (£186,000) of this relates to additional (unfunded) capacity across the Trust including ward beds and CDU trolleys. Approximately (£100,000) is due to additional nursing for specific patients ('specials') on the inpatients wards, primarily on the fifth floor. Other specific nursing pressures include CDU (£40,000), Theatres (£66,000) and Critical Care (£69,000) which represent a combination of high activity levels, pre-recruitment to posts pending business case funding, sickness and vacancy cover. The remaining nursing overspend relates to a combination of sickness and vacancy cover, over-establishments and skill mix pressures compared to the generic workforce plan.

The aggregate medical staffing over spend in November was (£267,000). Of this (£220,000) relates to junior and middle grade doctors. Locum costs remain in excess of the funded vacancies in key areas including A&E

(£43,000), Anaesthetics (£87,000), Cardiac Surgery (£15,000) and Ophthalmology (£10,000).

Non-pay overspends appear to be primarily activity related including Theatres non-pay (£119,000) in line with surgical procedures being 13% above the funded baseline in November along with activity related non pay cost pressures in critical care (£63,000) and CDU (£16,000). Also contributing to the overall overspend is a continued private patient income shortfall across Division D (Neurosurgery, Oncology and Haematology) totalling (£94,000) in the month.

These pressures have been partially offset by favourable income variances including £38,000 relating to the Injury Costs Recovery Scheme, over-recovery of £55,000 against Imaging SLA targets and additional Private Patient income within Cardiac Surgery £79,000 and Livers £25,000.

5. Statement of Financial Position

The Statement of Financial Position (formerly the Balance Sheet) shows the value of Trust assets and liabilities. The upper part of the statement shows net assets after deducting short and long term liabilities. The lower part identifies the sources of finance or equity. Table 9 summarises the Trust's Statement of Financial Position at 30 November 2011.

Table 9 – Statement of Financial Position

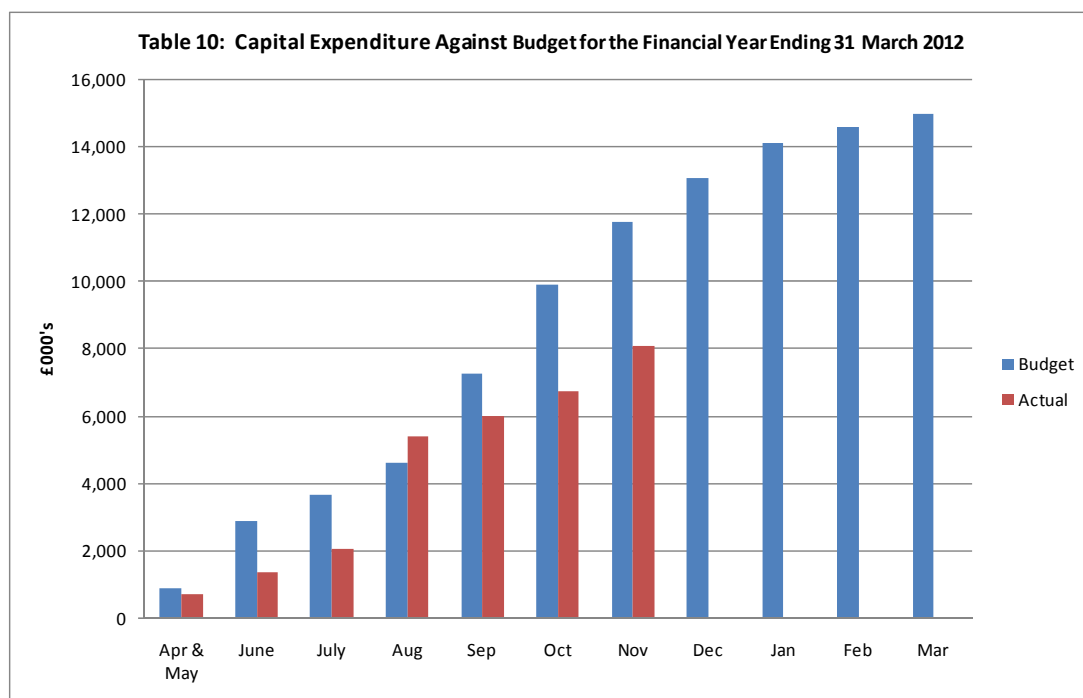
		Audited Mar 2011 £m	Actual Nov 2011 £m	YTD Plan Nov 2011 £m	Annual Plan Mar 2012 £m
Non Current Assets:					
	Property, Plant and Equipment	437.0	496.1	497.5	497.7
	Intangible Assets	1.0	0.9	1.0	1.0
	Trade and Other Receivables	2.8	2.7	2.9	2.9
	Other Assets	0.3	0.3	0.0	0.0
	Total Non Current Assets	441.1	500.0	501.4	501.6
Current Assets:					
	Inventories	12.8	13.0	12.3	12.0
	Trade and Other Receivables	50.7	17.9	26.2	29.7
	Other Financial Assets	1.1	11.5	13.1	1.5
	Other Current Assets	2.2	7.5	5.7	4.0
	Cash	62.0	69.9	50.7	55.0
	Total Current Assets	128.8	119.8	108.0	102.2
Current Liabilities:					
	Trade and Other Payables	64.5	63.7	58.7	61.0
	Borrowings	10.9	12.4	12.4	12.3
	Provisions	3.3	3.2	3.3	3.3
	Tax Payable	6.3	6.8	6.3	6.3
	Other Liabilities	26.9	25.0	18.5	13.7
	Total Current Liabilities	111.9	111.1	99.2	96.6
Non Current Liabilities:					
	Borrowings	447.9	549.8	550.1	546.0
	Provisions	1.7	1.7	1.7	1.7
	Other Liabilities	41.3	41.3	42.9	42.9
	Total Non Current Liabilities	490.9	592.8	594.7	590.6
TOTAL ASSETS EMPLOYED		(32.9)	(84.1)	(84.5)	(83.4)
Financed by:					
	Public Dividend Capital	171.0	171.0	171.0	171.0
	Income & Expenditure Reserve	(316.5)	(369.1)	(367.6)	(368.6)
	Donated Asset Reserve	8.7	10.1	8.2	10.3
	Revaluation Reserve	103.9	103.9	103.9	103.9
TOTAL TAXPAYERS EQUITY		(32.9)	(84.1)	(84.5)	(83.4)

Note - may include rounding differences

6. Capital Programme

The approved Capital Programme for 2011/12 is £15.0m with year to date expenditure being £8.1m. Actual full year expenditure is projected to be around £12.0m. Expenditure during November was £1.3m which included equipping the short stay theatre unit in Welcome (£0.4m), payment for ICT infrastructure works during the new hospital construction (£0.6m), Tomotherapy works (£0.1m) and equipment replacement (£0.2m). A summary of expenditure against major schemes is provided in Appendix D.

Table 10 – Capital Expenditure against plan



7. Analysis of Current Assets (excluding Inventories and Cash)

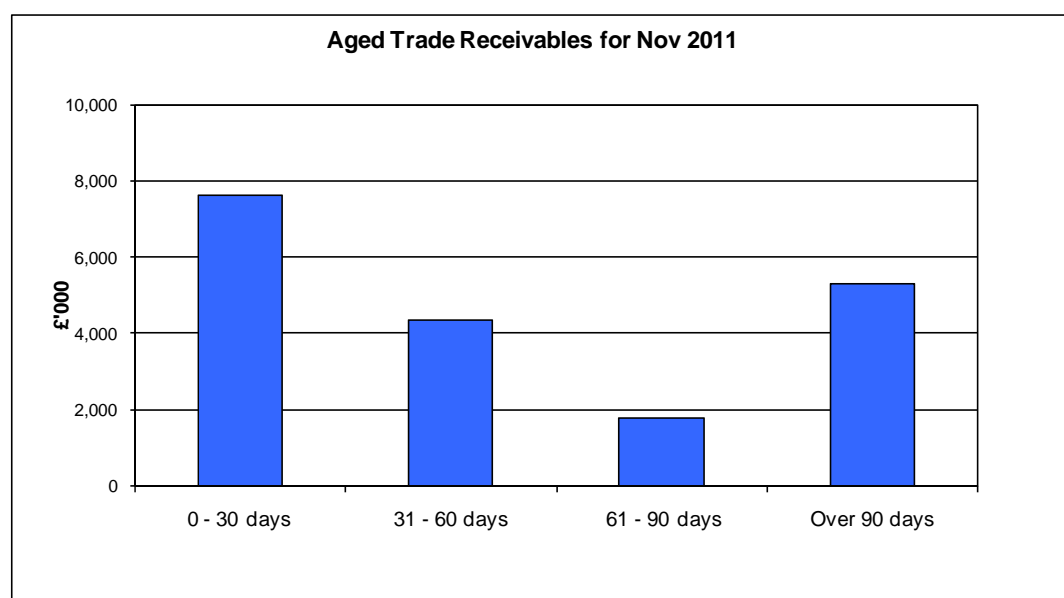
The value of current assets (excluding inventories and cash) due within one year was £36.9m at 30 November 2011. Of this, £15.7m relates to Trade Receivables i.e. unpaid invoices raised by the Trust. Analysis of this debt by age is included in Appendix E and summarised in table 12 over the page.

Over 90 day debt at 30 November 2011 was £5.3m, a £1.2m reduction compared to the previous month. The largest element of the outstanding balance relates to NHS commissioners (£2.5m) including NHS South Birmingham (£1.3m) and NHS Sandwell (£0.3m). The other significant component relates to other NHS providers (£2.1m) including Birmingham Children's Hospital (£0.5m), Sandwell and West Birmingham (£0.3m) and Birmingham and Solihull Mental Health Trust (£0.3m). This debt is largely matched by equivalent creditor balances so there is little overall impact on cash-flow.

Table 11 – Analysis of Current Assets (excluding Inventories and Cash)

	Actual Nov 2011 £m	Forecast Nov 2011 £m
Trade Receivables	15.7	24.9
Bad Debt Provision	-1.1	-2.3
Other Receivables	3.3	3.6
Trade and Other Receivables	17.9	26.2
Accrued Income	11.5	13.1
Other Financial Assets	11.5	13.1
Prepayments	7.5	5.7
Deferred Asset	0.0	0.0
Other Current Assets	7.5	5.7
TOTAL	36.9	45.0

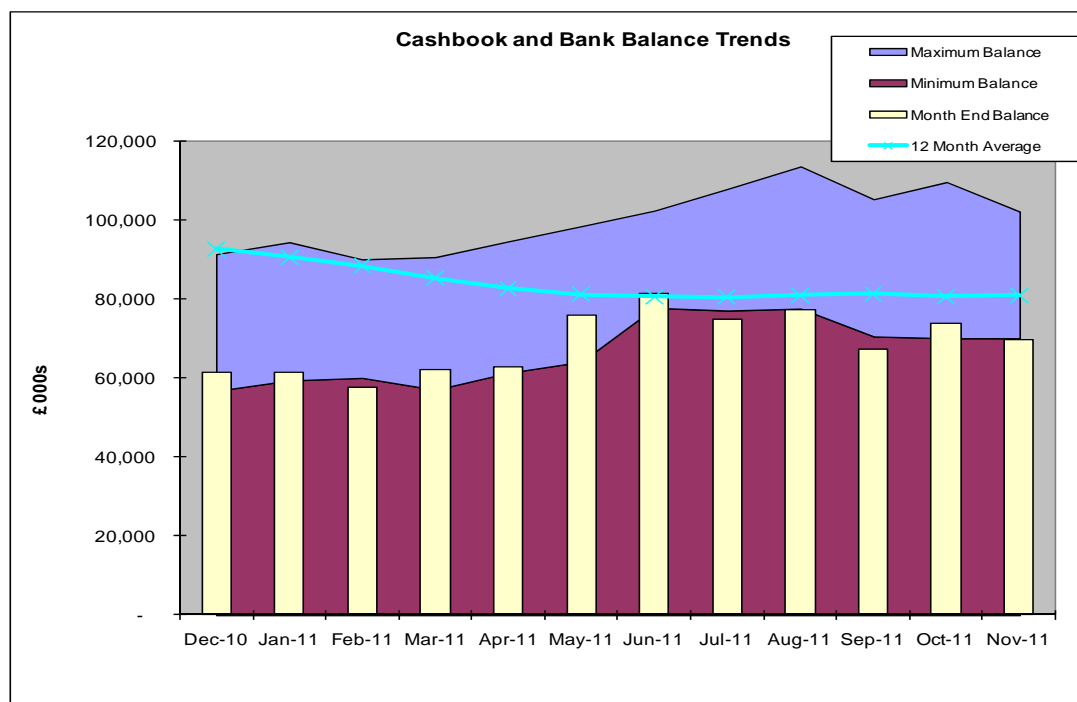
Table 12 – Aged Debt Analysis of Trade Receivables due within One Year



8. Cash Flow

A cash flow statement is included as Appendix F, this analyses actual and forecast cash received and paid out. Table 13 below shows the cash balance at month end along with the minimum and maximum daily bank balance in the month and a twelve month rolling average daily bank balance. The actual cashbook balance at 30 November 2011 was £69.9m, ahead of plan by £19.2m. This is primarily due to favourable movements in working capital with debtors being below plan, creditors above plan and the under spend against the capital programme. Table 13 below shows the twelve month rolling average bank balance reducing over the period as planned.

Table 13 – Cash Flow



9. Working Capital Facility

The Trust has a working capital facility of £30.0m in line with the 2011/12 Annual Plan. This facility is necessary to meet Monitor’s liquidity ratio requirements. The overdraft has not been used to date and there are no plans to call on the facility in the immediate future.

10. Monitor Ratios

10.1 Borrowing Ratios

The PFI new hospital is incorporated into the Trust’s Prudential Borrowing Code as the agreement is considered to be a form of financing or loan. Therefore, the Tier 2 Borrowing Ratios now apply:

Table 14 - Borrowing Ratios

Borrowing Ratio:	Limit	Annual Plan - Mar 2012	Actual - Nov 2011
Minimum Dividend Cover	> 1.0	n/a	n/a
Minimum Interest Cover	> 2.0	2.2	2.3
Minimum Debt Service Cover	> 1.5	1.3	1.3
Maximum Debt Service to Revenue	< 10%	5.1%	4.8%

The first three ratios compare how many times the Trust’s income and expenditure surplus can cover the PDC dividend, the interest payable on the PFI loan and the debt service of the PFI loan respectively (debt

service is the sum of interest paid and the capital repayment of the loan). The final ratio compares how many times the Trust's total income covers the Debt Service on the PFI loan.

The Annual Plan suggests that the Trust will be slightly under the Tier 2 Limit for Minimum Debt Service cover ratio with a ratio of 1.3 (against a target of 1.5). Monitor's prudential borrowing guidance allows for borrowing limits to be authorised which exceed the ratios in certain circumstances (for example, but not limited to, a major renovation or redevelopment programme). This does not affect the Financial Risk Rating however access to potential additional borrowing (e.g. Finance Leases) may be restricted.

The Trust's current Prudential Borrowing Limit is £564.5m.

10.2 Financial Risk Rating

The Financial Risk Rating (FRR) remains at 3 based on the Trust's 2011/12 Annual Plan and the latest results published by Monitor (Quarter 2). Current financial performance indicates that this rating should be maintained throughout the remainder of the 2011/12 financial year. The rating is set between 1 (worst) and 5 (best) based on a series of financial metrics.

11. **Conclusion**

The Trust has reported a £0.353m income and expenditure surplus for the first eight months of the 2011/12 financial year. This represents an adverse variance of (£0.047m) against plan. The reported position includes an aggregate overspend of (£4.201m) across operational divisions after recognition of £4.556m of healthcare over performance income. Action plans have been developed by Divisions and the monthly operational overspend is now starting to reduce.

This position excludes transition costs of (£3.843m) and impairment losses of (£49.060m) in relation to the opening of the final phases of the new hospital. Including these items, the overall deficit at 30 November 2011 is (£52.550m).

12. **Recommendations**

The Board of Directors is asked to:

- Receive the contents of this report



Mike Sexton
Director of Finance
13 December 2011

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING 30th November 2011
STATEMENT OF COMPREHENSIVE INCOME - ANALYSIS BY EXECUTIVE DIRECTOR

APPENDIX A

BUDGET £'000	PERIOD		BUDGET	BUDGET HOLDER	YEAR TO DATE			FORECAST OUTTURN		
	ACTUAL £'000	VARIANCE £'000			BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
397	421	24	CHIEF EXECUTIVE	Julie Moore	3,121	3,188	67	4,465	4,465	0
(899)	(898)	1		DIRECT INCOME						
(440)	(463)	(23)		DIRECT EXPENDITURE PAY	(7,276)	(7,221)	55	(10,789)	(10,789)	0
(942)	(940)	2		NON PAY	(3,177)	(3,288)	(111)	(4,417)	(4,417)	0
				TOTAL	(7,332)	(7,321)	11	(10,741)	(10,741)	0
400	0	(400)	RESERVES	Julie Moore	(3,000)	0	3,000	(7,614)	(7,422)	192
(156)	0	156		SPECIFIC	(1,247)	0	1,247	(1,871)	0	1,871
	0	0		GENERAL						
244	0	(244)		TOTAL	(4,247)	0	4,247	(9,485)	(7,422)	2,063
593	654	61	DIRECTOR OF FINANCE	Mike Sexton	5,839	6,181	342	7,903	7,903	0
(578)	(721)	(143)		DIRECT INCOME						
(305)	(245)	60		DIRECT EXPENDITURE PAY	(4,768)	(5,069)	(301)	(7,089)	(7,089)	0
(290)	(312)	(22)		NON PAY	(2,535)	(2,678)	(143)	(3,755)	(3,755)	0
				TOTAL	(1,464)	(1,566)	(102)	(2,941)	(2,941)	0
225	227	2	CHIEF NURSE	Kay Fawcett	1,430	1,341	(89)	2,141	2,141	0
(1,426)	(1,521)	(95)		DIRECT INCOME						
(502)	(436)	66		DIRECT EXPENDITURE PAY	(10,896)	(11,169)	(273)	(16,226)	(16,226)	0
(1,703)	(1,730)	(27)		NON PAY	(2,665)	(2,513)	152	(4,187)	(4,187)	0
				TOTAL	(12,131)	(12,341)	(210)	(18,272)	(18,272)	0
38,952	41,806	2,854	CHIEF OPERATING OFFICER	Kevin Bolger	310,201	319,467	9,266	468,462	475,458	6,996
(19,579)	(20,454)	(875)		DIRECT INCOME						
(11,988)	(13,622)	(1,634)		DIRECT EXPENDITURE PAY	(154,991)	(162,453)	(7,462)	(231,943)	(238,499)	(6,556)
7,385	7,730	345		NON PAY	(101,030)	(107,035)	(6,005)	(149,304)	(151,807)	(2,503)
				TOTAL	54,180	49,979	(4,201)	87,215	85,152	(2,063)
3,204	3,177	(27)	DIRECTOR OF DELIVERY	Tim Jones	26,215	26,286	71	37,669	37,669	0
(1,041)	(946)	95		DIRECT INCOME						
(2,148)	(2,216)	(68)		DIRECT EXPENDITURE PAY	(6,435)	(6,318)	117	(10,177)	(10,177)	0
15	15	0		NON PAY	(9,602)	(9,772)	(170)	(13,083)	(13,083)	0
				TOTAL	10,178	10,196	18	14,409	14,409	0
1,355	1,434	79	NEW HOSPITAL DIRECTOR	Morag Jackson	10,661	11,171	510	16,090	16,090	0
(232)	(252)	(20)		DIRECT INCOME						
(4,879)	(4,969)	(90)		DIRECT EXPENDITURE PAY	(1,894)	(1,943)	(49)	(2,740)	(2,740)	0
(3,756)	(3,787)	(31)		NON PAY	(38,296)	(38,795)	(499)	(59,513)	(59,513)	0
				TOTAL	(29,529)	(29,567)	(38)	(46,163)	(46,163)	0
1,249	1,302	53	MEDICAL DIRECTOR	Dr David Rosser	10,853	10,916	63	16,100	16,100	0
(811)	(833)	(22)		DIRECT INCOME						
(191)	(220)	(29)		DIRECT EXPENDITURE PAY	(7,126)	(7,019)	107	(10,618)	(10,618)	0
247	249	2		NON PAY	(1,782)	(1,961)	(179)	(2,204)	(2,204)	0
				TOTAL	1,945	1,936	(9)	3,278	3,278	0
123	213	90	CAPITAL CHARGES		980	1,321	341	1,470	1,470	0
0	0	0		DIRECT INCOME						
(1,522)	(1,551)	(29)		DIRECT EXPENDITURE PAY	0	0	0	0	0	0
(1,399)	(1,338)	61		NON PAY	(12,180)	(12,284)	(104)	(18,270)	(18,270)	0
				TOTAL	(11,200)	(10,963)	237	(16,800)	(16,800)	0
46,098	49,234	3,136	TOTAL		369,300	379,871	10,571	554,300	561,296	6,996
(24,597)	(25,625)	(1,028)		INCOME						
(21,700)	(23,722)	(2,022)		PAY	(197,200)	(201,192)	(3,992)	(295,200)	(297,383)	(2,183)
(199)	(113)	86		NON PAY	(171,700)	(178,326)	(6,626)	(258,600)	(263,413)	(4,813)
				SURPLUS BEFORE EXCEPTIONAL ITEMS	400	353	(47)	500	500	0
0	0	0		PROPERTY IMPAIRMENT	(49,100)	(49,060)	40	(49,100)	(49,100)	0
(292)	(360)	(68)		TRANSITION COSTS	(2,333)	(3,843)	(1,510)	(3,500)	(3,500)	0
(491)	(473)	18		OVERALL SURPLUS / (DEFICIT)	(51,033)	(52,550)	(1,517)	(52,100)	(52,100)	0

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 FINANCIAL PERFORMANCE - PERIOD ENDING 30th November 2011
 STATEMENT OF COMPREHENSIVE INCOME - CHIEF OPERATING OFFICER

APPENDIX B

PERIOD			BUDGET	BUDGET HOLDER	YEAR TO DATE			FORECAST OUTTURN		
BUDGET £'000	ACTUAL £'000	VARIANCE £'000			BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
37,919	39,058	1,139	HEALTHCARE INCOME	DIRECT INCOME	294,840	299,396	4,556	442,124	448,214	6,090
0	0	0		DIRECT EXP PAY	0	0	0	0	0	0
0	0	0		NON PAY	0	0	0	0	0	0
37,919	39,058	1,139		TOTAL	294,840	299,396	4,556	442,124	448,214	6,090
				Kevin Bolger						
722	812	90	DIVISION A	DIRECT INCOME	6,440	6,781	341	9,675	9,860	185
(7,416)	(7,640)	(224)		DIRECT EXP PAY	(57,544)	(59,166)	(1,622)	(86,169)	(87,818)	(1,649)
(2,555)	(2,668)	(113)		NON PAY	(20,997)	(22,905)	(1,908)	(32,049)	(33,558)	(1,509)
(9,249)	(9,496)	(247)		TOTAL	(72,101)	(75,290)	(3,189)	(108,543)	(111,516)	(2,973)
				Dr. Steve Burnley						
484	616	132	DIVISION B	DIRECT INCOME	3,979	5,180	1,201	4,777	6,242	1,465
(3,812)	(4,038)	(226)		DIRECT EXP PAY	(30,565)	(32,405)	(1,840)	(45,517)	(47,294)	(1,777)
(3,217)	(3,330)	(113)		NON PAY	(26,120)	(27,438)	(1,318)	(39,443)	(41,064)	(1,621)
(6,545)	(6,752)	(207)		TOTAL	(52,706)	(54,663)	(1,957)	(80,183)	(82,116)	(1,933)
				Dr Nick Murphy						
930	987	57	DIVISION C	DIRECT INCOME	5,812	5,850	38	7,356	7,358	2
(3,831)	(4,118)	(287)		DIRECT EXP PAY	(29,545)	(30,990)	(1,445)	(44,180)	(45,551)	(1,371)
(2,946)	(3,000)	(54)		NON PAY	(21,790)	(23,035)	(1,245)	(31,896)	(33,491)	(1,595)
(5,847)	(6,131)	(284)		TOTAL	(45,523)	(48,175)	(2,652)	(68,720)	(71,684)	(2,964)
				Dr David Peake						
413	319	(94)	DIVISION D	DIRECT INCOME	2,947	2,065	(882)	4,431	3,580	(851)
(4,074)	(4,253)	(179)		DIRECT EXP PAY	(33,898)	(35,587)	(1,689)	(50,865)	(52,624)	(1,759)
(4,070)	(3,989)	81		NON PAY	(30,324)	(29,540)	784	(44,437)	(43,184)	1,253
(7,731)	(7,923)	(192)		TOTAL	(61,275)	(63,062)	(1,787)	(90,871)	(92,228)	(1,357)
				Dr Prem Mahendra						
(1,516)	14	1,530	CHIEF OPERATING OFFICER	DIRECT INCOME	(3,817)	195	4,012	99	204	105
(446)	595	1,041		DIRECT EXP PAY	(3,439)	(3,305)	134	(5,212)	(5,212)	0
800	(1,635)	(2,435)		NON PAY	(1,799)	(5,117)	(3,318)	(1,479)	(510)	969
(1,162)	(1,026)	136		TOTAL	(9,055)	(8,227)	828	(6,592)	(5,518)	1,074
				Kevin Bolger						
38,952	41,806	2,854	TOTAL OPERATIONAL	INCOME	310,201	319,467	9,266	468,462	475,458	6,996
(19,579)	(19,454)	125	DIVISIONS	PAY	(154,991)	(161,453)	(6,462)	(231,943)	(238,499)	(6,556)
(11,988)	(14,622)	(2,634)		NON PAY	(101,030)	(108,035)	(7,005)	(149,304)	(151,807)	(2,503)
7,385	7,730	345		TOTAL SURPLUS / (DEFICIT)	54,180	49,979	(4,201)	87,215	85,152	(2,063)

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
CONSOLIDATED INCOME STATEMENT

30th November 2011

APPENDIX C

	Period To date			Forecast Out-turn		
	Budget	Actual	Variance	Budget	Actual	Variance
	£'000	£'000	£'000	£'000	£'000	£'000
Income						
-Clinical - NHS	289,000	297,475	8,475	438,473	443,473	5,000
-Clinical - Non NHS	8,600	7,660	(940)	12,532	11,532	(1,000)
-Other	71,300	73,937	2,637	102,695	105,691	2,996
TOTAL INCOME	368,900	379,072	10,172	553,700	560,696	6,996
Operating Expenses						
-Pay Costs	(197,200)	(201,192)	(3,992)	(295,200)	(297,383)	(2,183)
-Non Pay	(147,000)	(153,234)	(6,234)	(220,843)	(225,656)	(4,813)
-Depreciation	(12,100)	(12,289)	(188)	(18,200)	(18,200)	0
TOTAL EXPENDITURE	(356,300)	(366,714)	(10,414)	(534,243)	(541,239)	(6,996)
OPERATING SURPLUS	12,600	12,358	(242)	19,457	19,457	0
Interest Receivable	400	589	189	600	600	0
Interest Payable	(11,300)	(11,245)	55	(17,452)	(17,452)	0
Contingent Rental	(1,300)	(1,348)	(48)	(2,105)	(2,105)	0
PDC Dividends Payable	0	0	0	0	0	0
SURPLUS FOR THE FINANCIAL YEAR	400	353	(47)	500	500	0
Transistion Costs	(2,333)	(3,844)	(1,511)	(3,500)	(3,500)	0
Impairments on Property	(49,100)	(49,060)	40	(49,100)	(49,100)	0
RETAINED SURPLUS FOR THE YEAR	(51,033)	(52,550)	(1,517)	(52,100)	(52,100)	0

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING 30th November 2011
CAPITAL PROGRAMME (NHS EXPENDITURE)

APPENDIX D

BUDGET £'000	PERIOD		SCHEME	TO DATE			FORECAST OUTTURN		
	ACTUAL £'000	VARIANCE £'000		BUDGET £'000	ACTUAL £'000	VARIANCE £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
25	0	25	Bfwd Schemes 10-11	345	153	192	495	330	0
250	95	155	Tomotherapy	3,650	3,730	(80)	4,200	3,900	0
125	637	(512)	IT Strategy and Infrastructure 11/12	1,050	859	191	1,295	1,295	0
50	0	50	Chief Operating Officer Discretionary Capital	175	19	156	300	300	0
420	229	191	Equipment Replacement	2,025	1,351	674	2,300	2,100	0
50	377		Equipment Other	1,268	1,657	(389)	1,368	1,783	0
845	4	841	Retained Estate & Plaza	2,570	174	2,396	4,225	1,825	0
150	0	150	New Hospital	1,025	140	885	1,309	809	0
(41)	0	(41)	Slippage	(333)	0	(333)	(500)	(500)	0
1,874	1,342	859	Capital Programme	11,775	8,083	3,692	14,992	11,842	0

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
AGED ANALYSIS OF TRADE RECEIVABLES

30th November 2011

APPENDIX E

Month	Trust Total £	No of Invs	Current £	30 - 60 £	60 - 90 £	90+ £
MAY	21,503,128.15 100%	2085	7,315,316.15 34%	2,098,814.18 10%	8,061,704.21 37%	4,027,293.61 19%
JUNE	21,225,822.04 100%	1992	9,406,316.88 44%	3,381,318.73 16%	722,285.79 3%	7,715,900.64 36%
JULY	32,166,841.13 100%	2681	18,605,732.35 58%	3,965,388.28 12%	2,683,226.69 8%	6,912,493.81 21%
AUG	27,336,412.92 100%	2631	9,789,188.45 36%	8,366,072.60 31%	1,629,856.94 6%	7,551,294.93 28%
SEP	20,906,731.49 100%	2906	9,639,868.97 46%	2,283,867.80 11%	2,872,378.98 14%	6,110,615.74 29%
OCT	21,818,058.46 100%	3128	10,083,453.71 46%	3,675,971.61 17%	1,579,743.78 7%	6,478,889.36 30%
NOV	19,034,950.74 100%	2292	7,607,251.30 40%	4,347,029.44 23%	1,758,771.30 9%	5,321,898.70 28%

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST

FINANCIAL PERFORMANCE - PERIOD ENDING

30th November 2011

CASHFLOW FORECAST 2011/12

APPENDIX F

	Apr F/Cast £000's	Apr Actual £000's	May F/Cast £000's	May Actual £000's	June F/Cast £000's	June Actual £000's	July F/Cast £000's	July Actual £000's	Aug F/Cast £000's	Aug Actual £000's	Sep F/Cast £000's	Sep Actual £000's	Oct F/Cast £000's	Oct Actual £000's	Nov F/Cast £000's	Nov Actual £000's	Dec F/Cast £000's	Jan F/Cast £000's	Feb F/Cast £000's	Mar F/Cast £000's	
INCOME																					
Contract Income Protected	36,100	38,240	36,100	49,682	36,100	41,542	36,133	42,359	36,133	44,982	36,134	48,527	36,133	42,518	36,133	42,155	36,134	36,133	36,133	36,134	
Non Protected	1,066	83	1,067	167	1,067	296	1,100	111	1,100	356	1,100	174	1,066	137	1,067	153	1,067	1,100	1,100	1,100	
Other Income	8,866	10,916	8,866	8,366	8,868	9,502	8,866	5,961	8,866	6,017	8,868	8,032	8,866	12,768	8,866	4,922	8,868	8,866	8,866	8,868	
Interest Received	33	16	33	12	34	13	67	76	67	15	66	13	33	85	33	13	34	67	66	67	
Total Received	46,065	49,255	46,066	58,228	46,069	51,353	46,166	48,507	46,166	51,370	46,168	56,746	46,098	55,507	46,099	47,243	46,103	46,166	46,165	46,169	
EXPENDITURE																					
Pay	24,700	27,687	24,700	24,174	24,700	24,231	24,633	23,921	24,633	24,457	24,634	27,911	24,600	24,074	24,600	24,622	24,600	24,466	24,467	24,467	
Non Pay	16,433	16,574	16,433	16,260	16,434	15,600	16,366	25,866	16,367	19,023	16,367	31,156	16,366	18,634	16,367	20,358	16,367	16,366	16,367	17,555	
PDC Dividend	0	0	0	0	0	0	0	0	0	0	0	131	0	0	0	0	0	0	0	0	
PFI - Specific	1,600	1,441	1,600	1,466	1,600	2,486	1,700	1,962	1,700	1,956	1,700	1,893	1,800	2,002	1,800	1,946	1,800	1,766	1,767	1,767	
Capital	966	427	967	497	967	757	1,466	498	1,467	747	1,467	3,231	1,933	1,020	1,933	1,441	1,934	633	633	3,034	
Total Payments	43,699	46,129	43,700	42,398	43,701	43,074	44,165	52,246	44,167	46,183	44,168	64,322	44,699	45,730	44,700	48,367	44,701	43,231	43,234	46,823	
Net Inflow(outflow)	2,366	3,127	2,366	15,830	2,368	8,279	2,001	(3,740)	1,999	5,187	2,000	(7,576)	1,399	9,778	1,399	(1,124)	1,402	2,935	2,931	(654)	
FINANCING																					
Interest Expense on PFI Scheme	(1,266)	(1,273)	(1,267)	(1,273)	(1,267)	(1,242)	(1,466)	(1,470)	(1,467)	(1,470)	(1,467)	(1,423)	(1,566)	(1,572)	(1,567)	(1,522)	(1,567)	(1,533)	(1,533)	(1,534)	
Capital PFI	(800)	(795)	(800)	(795)	(800)	(776)	(733)	(1,019)	(733)	(623)	(734)	(603)	(966)	(1,074)	(967)	(1,125)	(967)	(1,133)	(1,133)	(1,134)	
PFI - Variations	0	0	0	(140)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Restructuring Costs	(300)	(293)	(300)	(280)	(300)	(818)	(300)	(525)	(300)	(573)	(300)	(591)	(300)	(404)	(300)	(359)	(300)	(300)	(300)	(200)	
Movement on Other Grants/Capital	0	0	0	0	0	0	0	94	0	0	0	0	0	0	0	0	0	0	0	2,400	
CASH BALANCES																					
Opening balance	61,988	61,988	61,988	62,754	61,987	76,096	61,988	81,539	61,490	74,879	60,989	77,400	60,488	67,207	59,056	73,934	57,620	56,188	56,158	56,123	
Bank balances c/f	61,988	62,754	61,987	76,096	61,988	81,539	61,490	74,879	60,989	77,400	60,488	67,207	59,056	73,934	57,620	69,805	56,188	56,158	56,123	55,000	
Cash Held/Agency Bal Pharmacy@QEHB Ltd	21	25		23		24		24		24		23		24		24					
Total	62,009	62,779	61,987	76,119	61,988	81,563	61,490	74,903	60,989	77,424	60,488	67,230	59,056	74,040	57,620	69,905	56,188	56,158	56,123	55,000	

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services under PbR</u>						
<u>Specialty Group: 1 - Division A</u>						
Critical Care Medicine	0	15	2	9	2	-6
Interventional Radiology	0	0	392	0	392	0
Rehabilitation	17	15	10	4	-7	-11
	17	30	404	13	387	-17
<u>Specialty Group: 2 - Division B</u>						
Breast Surgery	256	1	272	1	16	0
Cardiology	1,840	746	1,548	779	-292	34
Cardiothoracic Surgery	389	159	341	192	-48	33
Cardiothoracic Transplantation	0	0	4	1	4	1
Colorectal Surgery	1,304	987	1,350	153	46	-834
Gastroenterology	2,902	192	2,545	169	-357	-23
General Surgery	1,545	1,268	1,695	2,351	150	1,083
Hepatobiliary & Pancreatic Surgery	499	170	568	145	69	-25
Hepatology	658	142	720	130	62	-12
Liver Transplantation	0	0	0	0	0	0
Nephrology	593	649	461	742	-132	93
Transplantation Surgery	4	25	1	35	-3	11
Upper Gastrointestinal Surgery	106	1	112	1	6	0
Vascular Surgery	636	104	623	101	-13	-3
	10,731	4,442	10,240	4,800	-491	358
<u>Specialty Group: 3 - Division C</u>						
Accident & Emergency	3	664	0	477	-3	-187
Dermatology	365	11	547	12	182	1
Diabetic Medicine	531	0	480	0	-51	0
Endocrinology	392	37	461	6	69	-31
General Medicine	146	8,699	152	9,656	6	957
Genitourinary Medicine	5	11	3	6	-2	-5
Geriatric Medicine	2	593	1	537	-1	-56
Ophthalmology	2,283	9	1,592	7	-691	-2
Infectious Diseases	0	4	0	1	0	-3
Pain Management	902	2	907	2	5	0
Respiratory Medicine	61	298	682	308	621	10
Rheumatology	447	4	368	0	-79	-4
	5,137	10,332	5,193	11,012	56	680
<u>Specialty Group: 4 - Division D</u>						
Blood and Marrow Transplantation	6	2	9	2	3	0
Burns care	1	5	3	42	2	37
Clinical Oncology	577	459	609	421	32	-38
Clinical Haematology	1,959	400	2,095	382	136	-18
ENT	1,001	268	1,097	250	96	-18
Maxillo-facial surgery	632	330	662	357	30	27
Medical Oncology	349	300	339	306	-10	6
Neurology	1,029	118	1,460	112	431	-6
Neurosurgery	1,645	797	1,278	860	-367	63
Plastic Surgery	1,601	365	1,729	374	128	9
Trauma & Orthopaedics	1,575	1,256	1,490	1,423	-85	167
Urology	1,559	606	1,448	276	-111	-330
	11,932	4,907	12,219	4,805	287	-102
<u>Summary: Services Under PbR</u>	27,818	19,711	28,056	20,630	238	919

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services at Local Prices</u>						
<u>Specialty Group: 1 - Division A</u>						
Critical Care Medicine	0	1	0	0	0	-1
Interventional Radiology	0	0	7	0	7	0
Rehabilitation	1	0	0	0	-1	0
	1	1	7	0	6	-1
<u>Specialty Group: 2 - Division B</u>						
Breast Surgery	1	0	6	0	5	0
Cardiology	37	19	45	54	8	35
Cardiac MRI	73	0	106	0	33	0
Cardiothoracic Surgery	75	3	93	3	18	0
Cardiothoracic Transplantation	11	7	1	3	-10	-4
Colorectal Surgery	34	1	29	0	-5	-1
Gastroenterology	32	2	20	1	-12	-1
General Surgery	123	2	155	3	32	1
Hepatobiliary & Pancreatic Surgery	29	8	110	3	81	-5
Hepatology	31	26	32	37	1	11
Liver Transplantation	7	2	0	0	-7	-2
Nephrology	37	5	33	6	-4	1
Renal Surgery	0	0	0	0	0	0
Renal Transplantation	87	12	71	9	-16	-3
Transplant Surgery	0	0	0	0	0	0
Upper Gastrointestinal Surgery	2	0	1	0	-1	0
Vascular Surgery	33	0	38	0	5	0
	612	86	740	119	128	33
<u>Specialty Group: 3 - Division C</u>						
Accident & Emergency	0	21	0	32	0	11
Dermatology	22	0	20	0	-2	0
Endocrinology	3	1	7	0	4	-1
General Medicine	4	275	3	270	-1	-5
Geriatric Medicine	0	12	0	3	0	-9
Genitourinary Medicine	0	0	1	0	1	0
Ophthalmology	39	0	33	0	-6	0
Pain Management	29	0	35	0	6	0
Respiratory Medicine	1	5	3	5	2	0
Rheumatology	8	0	6	0	-2	0
	104	315	108	310	4	-5
<u>Specialty Group: 4 - Division D</u>						
Audiology	0	0	0	0	0	0
Bone & Marrow Transplantation	26	0	15	0	-11	0
Blood and Marrow Transplantation	1	0	4	0	3	0
Burns care	6	130	14	228	8	99
Clinical Oncology	15	1	19	1	4	0
Clinical Haematology	5	2	46	2	41	0
ENT	65	0	56	0	-9	0
Maxillo-facial surgery	80	4	85	10	5	6
Medical Oncology	54	22	79	44	25	22
Neurology	14	1	14	1	0	0
Neurosurgery	149	5	117	4	-32	-1
Plastic Surgery	65	26	72	17	7	-9
Trauma & Orthopaedics	69	11	91	10	22	-1
Urology	108	2	82	0	-26	-2
	658	203	694	317	36	114
<u>Summary: Services at Local Prices</u>	1,375	605	1,549	746	174	141

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 SLAM REPORT: Outpatient Activity by Division [Month 7 2011/12]

Specialty	ACTIVITY								
	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
<u>Services under PbR</u>									
<u>Specialty Group: 1 - Division A</u>									
Chemical Pathology	0	0	32	0	0	29	0	0	-3
Physiotherapy	0	0	311	0	0	179	0	0	-132
Podiatry	0	0	4	0	0	9	0	0	5
Rehabilitation	0	0	1	0	0	2	0	0	1
Speech & Language Therapy	0	0	2	0	0	66	0	0	64
	0	0	349	0	0	286	0	0	-63
<u>Specialty Group: 2 - Division B</u>									
Breast Surgery	1,635	2,978	444	1,475	2,525	264	-160	-453	-180
Cardiology	5,818	12,965	1,555	6,397	14,547	2,088	579	1,582	533
Cardiothoracic Surgery	116	1,069	483	108	1,042	479	-8	-27	-4
Cardiothoracic Transplantation	0	0	0	0	0	1	0	0	1
Colorectal Surgery	867	791	1,120	663	959	1,161	-204	168	41
Gastroenterology	1,203	2,124	80	1,260	2,612	208	57	488	128
General Surgery	1,688	3,755	289	1,307	4,118	439	-381	363	150
Hepatobiliary & Pancreatic Surgery	608	2,003	226	669	2,269	322	61	266	96
Hepatology	872	6,137	185	809	6,167	284	-63	30	99
Nephrology	711	12,544	183	685	13,056	237	-26	512	54
Transplantation Surgery	0	0	2	0	0	64	0	0	62
Upper Gastrointestinal Surgery	205	267	2	189	340	1			
Vascular Surgery	775	1,856	72	859	2,182	148	84	326	76
	14,498	46,487	4,640	14,421	49,817	5,696	-61	3,257	1,056
<u>Specialty Group: 3 - Division C</u>									
Diabetic Medicine	308	3,130	39	472	4,039	39	164	909	1
Dermatology	3,904	7,151	4,216	3,379	6,773	4,558	-525	-378	342
Endocrinology	659	2,067	11	819	3,363	151	160	1,296	141
General Medicine	619	3,303	179	583	3,810	9	-36	507	-170
GenitoUrinary Medicine	17,015	8,273	0	17,856	8,847	1	841	574	1
Geriatric Medicine	373	550	7	326	558	4	-47	9	-3
Ophthalmology	4,115	13,065	824	3,325	13,374	2,130	-790	309	1,306
Pain Management	712	1,169	177	688	1,435	208	-24	266	31
Respiratory Medicine	944	3,109	1	1,029	3,188	2	85	79	1
Respiratory Physiology	1	0	0	1	0	0	0	0	0
Rheumatology	1,229	5,474	0	965	6,041	1	-264	567	1
Infectious Diseases	0	0	0	25	15	0	25	15	0
	29,879	47,291	5,454	29,468	51,443	7,103	-436	4,137	1,649
<u>Specialty Group: 4 - Division D</u>									
Burns care	0	0	2	0	0	27	0	0	25
Clinical Oncology	3,442	8,867	6	3,625	9,361	13	183	494	7
ENT	2,525	5,884	4,073	2,273	5,539	4,458	-252	-345	385
Clinical Haematology	846	8,128	1	811	7,777	0	-35	-351	-1
Maxillo-facial surgery	2,068	4,520	884	1,913	4,623	882	-155	103	-2
Medical Oncology	653	6,229	596	638	6,339	242	-15	110	-354
Neurology	0	0	12	90	0	24	90	0	12
Neurosurgery	0	0	2	0	0	4	0	0	2
Plastic Surgery	1,678	6,029	1,368	1,313	6,264	1,522	-365	235	154
Trauma & Orthopaedics	3,876	10,299	469	3,921	11,465	839	45	1,166	370
Urology	2,072	4,725	2,170	1,948	5,548	2,281	-124	823	111
	17,161	54,681	9,583	16,532	56,916	10,292	-629	2,235	709
<u>Summary: Services Under PbR</u>	61,538	148,458	20,026	60,421	158,176	23,377	-1,125	9,629	3,352

Specialty	ACTIVITY								
	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
<u>Services at Local Prices</u>									
<u>Specialty Group: 1 - Division A</u>									
Interventional Radiology	43	34	0	42	30	0	-1	-4	0
Physiotherapy	3,796	17,850	0	3,105	15,669	0	-691	-2,181	0
Podiatry	123	576	0	193	531	2	70	-45	2
Speech & Language Therapy	300	783	0	337	729	0	37	-54	0
Nutrition & Dietetics	873	2,458	0	729	1,945	0	-144	-513	0
Occupational Therapy	169	343	0	201	333	0	32	-10	0
Orthopaedic Triage - Imaging	0	0	0	789	65	0	789	65	0
Rehabilitation	0	0	1	0	4	5	0	4	4
Upper Limb	674	4,074	0	854	5,018	0	180	944	0
	5,978	26,118	1	6,250	24,324	7	272	-1,794	6
<u>Specialty Group: 2 - Division B</u>									
Breast Surgery	107	0	0	0	0	0	-107	0	0
Cardiac MRI	893	0	0	1,550	0	0	657	0	0
Cardiology	357	531	321	91	395	0	-266	-136	-321
Cardiothoracic Surgery	0	442	0	1	529	0	1	87	0
Cardiothoracic Transplantation	1	28	0	0	25	0	-1	-3	0
Colorectal Surgery	28	4	1	0	36	0	-28	33	-1
Gastroenterology	70	0	3	0	0	0	-70	0	-3
General Surgery	122	25	1	0	3	0	-122	-22	-1
Hepatobiliary & Pancreatic Surgery	20	0	0	0	0	0	-20	0	0
Hepatology	0	263	1	5	235	1	5	-28	0
Liver Transplantation	0	36	0	0	237	0	0	201	0
Nephrology	99	880	0	136	900	0	37	20	0
Renal Surgery	0	0	0	0	0	0	0	0	0
Renal Transplantation	30	1,389	0	33	1,158	0	3	-231	0
Upper Gastrointestinal Surgery	14	8	0	14	18	1	0	10	1
Vascular Surgery	80	0	0	0	0	3	-80	0	3
	1,822	3,604	326	1,830	3,536	5	115	-68	-321
<u>Specialty Group: 3 - Division C</u>									
Accident & Emergency	1,006	491	0	862	235	0	-144	-256	0
Dermatology	0	155	1	0	0	12	0	-155	11
Diabetic Medicine	0	1,073	0	0	1,161	0	0	88	0
General Medicine	0	295	0	0	136	0	0	-159	0
Geriatric Medicine	1	0	0	0	0	0	-1	0	0
Genitourinary Medicine	10	7,370	0	159	7,655	0	149	285	0
Ophthalmology	484	0	0	1	0	0	-483	0	0
Pain Management	6	0	0	7	2	0	1	2	0
Respiratory Medicine	0	117	1	0	0	0	0	-117	-1
Rheumatology	25	357	1	15	363	2	-10	6	1
	1,531	9,857	2	1,045	9,552	14	-486	-305	12
<u>Specialty Group: 4 - Division D</u>									
Audiology	589	8,262	0	620	8,329	0	31	67	0
Burns care	25	70	0	0	61	0	-25	-9	0
Clinical Oncology	0	5	0	4	0	0	4	-5	0
Clinical Haematology	317	14,024	0	185	14,829	0	-132	805	0
ENT	1	3	6	0	0	7	-1	-3	1
Maxillo-facial surgery	50	1	1	0	0	3	-50	-1	2
Medical Oncology	458	3,841	0	398	3,906	0	-60	65	0
Neurology	3,621	10,138	2	5,112	8,613	4	1,491	-1,525	2
Neurosurgery	2,371	4,699	1	1,906	5,055	1	-465	356	0
Plastic Surgery	92	0	17	0	0	59	-92	0	42
Trauma & Orthopaedics	751	2,134	39	290	1,976	58	-461	-158	19
Urology	135	348	0	199	0	1	64	-348	1
	8,409	43,525	65	8,714	42,769	133	305	-756	68
<u>Summary: Services at Local Prices</u>	17,740	83,105	395	17,839	80,181	159	207	-2,924	-236

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 SLAM REPORT: Inpatient Activity by Commissioner [Month 7 2011/12]

APPENDIX G(2)

		ADMITTED PATIENT CARE											
		ELECTIVE APC				NON-ELECTIVE APC				TOTAL			
		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE	
WEST MIDLANDS PCTS	MAND	23,655	25,502	1,847	108%	18,194	19,091	897	105%	41,849	44,593	2,744	107%
	NON-TARIFF	1,107	1,315	208	119%	403	455	52	113%	1,510	1,770	260	117%
TOTAL WEST MIDLANDS PCTs	TOTAL	24,762	26,817	2,055	108%	18,597	19,546	949	105%	43,359	46,363	3,004	107%
WEST MIDLANDS SPECIALISED	MAND	2,061	1,683	-378	82%	1,053	1,066	13	101%	3,114	2,749	-365	88%
	NON-TARIFF	185	138	-47	75%	150	175	25	117%	335	313	-22	93%
TOTAL WMSCT	TOTAL	2,246	1,821	-425	81%	1,203	1,241	38	103%	3,449	3,062	-387	89%
OUT OF AREA CONTRACTS	MAND	2,014	782	-1,232	39%	336	350	14	104%	2,350	1,132	-1,218	48%
	NON-TARIFF	72	80	8	111%	41	76	35	184%	113	156	43	138%
TOTAL ALL OTHER COMMISSIONERS	TOTAL	2,086	862	-1,224	41%	377	14	49	4%	2,463	876	-1,587	36%
NON-CONTRACTED ACTIVITY	MAND	121	89	-32	74%	133	123	-10	92%	254	212	-42	84%
	NON-TARIFF	11	16	6	152%	11	40	30	381%	21	56	35	267%
TOTAL NON-CONTRACTED ACTIVITY	TOTAL	131	105	-26	80%	144	163	20	114%	275	268	-7	98%
TOTAL MANDATORY	MAND	27,851	28,056	205	101%	19,716	20,630	914	105%	47,567	48,686	1,119	102%
TOTAL NON-MANDATORY	NON-TARIFF	1,375	1,549	174	113%	605	746	141	123%	1,979	2,295	316	116%
TOTAL	TOTAL	29,225	29,605	380	101%	20,321	21,376	1,055	105%	49,546	50,981	1,435	103%

OUTPATIENT ATTENDANCES																	
		FIRST ATTENDANCE				FOLLOW-UP ATTENDANCE				PROCEDURES				TOTAL			
		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE		PLAN	ACTUAL	VARIANCE	
WEST MIDLANDS PCTS	MAND	59,200	58,967	- 233	100%	140,993	152,738	11,745	108%	19,627	22,965	3,338	117%	219,821	234,670	14,849	107%
	NON-TARIFF	6,500	9,643	3,143	148%	35,980	37,707	1,727	105%	0	123	123	#####	42,480	47,473	4,993	112%
TOTAL WEST MIDLANDS PCTS	TOTAL	65,701	68,610	2,909	104%	176,973	190,445	13,472	108%	19,627	23,088	3,461	118%	262,301	282,143	19,842	108%
WEST MIDLANDS SPECIALISED	MAND	109	97	- 12	89%	946	673	- 273	71%	141	4	- 137	3%	1,196	774	- 422	65%
	NON-TARIFF	2,883	2,454	- 429	85%	16,100	15,716	- 384	98%	0	-	0	0%	18,983	18,170	- 813	96%
TOTAL WMSCT	TOTAL	2,991	2,551	- 440	85%	17,046	16,389	- 657	96%	141	4	- 137	3%	20,179	18,944	- 1,235	94%
OUT OF AREA CONTRACTS	MAND	1,861	998	- 863	54%	5,805	4,094	- 1,711	71%	195	271	76	139%	7,861	5,363	- 2,498	68%
	NON-TARIFF	845	241	- 604	29%	1,328	691	- 637	52%	376	22	- 354	6%	2,549	954	- 1,595	37%
TOTAL ALL OTHER COMMISSIONERS	TOTAL	2,706	1,239	- 1,467	46%	7,133	4,785	- 2,348	67%	571	293	- 278	51%	10,410	6,317	- 4,093	61%
NON-CONTRACTED ACTIVITY	MAND	404	359	- 45	89%	859	671	- 188	78%	62	137	75	222%	1,325	1,167	- 158	88%
	NON-TARIFF	30	40	10	132%	180	112	- 68	62%	22	14	- 8	63%	232	166	- 66	72%
TOTAL NON-CONTRACTED ACTIVITY	TOTAL	435	399	- 36	92%	1,039	783	- 256	75%	84	151	67	180%	1,558	1,333	- 225	86%
TOTAL MANDATORY	MAND	61,574	60,421	- 1,153	98%	148,603	158,176	9,573	106%	20,026	23,377	3,351	0%	230,203	241,974	11,771	105%
TOTAL NON-MANDATORY	NON-TARIFF	10,259	12,378	2,120	121%	53,587	54,226	639	101%	398	159	- 239	40%	64,244	66,763	2,519	104%
TOTAL	TOTAL	71,832	72,799	967	101%	202,191	212,402	10,211	105%	20,424	23,536	3,112	115%	294,447	308,737	14,290	105%