

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
BOARD OF DIRECTORS
THURSDAY 27 JULY 2017

Title:	QUARTER 1 BOARD ASSURANCE FRAMEWORK
Responsible Director:	David Burbridge, Director of Corporate Affairs
Contact:	Berit Reglar, Deputy Foundation Secretary Louisa Sorrell, Head of Clinical Risk and Compliance

Purpose:	To provide the Board with the high level risks within the context of the Board Assurance Framework (BAF).
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Confidentiality Level & Reason:	None
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Medium Term Plan Ref:	Annual Plan
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Key Issues Summary:	<p>The BAF has been reviewed by the Executive team in conjunction with their Executive Risk Registers and any relevant divisional/corporate risk registers. Track changes have been used in the Appendix to assist the Board in the identification of any changes to the BAF. Key updates include:</p> <ul style="list-style-type: none"> • Two risks have been added in connection with the proposed transaction involving HEFT. <ul style="list-style-type: none"> ○ A failure to obtain approval and/or implement the proposed transaction will result in challenges to deliver/implement improved models of care which in turn will lead to potential financial loss and deterioration of patient services. ○ A successful transaction will lead to additional financial risks, stretched resources, threat to UHB's sustainability and licence conditions and the risk of reduced quality of services. • More context and an action update has been provided in relation to all BREXIT related risks (Contracts/Finance generally, Research, Workforce).
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Recommendations:	<p>The Board is asked to:</p> <ol style="list-style-type: none"> 1. Review the revised BAF and identify any gaps in controls or assurance; 2. Consider the newly added risks and confirm appropriate risk owners; and 3. Agree the risk rating around the risks associated with the transaction with HEFT.
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igned: David Burbridge	Date: July 2017
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