

AGENDA ITEM NO:

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
REPORT TO THE BOARD OF DIRECTORS
THURSDAY 25 OCTOBER 2012**

Title:	FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 30 SEPTEMBER 2012
Responsible Director:	Mike Sexton, Director of Finance
Contact:	Julian Miller, Deputy Director of Finance, ext. 53074

Purpose:	To present an update to the Board
Confidentiality Level & Reason:	N/A
Annual Plan Ref:	Aim 2: Maintain our reputation and position at the leading edge of performance and quality Aim 3: Enhance our reputation for excellent financial management and efficiency
Key Issues Summary:	An actual surplus of £1.048m has been recorded for the first six months of the financial year. This represents a favourable variance of £0.748m against the planned £0.300m surplus year to date and a £0.288m improvement in the month.
Recommendations:	The Board of Directors is asked to: <ul style="list-style-type: none">• Receive the contents of this report.• Agree that Monitor's new combined Governance Statement should be signed confirming that the Board expects the Trust to continue to maintain a minimum FRR of 3 for the next 12 months.

Signed: M Sexton	Date: 16 October 2012
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UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST

REPORT TO THE BOARD OF DIRECTORS THURSDAY 25 OCTOBER 2012

FINANCE AND ACTIVITY PERFORMANCE REPORT FOR THE PERIOD ENDING 30 SEPTEMBER 2012

PRESENTED BY THE DIRECTOR OF FINANCE

1. Introduction

This report covers the first six months of the 2012/13 financial year from 1 April 2012 to 30 September 2012. It includes the three main financial statements; the Statement of Comprehensive Income, the Statement of Position and the Cash Flow Statement. The report details healthcare activity along with the associated income, key operating expenditure variances, CIP delivery and summarised capital programme expenditure.

The Trust has planned for an annual surplus of £0.600m in 2012/13. An actual surplus of £1.048m has been achieved for the six months to 30 September 2012. This represents a favourable variance of £0.748m against the year to date planned surplus of £0.300m and reflects an improvement of £0.288m in the month.

The reported position includes an aggregate overspend of (£5.976m) across operational divisions for the first six months of the financial year after recognition of £0.818m of additional healthcare income. This reflects a combination of activity related expenditure in excess of the additional income recognised, the use of premium rate agency staffing, CIP slippage and a shortfall of income from the Injury Cost Recovery Scheme. The operational overspend has been offset by additional the release of £6.000m from Trust Specific Reserves.

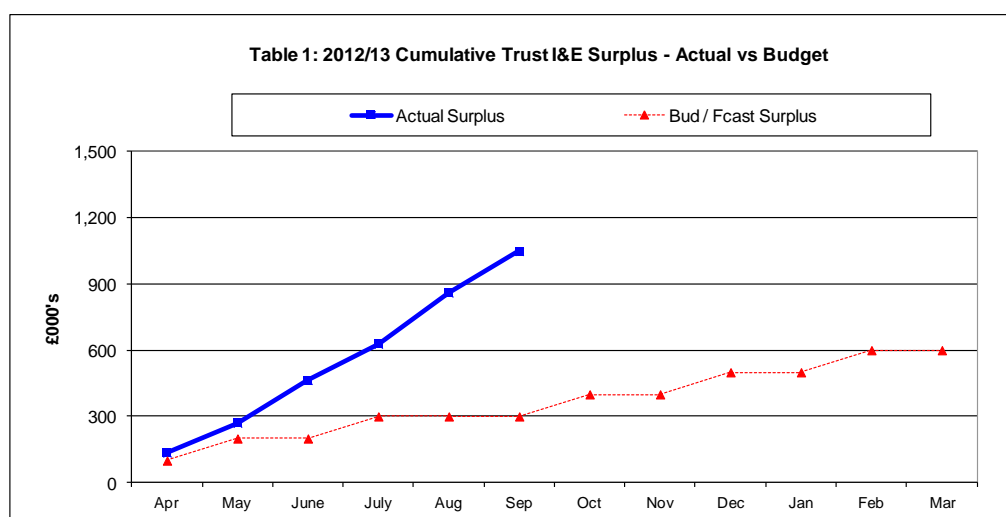
The Trust's Financial Risk Rating (FRR) remains at 3 based on the 2012/13 Annual Plan and Quarter 1 monitoring and self assessment of Quarter 2 performance.

2. Financial Position Summary

2.1 Year to Date Position

The cumulative income and expenditure surplus is shown in Table 1 below. As detailed above the actual year to date surplus is £1.048m, which is £0.748m above the planned £0.300m surplus.

Table 1 – I&E Surplus vs. Plan 2012/13



The statement of comprehensive income appears in Appendix C. Table 2 below summarises the Trusts revenue transactions for the year. Further analysis of income is included in section 3.1 and detail regarding operating expenses is set out in section 3.3.

Table 2 – YTD Income and Expenditure Budget vs. Actual

	Budget Apr-Sep 2012 £m	Actual Apr-Sep 2012 £m	Variance £m
Revenue	300.2	310.8	10.6
Operating Expenses	(279.6)	(289.1)	(9.5)
EBITDA	20.6	21.7	1.1
Depreciation	(9.7)	(10.0)	(0.3)
Donated Asset Revenue	0.0	0.0	0.0
Interest Receivable	0.4	0.4	0.0
Interest Payable	(9.2)	(9.3)	(0.1)
Contingent Rental	(1.8)	(1.8)	0.0
PDC Dividend	0.0	0.0	0.0
Retained Surplus	0.3	1.0	0.7

Note - may include rounding differences

3. Income and Expenditure

3.1 Income Analysis

Total operating income is £10.6m above budget at 30 September 2012. This is largely attributable to NHS Clinical Income which is £11.0m ahead of plan reflecting:

- £0.8m recognised in respect of activity over-performance for the first 6 months. This is lower than the value of year to date over-

performance shown in Table 5 (section 3.2) as adjustments have been made for the impact of the non-elective threshold and payment risks based on commissioner queries.

- £5.4m for high cost drugs and devices excluded from tariff
- £3.5m for Enzyme Replacement Therapy commissioned on a cost per case basis by the National Commissioning Group (NCG).
- £1.3m relating to contract variations and other cost per case treatments.

Non-NHS Clinical Income is £0.3m above plan and Other Income is below plan by (£0.7m) as at the end of September 2012.

Table 3 – Income against plan

	Budget Apr-Sep 2012 £m	Actual Apr-Sep 2012 £m	Variance £m
Clinical - NHS	235.6	246.6	11.0
Clinical - Non NHS	6.4	6.7	0.3
Other	58.2	57.5	(0.7)
TOTAL	300.2	310.8	10.6

Note - may include rounding differences

3.2 NHS Clinical Income / Activity

Table 4.1 below compares 2012/13 monthly admitted patient care activity against target levels for the first five months of the financial year. This shows that both Payment by Results (PbR) spells and non PbR FCEs were in line with plan both in the month (August) and year to date.

Table 4.1 – Trust Inpatient Activity

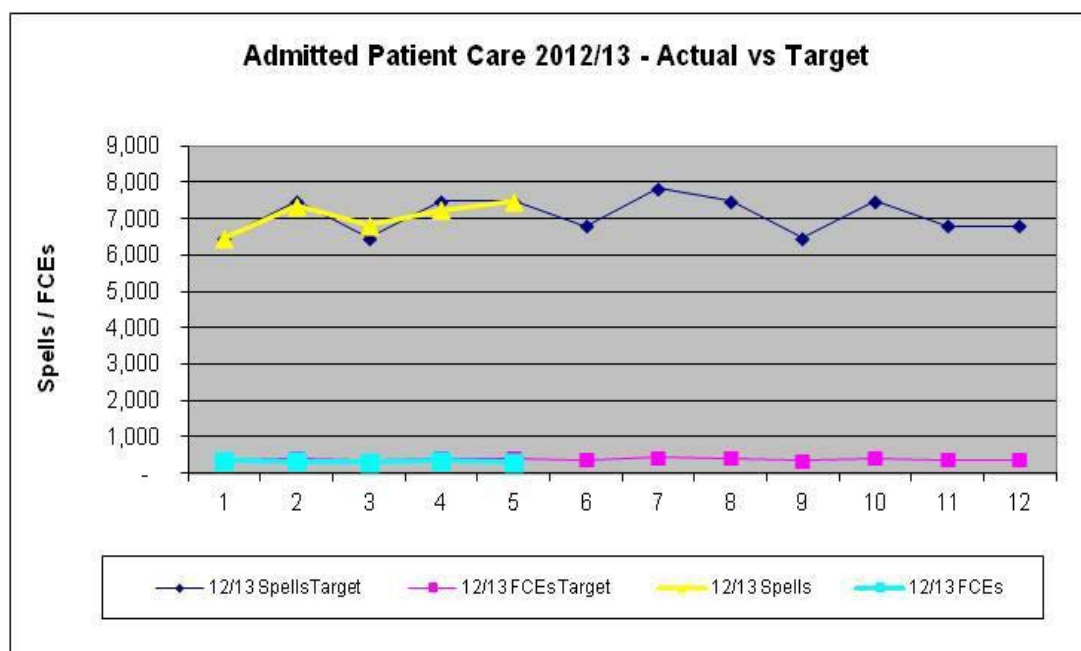


Table 4.2 shows outpatient activity against target. Total attendances were slightly below plan in August. On a year to date basis both

outpatient procedures and follow up attendances are higher than target and new attendances are marginally below plan.

Table 4.2 – Trust Outpatient Activity

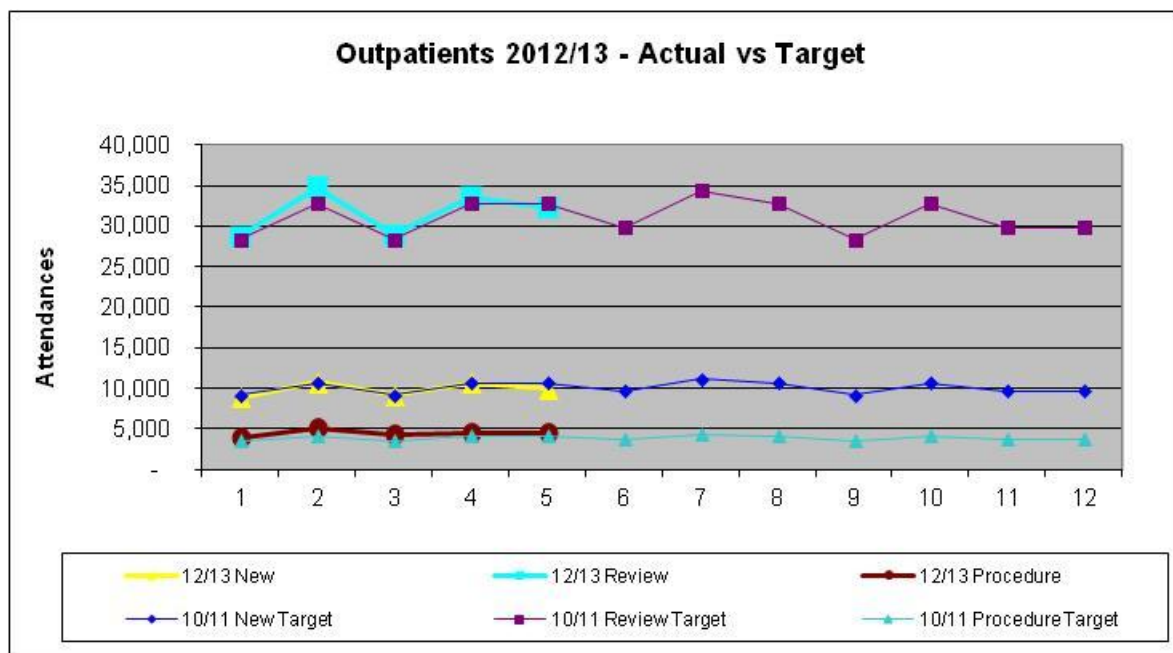


Table 5 below shows the variance by Division and Point of Delivery against the 2012/13 healthcare income targets. This shows that healthcare income (excluding cost per case) is £2.6m ahead of plan for the five months to 31 August 2012. Income targets are now profiled based on working days rather than equal twelfths. This has been agreed with the Trust's main commissioner (NHS South Birmingham) and should give a more accurate indication of activity trends. The over-performance above excludes the impact of the non-elective threshold and outstanding commissioner queries. Activity performance summary against plan by specialty and commissioner is included as Appendix G.

Table 5 – Summary Healthcare Income Performance by Division by Point of Delivery

	YTD Variance against Divisional Baselines (£000)				
	Div A	Div B	Div C	Div D	Total
Inpatient	210	(1,393)	613	171	(400)
Outpatient	141	61	706	406	1,315
Other	453	(32)	441	811	1,673
Total	804	(1,364)	1,760	1,388	2,588

Note - may include rounding differences

3.3

Expenditure Analysis

The Trust wide subjective expenditure analysis in Table 6 shows an over spend of (£9.5m) against budgeted expenditure of £279.6m for the year to date. The main areas of overspend include Pay (£5.5m) and activity related non-pay (£3.2m).

Table 6 – Expenditure against plan

	Budget Apr-Sep 2012 £m	Actual Apr-Sep 2012 £m	Variance £m
PAY			
Medical Staff	49.7	51.9	(2.2)
Nursing	52.9	55.5	(2.6)
Scientific & Technical	20.0	19.9	0.1
SMP / A&C	25.2	25.7	(0.5)
Other / Pay Reserves	7.8	8.1	(0.3)
Total Pay	155.6	161.1	(5.5)
NON PAY			
Drugs	34.4	36.8	(2.4)
Clinical Supplies & Services	37.4	38.2	(0.8)
Other / Non-Pay Reserves	52.2	53.0	(0.8)
Total Non Pay	124.0	128.0	(4.0)
GRAND TOTAL	279.6	289.1	(9.5)

Note - may include rounding differences

3.4 Cost Improvement Programme

The Trust's 2012/13 Financial Plan includes a total efficiency savings target of £18.3m. For the first six months of the financial year (April – September) 92% of the planned Cost Improvement Programme (CIP) has been delivered, resulting in slippage of (£0.735m). CIP delivery has improved in the quarter, up from 85% at the end of Q1, and the current forecast projects 95% achievement of plan by 31 March 2013.

Table 7 – Delivery of Cost Improvements

£000	Cumulative variance against plan				Y/E Forecast
	Q1	Q2	Q3	Q4	
Division A	(27)	(48)			(125)
Division B	54	(15)			(56)
Division C	(51)	(147)			(271)
Division D	(448)	(260)			(103)
Corporate / NHP	(164)	(265)			(333)
Single Site / Other	(40)	0			0
Total	(676)	(735)			(887)
% Achieved	85%	92%			95%

4. **Divisional Analysis**

Divisional budgets recorded an adverse variance of (£5.976m) for the first six months of the financial year, including £0.818m of additional healthcare income. This is offset by the release of £0.935m from the General

Contingency Reserve which is profiled in equal twelfths and a further £6.000m released from the Trust's Specific Reserves.

Table 8 – Analysis of year to date variances by Division

	Income £'000	Expenditure £'000	Total £'000
Division A	948	(3,712)	(2,764)
Division B	770	(2,158)	(1,388)
Division C	(477)	(2,402)	(2,879)
Division D	(595)	29	(566)
Division E	7,827	(7,024)	803
Sub - Total	8,473	(15,267)	(6,794)
Healthcare Income	818	0	818
TOTAL	9,291	(15,267)	(5,976)
<i>Memo General Contingency Reserve</i>			935

Note - may include rounding differences

The total overspend across operational divisions in September was (£773,000) excluding healthcare income. Within this, the largest overspend was medical staffing (£396,000) including (£226,000) in relation to senior medical staff reflecting locum cover in Cardiac Surgery, Anaesthetics, Dermatology and Ophthalmology along with waiting list payments across a range of specialties. The balance of (£169,000) relates to junior and middle grade doctors with agency costs in excess of funded vacancies primarily in Anaesthetics (£72,000) and A&E (£82,000).

Nursing (including Theatre ODPs) pay budgets over spent by (£343,000) in September. The majority of this overspend was across ward nursing (£230,000), in particular across the 5th floor which accounted for (£124,000), due to a combination of annual leave cover, additional nursing for specific patients ('specials'), and the impact of sickness and vacancies. Other specific departmental overspends included Critical Care (£50,000) and A&E (£45,000) due largely to activity pressures and the continued use of external agency staff to cover absence. Non-pay overspends during the month were largely activity related and include Theatres (£96,000), Laboratories (£54,000) and Imaging (£163,000).

Income from the Injury Cost Recovery Scheme was (£92,000) below target in September and is now (£509,000) under budget for the year to date. This was offset in month by £101,000 of additional variable income in Cardiac Surgery and direct access / SLA over-performance of £139,000 across Imaging and Medical Physics.

5. Statement of Financial Position

The Statement of Financial Position shows the value of the Trust's assets and liabilities. The upper part of the statement shows net assets after deducting short and long term liabilities. The lower part identifies the sources of finance. Table 9 summarises the Trust's Statement of Financial Position at 30 September 2012.

Table 9 – Statement of Financial Position

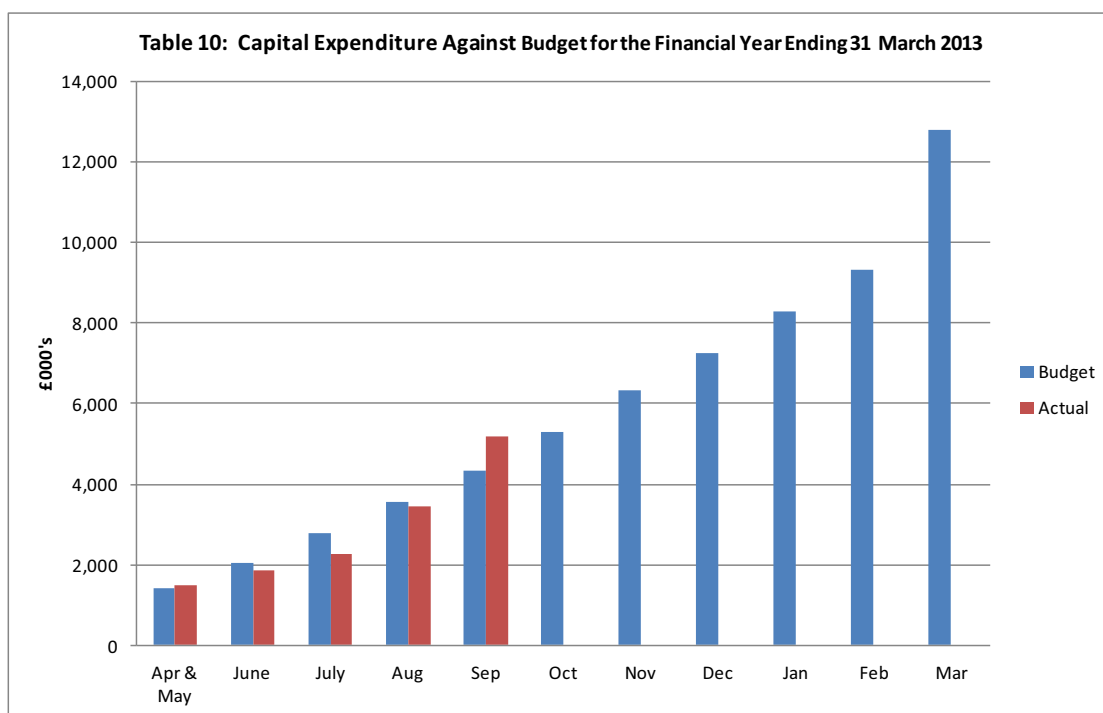
		Audited Mar 2012 £m	Actual Sep 2012 £m	YTD Plan Sep 2012 £m	Annual Plan Mar 2013 £m
Non Current Assets:					
	Property, Plant and Equipment	513.2	508.6	508.4	507.2
	Intangible Assets	0.8	0.7	0.8	0.8
	Trade and Other Receivables	2.9	2.8	2.9	2.9
	Other Assets	0.2	0.2	0.0	0.0
	Total Non Current Assets	517.1	512.3	512.1	510.9
Current Assets:					
	Inventories	12.2	13.4	12.7	12.3
	Trade and Other Receivables	33.0	21.5	23.7	31.0
	Other Financial Assets	0.6	8.7	7.2	5.9
	Other Current Assets	4.4	9.5	12.0	0.6
	Cash	66.7	58.4	49.1	50.7
	Total Current Assets	117.1	111.5	104.7	100.5
Current Liabilities:					
	Trade and Other Payables	82.5	73.8	65.7	70.1
	Borrowings	0.0	12.3	12.0	11.8
	Provisions	3.7	3.5	3.7	3.7
	Tax Payable	6.9	6.8	6.5	6.9
	Other Liabilities	23.9	17.6	17.3	22.0
	Total Current Liabilities	116.9	114.0	105.2	114.5
Non Current Liabilities:					
	Borrowings	545.9	539.7	540.0	534.0
	Provisions	1.6	1.6	1.6	1.6
	Other Liabilities	29.8	27.5	29.8	20.8
	Total Non Current Liabilities	577.4	568.8	571.4	556.4
TOTAL ASSETS EMPLOYED		(60.1)	(59.0)	(59.8)	(59.5)
Financed by:					
	Public Dividend Capital	171.0	171.0	171.0	171.0
	Income & Expenditure Reserve	(339.5)	(338.3)	(339.2)	(338.9)
	Donated Asset Reserve	0.0	0.0	0.0	0.0
	Revaluation Reserve	108.4	108.3	108.4	108.4
TOTAL TAXPAYERS EQUITY		(60.1)	(59.0)	(59.8)	(59.5)

Note - may include rounding differences

6. Capital Programme

The approved capital programme for 2012/13 is £12.8m including donated assets. Capital expenditure for the year to date totals £5.2m which is (£0.9m) ahead of the year to date budget of £4.3m. Actual expenditure in the month was £1.7m which included £0.5m for estates projects, £0.5m on medical equipment, £0.4m on ICT projects (including finance, disaster recovery and PACs) and £0.3m for New Hospital works. Several major projects are approaching completion slightly ahead of schedule and therefore total capital expenditure should move back in line with plan over the next quarter. A summary of expenditure against major schemes is provided in Appendix D.

Table 10 – Capital Expenditure against plan



7. Analysis of Current Assets (excluding Inventories and Cash)

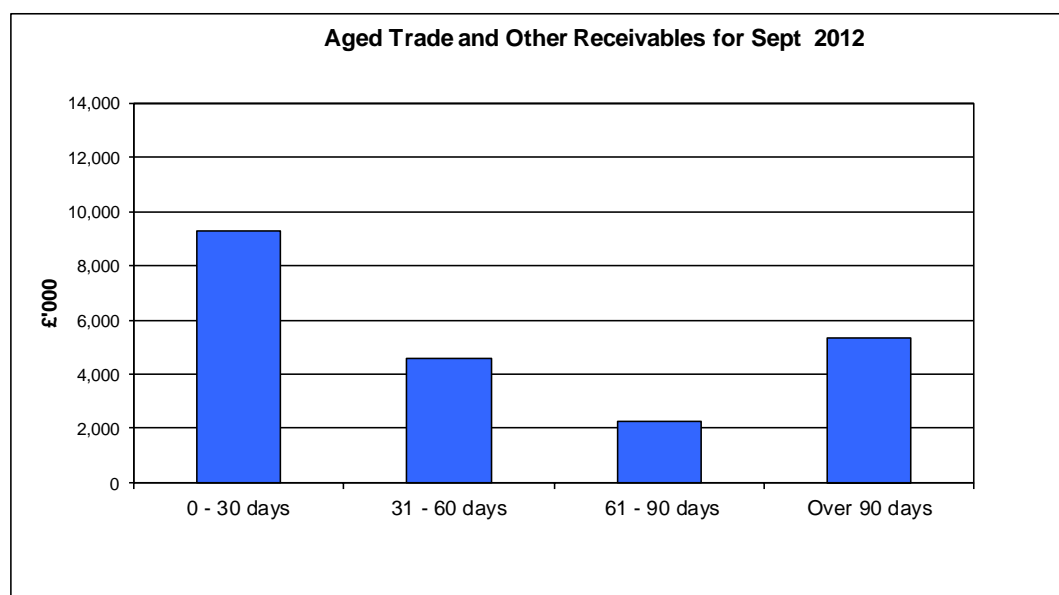
The value of current assets (excluding inventories and cash) due within one year was £39.7m at 30 September 2012. Of this, £21.5m relates to Trade and Other Receivables (unpaid invoices raised by the Trust). Analysis of the outstanding debt by age is included in Appendix E and summarised in table 12 below.

Over 90 day debt as at 30 September was £5.3m. Of this around £2.5m is attributable to debt with NHS commissioners due primarily to outstanding over-performance invoices for 2011/12. The largest balances relate to the Welsh Specialised Commissioning Agency (£1.0m), although this is down by £0.4m in the month, and Shropshire PCT (£0.7m). A further £1.8m relates to debt with others NHS providers for service level agreements. These include Birmingham Women's Hospital (£0.9m), of which £0.4m was paid in the first week of October, and Sandwell & West Birmingham (£0.2m). In aggregate these amounts are balanced by NHS creditors so there is little adverse impact on cash flow. Of the remaining over 90 day debt balance, £0.6m relates to the RCDM and this has also been paid since the month end.

Table 11 – Analysis of Current Assets (excluding Inventories and Cash)

	Actual Sep 2012 £m	Forecast Sep 2012 £m
Trade Receivables	17.0	20.0
Bad Debt Provision	-0.8	-1.3
Other Receivables	5.3	5.0
Trade and Other Receivables	21.5	23.7
Accrued Income	8.7	12.0
Other Financial Assets	8.7	12.0
Prepayments	9.5	7.2
Deferred Asset	0.0	0.0
Other Current Assets	9.5	7.2
TOTAL	39.7	42.9

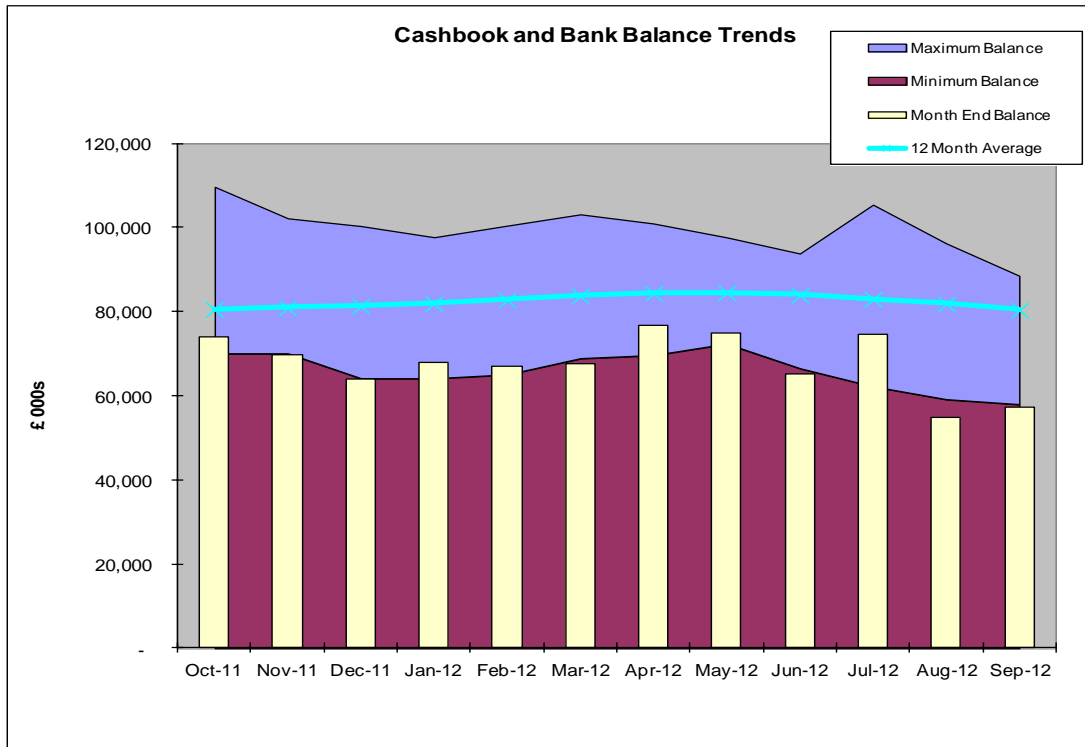
Table 12 – Aged Debt Analysis of Trade and Other Receivables due within One Year



8. Cash Flow

A cash flow statement is included as Appendix F showing actual cash received and paid out against forecast. Table 13 shows the month end cash book balance, the minimum and maximum daily bank balance in the month and a twelve month rolling average daily bank balance. The actual cashbook balance at 30 September 2012 was £58.4m which is £9.3m above plan due to favourable movements in working capital balances. The twelve month rolling average bank balance is broadly stable.

Table 13 – Cash Flow



9. Working Capital Facility

The Trusts working capital facility has been increased to £46.6m for 2012/13 to ensure that the Trust continues to meet the requirements of Monitor’s liquidity ratio (part of the overall Financial Risk Rating). The overdraft has not been used to date and there are no plans to utilise the facility in the immediate future.

10. Monitor Ratios

10.1 Borrowing Ratios

New hospital PFI borrowing is incorporated into the Trust’s Prudential Borrowing Code as it is considered to be a form of financing or loan. Therefore, the Tier 2 Borrowing Ratios now apply:

Table 14 - Borrowing Ratios

Borrowing Ratio:	Limit	Annual Plan - 2012	Actual - Sept 2012
Minimum Dividend Cover	> 1.0	n/a	n/a
Minimum Interest Cover	> 2.0	1.9	2.2
Minimum Debt Service Cover	> 1.5	1.2	1.3
Maximum Debt Service to Revenue	< 10%	5.1%	5.0%

The first three ratios compare how many times the Trust’s income and expenditure surplus can cover the PDC dividend, the interest payable on the PFI loan and the debt service of the PFI loan respectively (debt

service is the sum of interest paid and the capital repayment of the loan). The final ratio compares how many times the Trust's total income covers the Debt Service on the PFI loan.

The Annual Plan suggests that the Trust will be slightly under the Tier 2 Limits for the Minimum Interest Cover (1.9 vs a limit of 2.0) and the Minimum Debt Service (1.2 vs 1.5 limit), although based on actual performance only the latter ratio has been breached to date. Monitor's prudential borrowing guidance allows for borrowing limits to be authorised which exceed the ratios in certain circumstances (for example a major renovation or redevelopment). Therefore this does not affect the Financial Risk Rating however access to potential additional borrowing (e.g. Finance Leases) may be restricted.

The Trust's current Prudential Borrowing Limit (PBL) for long term borrowing is £558.1m.

10.2 Financial Risk Rating

The Trust's Financial Risk Rating (FRR) is expected to remain at 3 based on the Annual Plan and Quarter 1 monitoring and self assessment of Quarter 2. The rating is set between 1 (worst) and 5 (best) based on a series of financial metrics.

11. **Monitor Finance Declaration**

As in previous years, FT's are required to submit a quarterly statement confirming that the Board anticipates that the Trust will continue to maintain a financial risk rating of at least 3 for the next 12 months. If this cannot be confirmed the Trust is required provide additional information detailing the Trust's response.

There is now only one form to be signed covering all three declarations (Finance, Governance and Quality). A copy of this is attached to the separate Monitor Quarterly Governance Declaration – Quarter 2 Report. For the Finance Declaration the Board is recommended to confirm that it anticipates that the Trust will continue to maintain an FRR of at least 3 for the next 12 months, based on current performance and financial planning.

12. **Conclusion**

An income and expenditure surplus of £1.048m has been reported for the first six months (April – September) of the 2012/13 financial year. This represents a favourable variance of £0.748m against the planned £0.300m surplus.

The recent income and expenditure trend has continued with operational division overspends being covered by additional activity income and Trust reserves. On the basis that current activity performance is maintained and the monthly rate of overspend across operational areas does not worsen, the Trust should exceed its planned £0.600m surplus for 2012/13.

13. Recommendations

The Board of Directors is asked to:

- Receive the contents of this report.
- Agree that Monitor's new combined Governance Statement should be signed confirming that the Board expects the Trust to continue to maintain a minimum FRR of 3 for the next 12 months.

A handwritten signature in black ink, consisting of a large capital letter 'M' followed by the name 'Sexton' in a cursive script.

Mike Sexton
Director of Finance
16 October 2012

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
CONSOLIDATED INCOME STATEMENT**

30th September 2012

APPENDIX C

	Period To date		Variance	Forecast Out-turn	
	Budget	Actual		Budget	Actual
	£'000	£'000	£'000	£'000	£'000
Income					
-Clinical - NHS	235,600	246,573	10,973	471,300	471,300
-Clinical - Non NHS	6,400	6,707	307	12,800	12,800
-Other	58,200	57,515	(685)	116,300	116,300
TOTAL INCOME	300,200	310,795	10,595	600,400	600,400
Operating Expenses					
-Pay Costs	(155,600)	(161,126)	(5,526)	(311,200)	(311,200)
-Non Pay	(124,050)	(127,918)	(3,868)	(248,200)	(248,200)
-Depreciation	(9,650)	(9,999)	(349)	(19,300)	(19,300)
TOTAL EXPENDITURE	(289,300)	(299,043)	(9,743)	(578,700)	(578,700)
OPERATING SURPLUS	10,900	11,752	852	21,700	21,700
Interest Receivable	400	348	(52)	800	800
Interest Payable	(9,200)	(9,258)	(58)	(18,400)	(18,400)
Contingent Rental	(1,800)	(1,794)	6	(3,500)	(3,500)
Corporation Tax	0	0	0	0	0
PDC Dividends Payable	0	0	0	0	0
SURPLUS FOR THE FINANCIAL YEAR	300	1,048	748	600	600
Transition Costs	0	0	0	0	0
Impairments on Property	0	0	0	0	0
RETAINED SURPLUS FOR THE YEAR	300	1,048	748	600	600

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING 30th September 2012
CAPITAL PROGRAMME

APPENDIX D

PERIOD			SCHEME	TO DATE		FORECAST OUTTURN		
BUDGET £'000	ACTUAL £'000	VARIANCE £'000		BUDGET £'000	ACTUAL £'000	BUDGET £'000	ACTUAL £'000	VARIANCE £'000
20	0	20	Bfwd Schemes 11-12	90	233	200	200	0
500	507	(7)	Retained Estate	2,020	1,562	4,900	4,900	0
100	368	(268)	Equipment Rolling Replacement	475	1,323	1,580	1,580	0
0	41	(41)	Equipment Replacement	450	444	500	500	0
0	2	(2)	Equipment Major Trauma Centre	450	490	750	750	0
0	1	(1)	Equipment Other	60	63	60	60	0
75	438	(363)	ICT Specific Project	465	650	1,150	1,150	0
30	20	10	Chief Operating Officer Discretionary Capital	60	20	300	300	0
0	342	(342)	New Hospital	200	342	350	350	0
50	0	50	Unallocated	200	0	500	500	0
(25)	0	(25)	Slippage	(150)	0	(300)	(300)	0
0	0	0	Gifted Assets	0	42	2,800	2,800	0
750	1,719	(969)	Capital Programme	4,320	5,170	12,790	12,790	0

**UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
FINANCIAL PERFORMANCE - PERIOD ENDING
AGED ANALYSIS OF TRADE AND OTHER RECEIVABLES**

30th September 2012

APPENDIX E

Month	Trust Total £	No of Invs	Current £	30 - 60 £	60 - 90 £	90+ £
APRIL	24,648,600 100%	1,992	10,310,523 42%	10,671,549 43%	664,750 3%	3,001,778 12%
MAY	15,411,893 100%	1,860	4,432,608 29%	2,097,173 14%	6,171,085 40%	2,711,027 18%
JUNE	14,736,054 100%	2,078	5,143,028 35%	2,838,265 19%	694,839 5%	6,059,922 41%
JULY	16,822,570 100%	2,207	8,094,887 48%	1,569,497 9%	1,410,130 8%	5,748,056 34%
AUG	22,071,036 100%	2,290	11,792,847 53%	3,634,472 16%	2,153,761 10%	4,489,955 20%
SEP	21,433,879 100%	2,029	9,296,636 43%	4,567,932 21%	2,254,986 11%	5,314,326 25%

UNIVERSITY HOSPITALS BIRMINGHAM NHS FOUNDATION TRUST
 FINANCIAL PERFORMANCE - PERIOD ENDING
 CASHFLOW FORECAST 2012/13

30th September 2012

APPENDIX F

INCOME	Apr		May		June		July		Aug		Sep		Oct		Nov		Dec		Jan		Feb		Mar	
	F/Cast £000's	Actual £000's	F/Cast £000's	Actual £000's	F/Cast £000's	Actual £000's	F/Cast £000's	Actual £000's	F/Cast £000's	Actual £000's	F/Cast £000's	Actual £000's	F/Cast £000's	Actual £000's	F/Cast £000's	Actual £000's	F/Cast £000's	Actual £000's	F/Cast £000's	F/Cast £000's	Actual £000's	F/Cast £000's	Actual £000's	F/Cast £000's
Contract Income Protected	36,733	41,556	36,733	48,938	36,733	42,918	39,633	44,300	39,633	35,014	39,634	46,772	38,900	38,900	38,900	38,900	38,900	38,900	38,900	41,600	41,600	41,600	41,600	41,600
Non Protected	233	140	233	251	234	35	267	24	267	300	266	223	233	233	233	233	233	234	267	267	267	267	266	266
Other Income	9,433	9,733	9,433	7,122	9,434	7,101	9,400	20,182	9,400	4,686	9,400	3,211	9,400	9,400	9,400	9,400	9,400	9,400	9,233	9,233	9,233	9,233	9,234	9,234
Interest Received	66	53	67	12	67	13	66	59	67	13	67	13	67	66	66	66	67	67	66	66	67	67	67	67
Total Received	46,465	51,481	46,466	56,323	46,468	50,067	49,366	64,565	49,367	40,013	49,367	50,218	48,600	48,599	48,601	48,601	48,601	48,601	51,166	51,166	51,167	51,167	51,167	51,167
EXPENDITURE																								
Pay	25,933	24,595	25,933	25,130	25,934	25,100	25,933	24,856	25,933	25,317	25,934	25,272	25,967	25,967	25,966	25,967	25,966	25,966	25,900	25,900	25,900	25,900	25,900	25,900
Non Pay	21,833	15,239	21,833	24,260	21,834	30,600	21,733	24,008	21,733	28,294	21,734	15,131	21,567	21,567	21,566	21,567	21,566	21,566	18,767	18,767	18,767	18,767	18,766	18,766
PDC Dividend	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
PFI - Specific	0	2,270	0	2,270	0	2,199	0	2,359	0	2,286	0	2,132	0	0	0	0	0	0	0	0	0	0	0	0
Capital	700	967	700	659	694	409	800	237	800	816	800	2,282	966	967	967	967	967	967	933	933	933	933	934	934
Total Payments	48,466	43,070	48,466	52,320	48,462	58,308	48,466	51,460	48,466	56,714	48,468	44,817	48,500	48,501	48,499	48,501	48,499	48,499	45,600	45,600	45,600	45,600	45,600	45,600
Net Inflow(outflow)	(2,001)	8,410	(2,000)	4,003	(1,994)	(8,241)	900	13,105	901	(16,701)	899	5,401	100	98	102	98	102	102	5,566	5,566	5,567	5,567	5,567	5,567
FINANCING																								
Interest Expense on PFI Scheme	(1,533)	(1,543)	(1,533)	(1,543)	(1,534)	(1,543)	(1,533)	(1,543)	(1,533)	(1,543)	(1,534)	(1,534)	(1,533)	(1,533)	(1,534)	(1,533)	(1,534)	(1,534)	(1,533)	(1,533)	(1,533)	(1,533)	(1,534)	(1,534)
Capital PFI	(1,000)	(1,057)	(1,000)	(1,058)	(1,000)	(1,058)	(1,033)	(1,013)	(1,033)	(1,013)	(1,034)	(1,013)	(1,033)	(1,033)	(1,034)	(1,033)	(1,034)	(1,034)	(1,033)	(1,033)	(1,033)	(1,033)	(1,034)	(1,034)
PFI - Variations	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Restructuring Costs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
Movement on Other Grants/Capital	0	0	0	0	0	0	0	42	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
CASH BALANCES																								
Opening balance	67,700	66,706	63,160	72,516	58,628	73,919	54,100	63,077	52,434	73,668	50,769	54,411	49,100	46,635	44,166	46,635	44,166	44,166	41,700	41,700	44,701	44,701	47,702	47,702
Bank balances c/f	63,166	72,516	58,628	73,919	54,100	63,077	52,434	73,668	50,769	54,411	49,100	57,265	46,635	44,166	41,700	46,635	44,166	44,166	44,701	44,701	47,702	47,702	50,700	50,700
Cash Held/Agency Bal		23		23		23		23		23		22												
Pharmacy@QEH B Ltd		1,532		946		2,209		813		279		1,147												
Total	63,166	74,071	58,628	74,888	54,100	65,309	52,434	74,504	50,769	54,713	49,100	58,434	46,635	44,166	41,700	46,635	44,166	44,166	44,701	44,701	47,702	47,702	50,700	50,700

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APPENDIX G(1)

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services under PbR</u>						
<u>Specialty Group: 1 - Division A</u>						
Critical Care Medicine	1	7	0	8	-1	1
Interventional Radiology	188	0	364	1	176	1
Rehabilitation	6	5	0	8	-6	3
	195	12	364	17	169	5
<u>Specialty Group: 2 - Division B</u>						
Breast Surgery	187	1	205	0	18	-1
Cardiology	1,111	571	1,000	605	-111	34
Cardiothoracic Surgery	238	127	217	143	-21	16
Cardiothoracic Transplantation	2	2	0	0	-2	-2
Colorectal Surgery	1,144	161	908	80	-236	-81
Gastroenterology	2,118	158	2,433	126	315	-32
General Surgery	1,388	1,519	971	1,699	-417	180
Hepatobiliary & Pancreatic Surgery	478	115	354	143	-124	28
Hepatology	491	86	527	115	36	29
Nephrology	521	550	373	586	-148	36
Transplantation Surgery	2	19	1	15	-1	-4
Upper Gastrointestinal Surgery	144	44	11	3	-133	-41
Vascular Surgery	521	174	424	83	-97	-91
	8,344	3,528	7,424	3,598	-920	70
<u>Specialty Group: 3 - Division C</u>						
Accident & Emergency	0	300	0	239	0	-61
Dermatology	425	7	459	5	34	-2
Diabetic Medicine	353	0	374	0	21	0
Endocrinology	292	13	298	2	6	-11
General Medicine	110	7,148	115	6,666	5	-482
Genitourinary Medicine	3	8	4	10	1	2
Geriatric Medicine	1	377	2	234	1	-143
Ophthalmology	1,231	6	1,292	3	61	-3
Pain Management	466	1	707	1	241	0
Respiratory Medicine	52	200	159	143	107	-57
Rheumatology	257	0	275	0	18	0
	3,191	8,060	3,685	7,303	494	-757
<u>Specialty Group: 4 - Division D</u>						
Burns care	4	31	5	21	1	-10
Clinical Oncology	446	288	594	258	148	-30
Clinical Haematology	1,486	282	2,121	282	635	0
ENT	785	181	750	236	-35	55
Maxillo-facial surgery	493	238	483	214	-10	-24
Medical Oncology	226	209	281	231	55	22
Neurology	645	78	828	109	183	31
Neurosurgery	1,025	597	1,149	631	124	34
Plastic Surgery	1,353	272	1,209	285	-144	13

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APPENDIX G(1)

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
Stroke Medicine	0	5	1	33	1	28
Trauma & Orthopaedics	1,127	1,053	1,081	918	-46	-135
Urology	1,016	196	1,060	204	44	8
	8,613	3,432	9,562	3,422	949	-10
<u>Summary: Services Under PbR</u>	20,343	15,032	21,035	14,340	692	-692

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
<u>Services at Local Prices</u>						
<u>Specialty Group: 1 - Division A</u>						
Interventional Radiology	2	0	7	0	5	0
	2	0	7	0	5	0
<u>Specialty Group: 2 - Division B</u>						
Breast Surgery	2	0	3	0	1	0
Cardiology	28	9	28	0	0	-9
Cardiac MRI	75	0	89	0	14	0
Cardiothoracic Surgery	57	4	52	0	-5	-4
Cardiothoracic Transplantation	0	4	5	9	5	5
Colorectal Surgery	16	0	39	0	23	0
Gastroenterology	12	1	41	0	29	-1
General Surgery	74	2	97	7	23	5
Hepatobiliary & Pancreatic Surgery	49	2	114	3	65	1
Hepatology	17	26	25	24	8	-2
Nephrology	16	4	32	1	16	-3
Renal Transplantation	54	7	36	26	-18	19
Upper Gastrointestinal Surgery	1	0	1	0	0	0
Vascular Surgery	21	2	21	0	0	-2
	422	60	583	70	161	10
<u>Specialty Group: 3 - Division C</u>						
Accident & Emergency	0	20	0	11	0	-9
Dermatology	12	0	14	1	2	1
Endocrinology	3	0	3	0	0	0
General Medicine	1	201	5	158	4	-43
Geriatric Medicine	0	4	0	0	0	-4
Genitourinary Medicine	0	0	0	1	0	1
Ophthalmology	20	0	27	0	7	0
Pain Management	191	0	29	0	-162	0
Respiratory Medicine	1	2	8	0	7	-2
Rheumatology	3	0	0	0	-3	0
	232	227	86	171	-146	-56
<u>Specialty Group: 4 - Division D</u>						
Bone & Marrow Transplantation	10	0	0	0	-10	0
Blood and Marrow Transplantation	2	0	0	0	-2	0
Burns care	5	182	5	128	0	-54
Clinical Oncology	11	0	20	0	9	0
Clinical Haematology	10	0	17	0	7	0
ENT	32	0	37	1	5	1
Maxillo-facial surgery	49	4	65	2	16	-2
Medical Oncology	50	29	78	16	28	-13
Neurology	381	0	513	0	132	0
Neurosurgery	106	4	99	2	-7	-2

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APPENDIX G(1)

Specialty	ACTIVITY					
	Target		Actual		Variance	
	Elective	Emergency	Elective	Emergency	Elective	Emergency
Plastic Surgery	36	11	41	12	5	1
Trauma & Orthopaedics	39	4	51	7	12	3
Urology	44	1	59	1	15	0
	777	236	985	169	208	-67
<u>Summary: Services at Local Prices</u>	1,433	524	1,661	410	228	-114

Specialty	ACTIVITY								
	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
<u>Services under PbR</u>									
<u>Specialty Group: 1 - Division A</u>									
Chemical Pathology	0	0	23	0	0	35	0	0	12
Occupational Therapy	0	0	0	0	0	21	0	0	21
Physiotherapy	0	0	149	0	0	223	0	0	74
Podiatry	0	0	9	0	0	4	0	0	-5
Rehabilitation	0	0	1	0	0	2	0	0	1
Speech & Language Therapy	0	0	51	0	0	86	0	0	35
Sports & Exercise Science	0	0	0	60	54	0	60	54	0
	0	0	234	60	54	371	60	54	137
<u>Specialty Group: 2 - Division B</u>									
Breast Surgery	998	1,806	380	858	1,590	439	-140	-216	59
Cardiology	3,538	10,416	2,521	3,700	10,974	2,231	162	558	-290
Cardiothoracic Surgery	71	684	439	80	667	373	9	-17	-66
Cardiothoracic Transplantation	0	0	25	0	0	5	0	0	-20
Colorectal Surgery	507	699	887	430	697	788	-77	-2	-99
Gastroenterology	907	1,846	157	1,016	1,852	130	109	6	-27
General Surgery	1,097	3,115	367	970	2,706	813	-127	-409	446
Hepatobiliary & Pancreatic Surgery	704	1,597	267	505	1,614	253	-199	17	-14
Hepatology	859	4,323	290	712	4,919	245	-147	596	-45
Nephrology	510	9,397	184	501	9,893	162	-9	496	-22
Transplantation Surgery	0	0	34	0	0	32	0	0	-2
Upper Gastrointestinal Surgery	147	268	1	120	194	0	-27	-74	-1
Vascular Surgery	553	1,598	129	512	1,523	394	-41	-75	265
	9,892	35,749	5,680	9,404	36,629	5,865	-488	880	185
<u>Specialty Group: 3 - Division C</u>									
Diabetic Medicine	341	2,991	35	336	3,343	19	-5	352	-16
Dermatology	2,410	5,027	3,545	2,440	5,563	3,770	30	536	225
Endocrinology	649	2,256	194	631	2,977	107	-18	721	-87
General Medicine	624	2,874	10	466	1,920	1	-158	-954	-9
GenitoUrinary Medicine	12,346	6,127	0	12,128	6,412	2	-218	285	2
Geriatric Medicine	219	402	3	133	329	17	-86	-73	14
Infectious Diseases	15	7	0	0	0	0	-15	-7	0
Ophthalmology	2,436	9,696	1,493	2,538	9,393	2,239	102	-303	746
Pain Management	526	1,016	160	605	1,168	181	79	152	21
Respiratory Medicine	539	2,689	4	744	3,311	44	205	622	40
Rheumatology	745	4,612	0	652	4,677	0	-93	65	0
	20,850	37,697	5,446	20,673	39,093	6,380	-177	1,396	934
<u>Specialty Group: 4 - Division D</u>									
Burns care	0	0	26	0	0	25	0	0	-1
Clinical Oncology	2,599	6,887	6	2,605	7,578	2	6	691	-4
ENT	1,847	4,325	3,515	1,662	3,618	4,126	-185	-707	611
Clinical Haematology	589	5,714	1	1,025	7,417	103	436	1,703	102
Maxillo-facial surgery	1,423	3,362	679	1,759	3,461	809	336	99	130
Medical Oncology	483	4,737	250	705	5,049	289	222	312	39
Neurology	54	4	46	177	77	248	123	73	202
Neurosurgery	0	0	4	0	0	1	0	0	-3

Specialty	ACTIVITY								
	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
Plastic Surgery	1,072	4,765	1,152	935	4,126	1,215	-137	-639	63
Trauma & Orthopaedics	2,840	8,614	610	2,957	8,468	928	117	-146	318
Urology	1,386	4,055	1,852	1,374	3,591	2,051	-12	-464	199
	12,294	42,462	8,141	13,199	43,385	9,797	905	923	1,656
<u>Summary: Services Under PbR</u>	43,036	115,908	19,501	43,336	119,161	22,413	300	3,253	2,912

Specialty	ACTIVITY								
	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
<u>Services at Local Prices</u>									
<u>Specialty Group: 1 - Division A</u>									
Interventional Radiology	34	26	0	26	21	0	-8	-5	0
Physiotherapy	2,451	11,752	0	2,675	14,229	0	224	2,477	0
Podiatry	129	379	1	138	408	0	9	29	-1
Speech & Language Therapy	235	518	0	196	620	0	-39	102	0
Nutrition & Dietetics	545	1,426	0	749	1,536	0	204	110	0
Occupational Therapy	146	255	0	160	271	0	14	16	0
Upper Limb	623	3,692	0	712	4,614	0	89	922	0
	4,163	18,049	1	4,656	21,699	0	493	3,650	-1
<u>Specialty Group: 2 - Division B</u>									
Cardiac MRI	1,079	0	0	1,079	0	0	0	0	0
Cardiology	64	258	0	63	111	0	-1	-147	0
Cardiothoracic Surgery	0	371	0	0	262	0	0	-109	0
Cardiothoracic Transplantation	0	15	0	1	22	0	1	7	0
Colorectal Surgery	3	18	0	0	31	0	-3	13	0
Gastroenterology	0	0	1	0	0	0	0	0	-1
General Surgery	0	10	2	0	0	0	0	-10	-2
Hepatobiliary & Pancreatic Surgery	0	0	1	0	0	0	0	0	-1
Hepatology	5	163	0	3	222	0	-2	59	0
Liver Transplantation	0	172	0	0	212	0	0	40	0
Nephrology	70	507	0	0	110	0	-70	-397	0
Renal Transplantation	24	837	0	31	905	0	7	68	0
Upper Gastrointestinal Surgery	0	0	1	0	0	0	0	0	-1
Vascular Surgery	0	0	1	0	0	0	0	0	-1
	1,246	2,350	7	1,177	1,875	0	-69	-475	-7
<u>Specialty Group: 3 - Division C</u>									
Accident & Emergency	589	146	0	545	128	0	-44	-18	0
Dermatology	2	416	0	0	1	0	-2	-415	0
Diabetic Medicine	0	812	0	0	1,104	0	0	292	0
Endocrinology	0	1	0	0	0	0	0	-1	0
General Medicine	0	89	2	787	63	0	787	-26	-2
Geriatric Medicine	0	0	0	0	0	0	0	0	0
Genitourinary Medicine	370	6,025	0	96	6,931	0	-274	906	0
Ophthalmology	1	0	0	0	0	0	-1	0	0
Pain Management	0	1	0	0	0	0	0	-1	0
Rheumatology	0	199	1	0	257	0	0	58	-1
	963	7,688	3	1,428	8,484	0	465	796	-3
<u>Specialty Group: 4 - Division D</u>									
Audiology	429	5,883	0	498	6,493	0	69	610	0
Burns care	0	45	0	0	28	0	0	-17	0
Clinical Oncology	5	0	0	3	0	0	-2	0	0
Clinical Haematology	151	10,655	0	0	9,540	0	-151	-1,115	0
ENT	1	13	7	0	0	0	-1	-13	-7
Maxillo-facial surgery	0	0	3	0	0	0	0	0	-3
Medical Oncology	259	2,845	0	23	3,269	0	-236	424	0
Neurology	2,914	6,769	17	2,437	6,300	0	-477	-469	-17
Neurosurgery	1,470	3,726	0	1,423	3,709	0	-47	-17	0

Specialty	ACTIVITY								
	Target			Actual			Variance		
	New	Follow-Up	Procedure	New	Follow-Up	Procedure	New	Follow-Up	Procedure
Plastic Surgery	0	0	33	0	0	0	0	0	-33
Trauma & Orthopaedics	151	975	50	209	1,123	0	58	148	-50
Urology	146	1	0	178	0	0	32	-1	0
	5,527	30,912	111	4,771	30,462	0	-756	-450	-111
<u>Summary: Services at Local Prices</u>	11,899	58,999	122	12,032	62,520	0	133	3,521	-122

		ADMITTED PATIENT CARE											
		ELECTIVE APC				NON-ELECTIVE APC				TOTAL			
		PLAN	ACTUAL	VARIANCE	PLAN	ACTUAL	VARIANCE	PLAN	ACTUAL	VARIANCE	PLAN	ACTUAL	VARIANCE
WEST MIDLANDS PCTS	MAND	16,473	18,242	1,769	12,649	12,969	320	29,122	31,211	2,089	29,122	31,211	2,089
	NON-TARIFF	489	1,276	787	828	202	626	1,317	1,478	161	1,317	1,478	161
	TOTAL	16,962	19,518	2,556	13,476	13,171	305	30,439	32,689	2,250	30,439	32,689	2,250
TOTAL WEST MIDLANDS PCTS													
WEST MIDS SPECIALISED COMMISSIONING TEAM	MAND	1,954	2,111	157	1,009	1,018	9	2,962	3,129	167	2,962	3,129	167
	NON-TARIFF	319	322	3	160	150	10	479	472	7	479	472	7
	TOTAL	2,273	2,433	160	1,168	1,168	0	3,441	3,601	160	3,441	3,601	160
TOTAL WMSCT													
OUT OF AREA CONTRACTED COMMISSIONERS	MAND	1,796	554	1,242	1,265	219	1,046	3,061	773	2,288	3,061	773	2,288
	NON-TARIFF	53	58	5	47	50	3	101	108	7	101	108	7
	TOTAL	1,849	612	1,237	1,312	269	1,043	3,161	881	2,280	3,161	881	2,280
TOTAL ALL OTHER COMMISSIONERS													
NON-CONTRACTED ACTIVITY	MAND	120	128	8	110	134	24	230	262	32	230	262	32
	NON-TARIFF	5	4	1	55	8	47	59	12	47	59	12	47
	TOTAL	125	132	7	165	142	23	290	274	16	290	274	16
TOTAL NON-CONTRACTED ACTIVITY													
TOTAL MANDATORY		20,343	21,035	692	15,032	14,340	692	35,375	35,375	0	35,375	35,375	0
TOTAL NON-MANDATORY		867	1,660	793	1,090	410	680	1,956	2,070	114	1,956	2,070	114
TOTAL		21,209	22,695	1,486	16,122	14,750	1,372	37,331	37,445	114	37,331	37,445	114
				107%			91%			100%			100%

	OUTPATIENT ATTENDANCES													
	FIRST ATTENDANCE			FOLLOW-UP ATTENDANCE			PROCEDURES			TOTAL				
	PLAN	ACTUAL	VARIANCE	PLAN	ACTUAL	VARIANCE	PLAN	ACTUAL	VARIANCE	PLAN	ACTUAL	VARIANCE		
WEST MIDLANDS PCTS	39,666	42,234	2,568	109,033	114,603	5,570	105%	18,361	5,016	13,345	167,060	161,853	- 5,207	97%
NON-TARIFF	4,203	3,809	- 394	25,809	24,379	- 1,430	94%	0	-	0	30,011	28,188	- 1,823	94%
TOTAL WEST MIDLANDS PCTS	43,869	46,043	2,174	134,841	138,982	4,141	103%	18,361	5,016	13,345	197,071	190,041	- 7,030	96%
WEST MIDS SPECIALISED COMMISSIONING TEAM	62	80	18	447	684	237	153%	-	88	88	509	852	343	167%
NON-TARIFF	3,072	2,592	- 480	12,357	14,072	1,715	114%	-	-	-	15,428	16,664	1,236	108%
TOTAL WIMSCIT	3,134	2,672	- 462	12,804	14,756	1,952	115%	-	88	88	15,938	17,516	1,578	110%
OUT OF AREA CONTRACTED COMMISSIONERS	3,163	678	- 2,485	5,812	3,010	- 2,802	52%	94	222	128	9,068	3,910	- 5,158	43%
NON-TARIFF	425	135	- 290	1,042	579	- 463	56%	63	-	63	1,530	714	- 816	47%
TOTAL ALL OTHER COMMISSIONERS	3,588	813	- 2,775	6,854	3,589	- 3,265	52%	157	222	65	10,598	4,624	- 5,974	44%
NON-CONTRACTED ACTIVITY	145	344	199	616	864	248	140%	118	51	67	879	1,259	380	143%
NON-TARIFF	37	36	- 1	93	150	57	161%	59	-	59	189	186	- 3	98%
TOTAL NON-CONTRACTED ACTIVITY	183	380	197	709	1,014	305	143%	176	51	125	1,068	1,445	377	135%
TOTAL MANDATORY	43,036	43,336	300	115,908	119,161	3,253	103%	18,573	5,377	13,196	177,516	167,874	- 9,642	95%
NON-TARIFF	7,737	6,572	- 1,165	39,300	39,180	- 120	100%	122	-	122	47,159	45,752	- 1,407	97%
TOTAL	50,773	49,908	- 865	155,208	158,341	3,133	102%	18,694	5,377	13,317	224,675	213,626	- 11,049	95%